

# Optimization of hydrological parameters of a distributed runoff model based on multiple flood events

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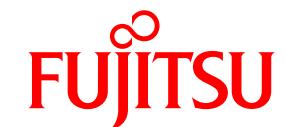
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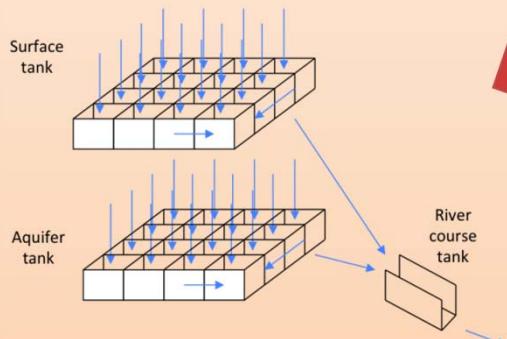


shaping tomorrow with you

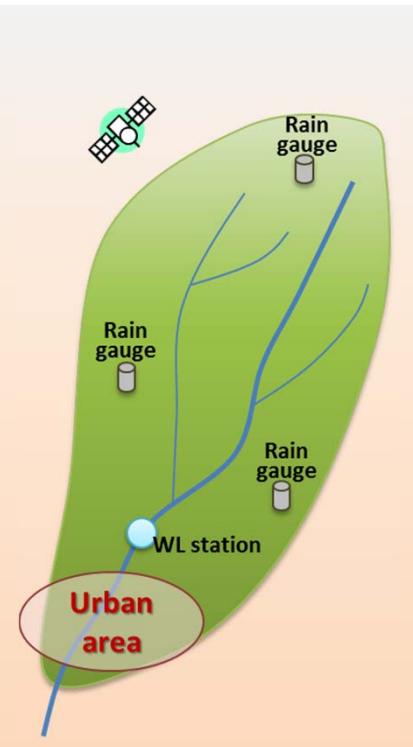
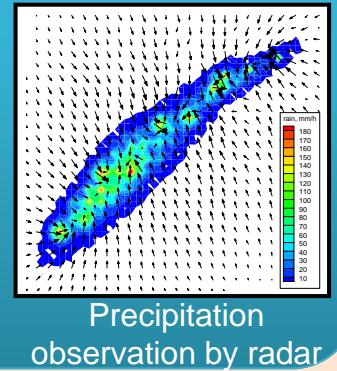
# Technical Background

## Field of flood forecasting

There is a real need for using distributed runoff model in order to consider spatial distribution.



High resolution and high accuracy precipitation information



- Distributed model takes a lot of time and trouble with calibration due to number of parameters.
- Commonly applicable calibration method is not established yet.

## Objectives

【before flood】

**Systemization of optimal parameter setting of flood forecasting model**

【during flood】

Predictive accuracy improvement by real-time feedback based on data assimilation

# Academic Background

## Past studies

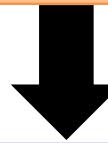
(e.g.)

- Global optimization such as SCE-UA, GA for tank model parameter (Tanakamaru et al. , 1996)
- Comparison of usability between SCE-UA and PSO (Tada, 2007)
- Evaluation of several error assessment functions (Fujiwara et al., 2003)
- Multipurpose optimization by multiple objective functions (Yapo et al., 1998)
- 

**There has been various studies on parameter optimization so far.**



**Most of past studies are geared toward **specific flood** or  
**specific optimization method.****



This study is ...

- **Comprehensive approach based on **multiple floods** and  
**multiple optimization method****
- **Validation using **unlearned flood** case**

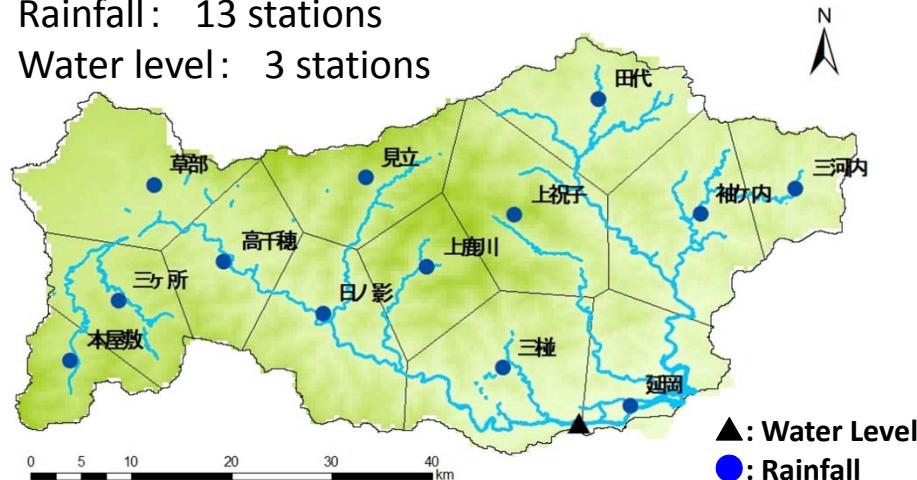
# Target River basin; Gokase River basin

Area: 1,820km<sup>2</sup>

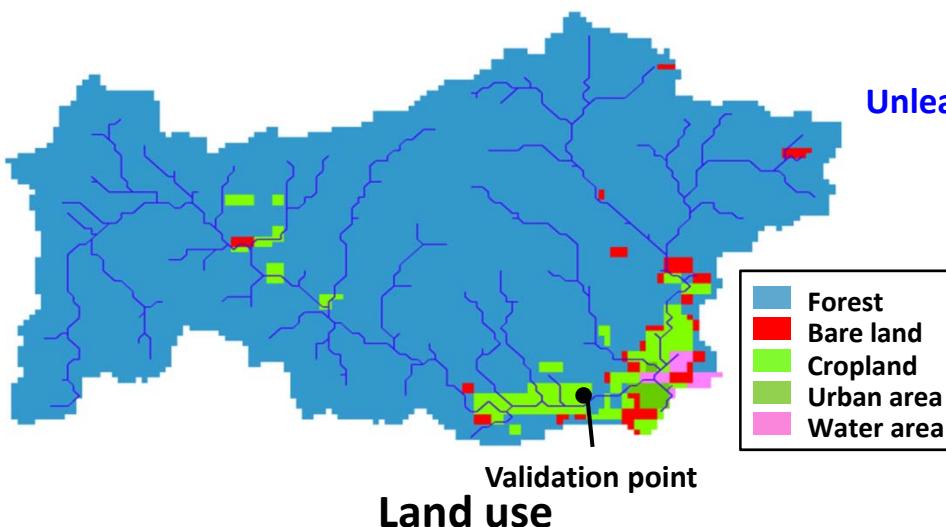
Length: 270km

Rainfall: 13 stations

Water level: 3 stations



Rainfall and Water level stations



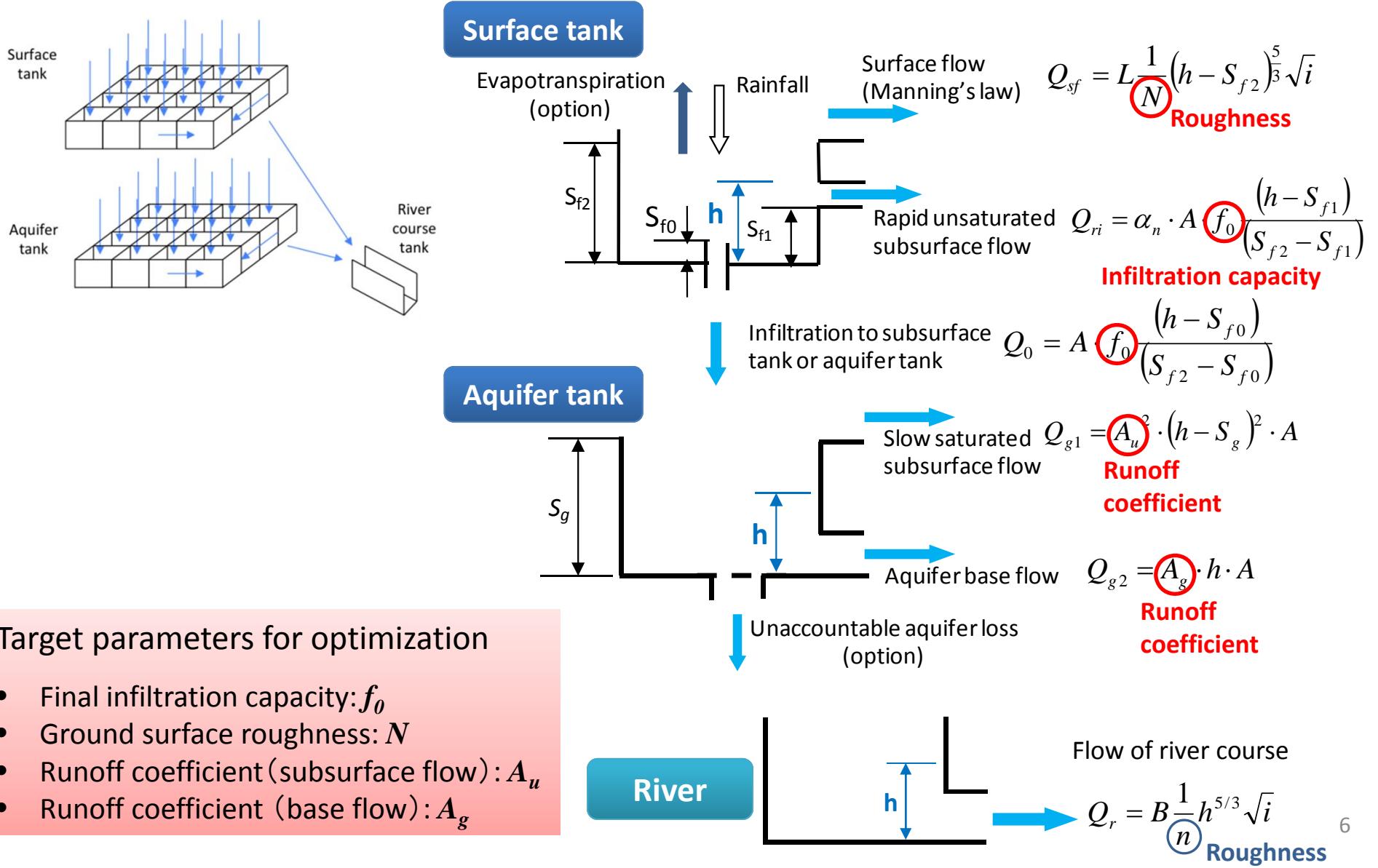
Target floods

| Flood | Duration |       |       |      | Rain type | Peak discharge | Runoff rate |
|-------|----------|-------|-------|------|-----------|----------------|-------------|
|       | year     | start | end   | days |           |                |             |
| C-1   | 2004     | 8/28  | 9/1   | 4    | Typhoon   | 6,116          | 0.86        |
| C-2   | 2007     | 8/1   | 8/4   | 3    | Typhoon   | 5,287          | 0.45        |
| C-3   | 2007     | 7/2   | 7/17  | 15   | Typhoon   | 4,435          | 0.80        |
| C-4   | 2004     | 9/4   | 9/9   | 5    | Typhoon   | 3,563          | 0.74        |
| C-5   | 2006     | 8/17  | 8/20  | 3    | Typhoon   | 2,795          | 0.52        |
| C-6   | 2003     | 8/6   | 8/10  | 4    | Typhoon   | 2,484          | 0.57        |
| C-7   | 2004     | 10/18 | 10/22 | 4    | Typhoon   | 2,482          | 0.50        |
| C-8   | 2004     | 9/28  | 10/1  | 3    | Typhoon   | 2,247          | 0.55        |
| C-9   | 2002     | 7/25  | 7/29  | 4    | Frontal   | 2,013          | 0.73        |
| C-10  | 2003     | 5/29  | 6/2   | 4    | Typhoon   | 1,956          | 0.74        |
| C-11  | 2002     | 7/5   | 7/8   | 3    | Frontal   | 1,708          | 0.66        |
| C-12  | 2002     | 8/29  | 9/2   | 4    | Frontal   | 1,694          | 0.57        |
| C-13  | 2004     | 6/19  | 6/23  | 4    | Typhoon   | 1,678          | 0.56        |
| C-14  | 2006     | 6/23  | 6/29  | 6    | Frontal   | 1,374          | 0.37        |
| C-15  | 2003     | 9/10  | 9/14  | 4    | Typhoon   | 1,350          | 0.50        |

Validation

| Flood | Duration |       |       |      | Rain type | Peak discharge | Runoff rate |
|-------|----------|-------|-------|------|-----------|----------------|-------------|
|       | year     | start | end   | days |           |                |             |
| V-1   | 2011     | 6/10  | 6/14  | 4    | Frontal   | 1,253          | 0.36        |
| V-2   | 2011     | 6/18  | 6/23  | 5    | Frontal   | 1,087          | 0.36        |
| V-3   | 2011     | 8/5   | 8/8   | 3    | Frontal   | 1,134          | 0.48        |
| V-4   | 2011     | 9/15  | 9/22  | 7    | Frontal   | 2,796          | 0.43        |
| V-5   | 2012     | 6/23  | 6/27  | 4    | Frontal   | 1,884          | 0.48        |
| V-6   | 2012     | 9/15  | 9/19  | 4    | Frontal   | 1,990          | 0.56        |
| V-7   | 2013     | 10/23 | 10/27 | 4    | Frontal   | 1,424          | 0.47        |
| V-8   | 2014     | 6/3   | 6/6   | 3    | Frontal   | 1,190          | 0.40        |
| V-9   | 2014     | 7/30  | 8/5   | 6    | Frontal   | 1,500          | 0.53        |
| V-10  | 2014     | 8/7   | 8/11  | 4    | Typhoon   | 1,087          | 0.72        |

# Structure of PWRI Distributed Model



# Terms and Conditions of Optimization

## Applied Opt. Algorithms

| Software | Opt. algorithms |
|----------|-----------------|
| pyOpt    | COBYLA          |
|          | KSOPT           |
|          | NSGA2           |
|          | SDPEN           |
| Nlopt    | LN_COBYLA       |
|          | GN_MSL          |
|          | GN_MSL_LDS      |
|          | LN_AUGLAG       |
|          | LN_NELDERMEAD   |
|          | LN_SBPLX        |
| inspired | DEA             |
|          | GA              |
|          | PSO             |
|          | SA              |
| R        | BBO             |
|          | DFOPTIM_HJKB    |
|          | MCO_NSGA2       |
|          | PSO_PSOPTIM     |
|          | RMALSCHAINS     |

### Criteria of selecting

1. No abnormal end
2. Not far outstrip the designed iteration
3. The best result in either cases of floods or error assessment functions

## Error Assessment Functions

- Mean square error

$$E_M = \frac{1}{N} \sum_{i=1}^N (Q_{oi} - Q_{ci})^2$$

- Relative error

$$E_R = \frac{1}{N} \sum_{i=1}^N \frac{(Q_{oi} - Q_{ci})^2}{Q_{oi}^2}$$

- Logarithmic error

$$E_L = \frac{1}{N} \sum_{i=1}^N (\log Q_{oi} - \log Q_{ci})^2$$

## Search Range

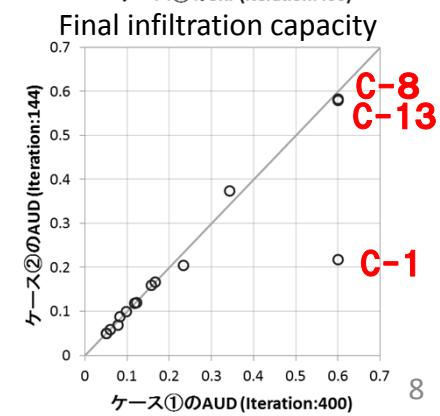
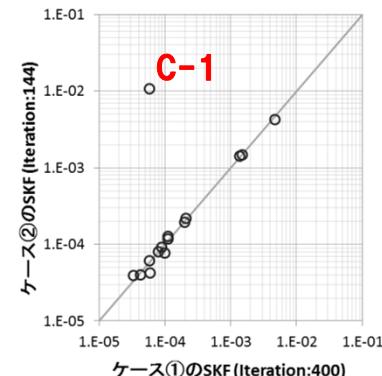
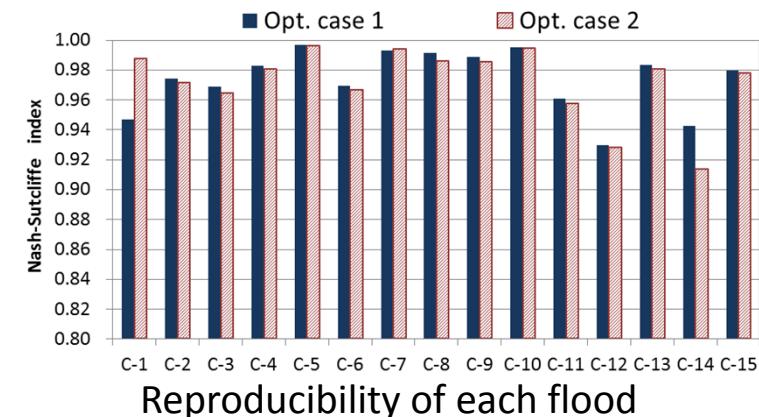
| parameter                                     | unit                      | maximum              | minimum              |
|---|---------------------------|----------------------|----------------------|
| Infiltration capacity $f_\theta$              | cm/s                      | $5.0 \times 10^{-2}$ | $5.0 \times 10^{-6}$ |
| Ground surface roughness $N$                  | $m^{-1/3} \cdot s$        | 2.0                  | 0.1                  |
| Runoff coefficient $A_u$<br>(subsurface flow) | $(1/\text{mm/day})^{1/2}$ | 0.6                  | 0.05                 |
| Runoff coefficient $A_g$<br>(base flow)       | 1/day                     | 0.05                 | 0.001                |

# Results of Optimization

|                             | Opt. case 1                    | Opt. case 2             |
|-----------------------------|--------------------------------|-------------------------|
| iteration                   | 400                            | 144                     |
| Target land use             | All                            | Only forest             |
| Number of target parameters | 12                             | 4                       |
| Error assessment function   | Mean square<br>Relative<br>Log | Mean square<br>Relative |

The best algorithm for each flood calibration

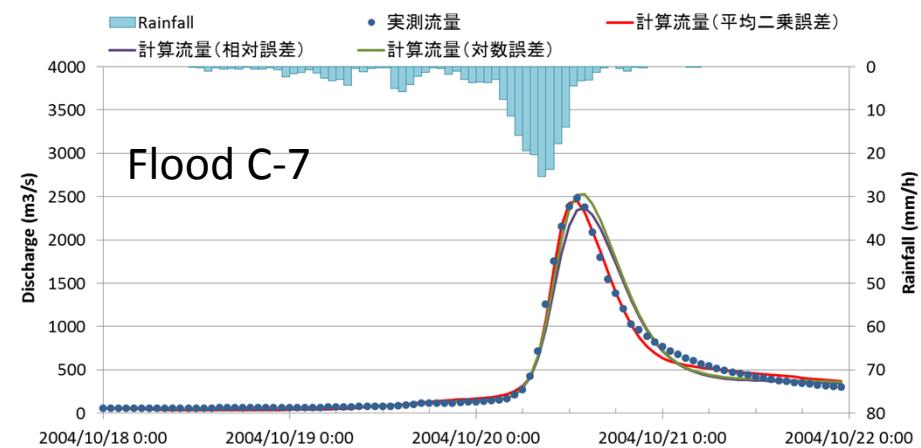
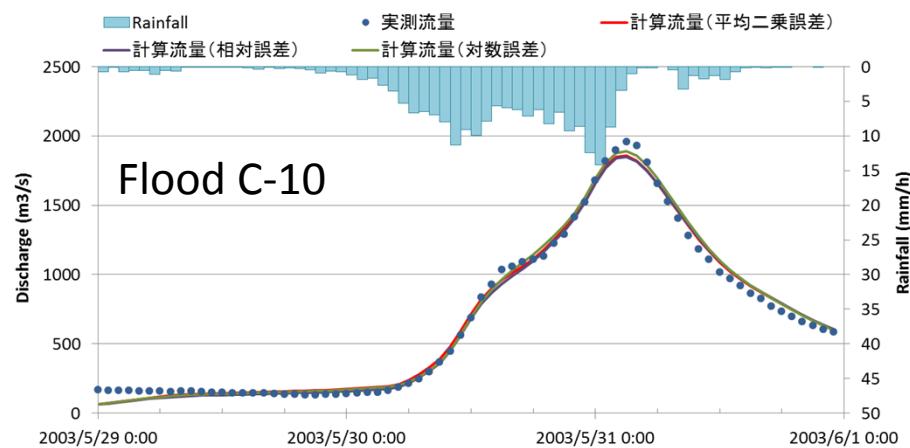
|      | Opt. case 1 |             |             | Opt. case 2   |               |
|------|-------------|-------------|-------------|---------------|---------------|
|      | mean square | relative    | log         | mean square   | relative      |
| C-1  | GN_MSL_LDS  | DEA         | LN_SBPLX    | LN_NELDERMEAD | LN_NELDERMEAD |
| C-2  | SDPEN       | SDPEN       | SDPEN       | LN_NELDERMEAD | LN_AUGLAG     |
| C-3  | MCO_NSGA2   | SDPEN       | SDPEN       | BBO           | LN_NELDERMEAD |
| C-4  | DEA         | NSGA2       | GN_MSL      | PSO_PSOPTIM   | LN_NELDERMEAD |
| C-5  | SDPEN       | PSO_PSOPTIM | MCO_NSGA2   | LN_NELDERMEAD | RMALSCHAINS   |
| C-6  | PSO         | PSO         | RMALSCHAINS | LN_NELDERMEAD | NSGA2         |
| C-7  | LN_AUGLAG   | SDPEN       | RMALSCHAINS | LN_NELDERMEAD | PSO_PSOPTIM   |
| C-8  | PSO         | RMALSCHAINS | GN_MSL_LDS  | NSGA2         | LN_AUGLAG     |
| C-9  | SDPEN       | SDPEN       | SDPEN       | LN_NELDERMEAD | LN_NELDERMEAD |
| C-10 | SDPEN       | SDPEN       | MCO_NSGA2   | LN_NELDERMEAD | LN_NELDERMEAD |
| C-11 | SDPEN       | DEA         | SDPEN       | LN_NELDERMEAD | NSGA2         |
| C-12 | SDPEN       | SDPEN       | SDPEN       | LN_NELDERMEAD | LN_NELDERMEAD |
| C-13 | PSO_PSOPTIM | SDPEN       | SDPEN       | NSGA2         | LN_NELDERMEAD |
| C-14 | SDPEN       | PSO         | PSO_PSOPTIM | PSO_PSOPTIM   | PSO           |
| C-15 | PSO         | SDPEN       | SDPEN       | LN_NELDERMEAD | LN_NELDERMEAD |



Runoff coefficient of subsurface fave flow

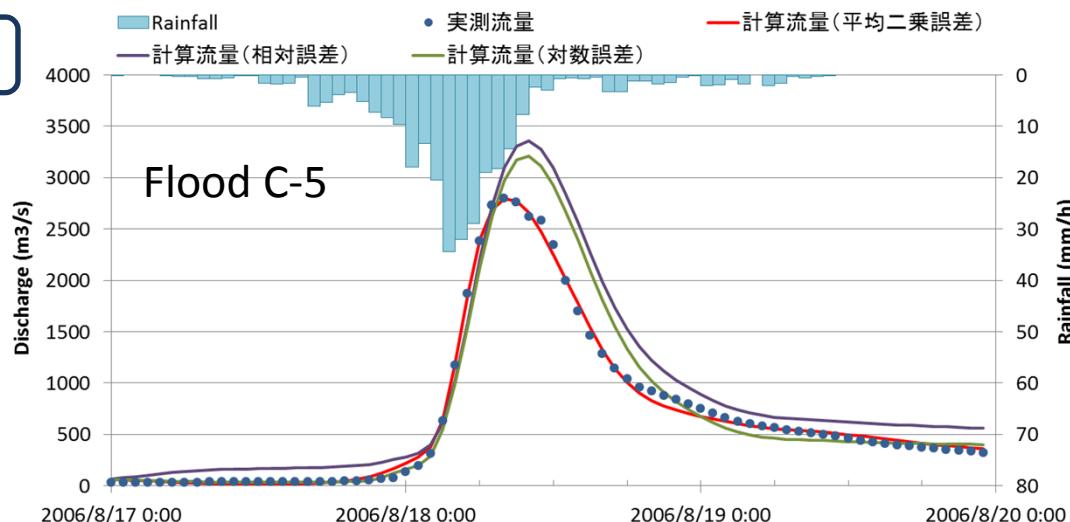
# Effect of Error Assess Functions

## Less effect of error assess function



## Effect of error assess function

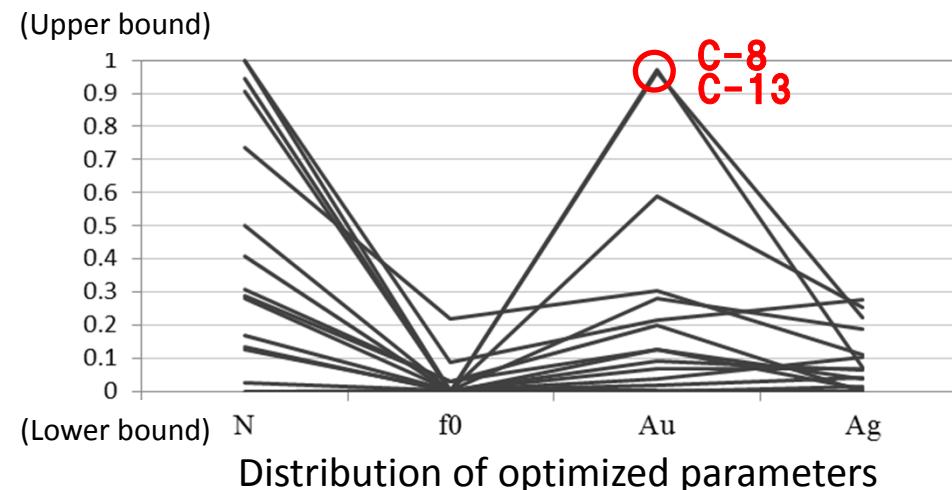
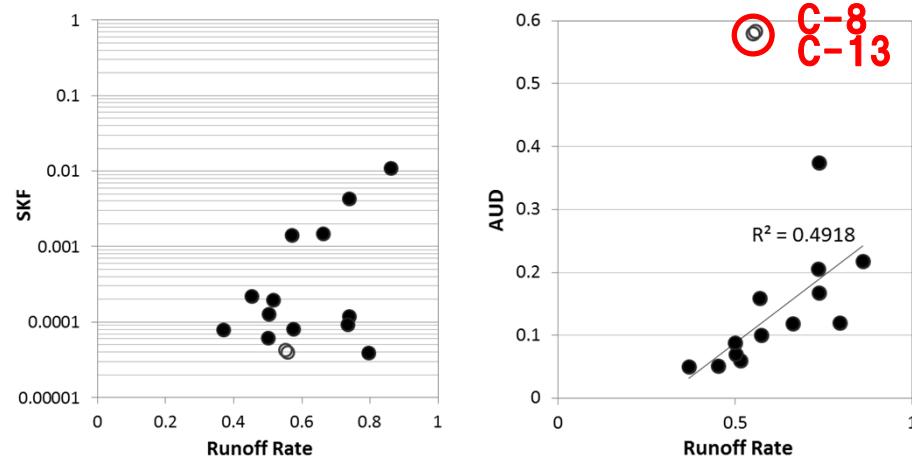
Mean square is better than others particularly around peak of hydrograph



Simulated discharge with optimized parameters

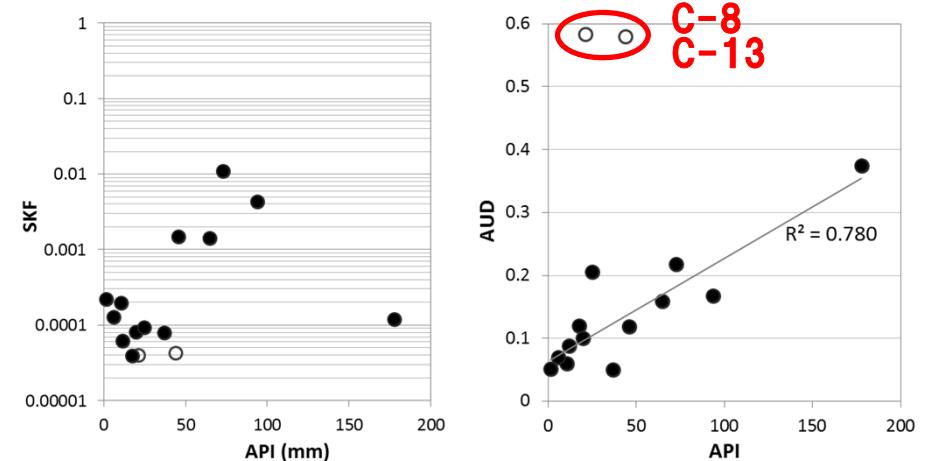
# Results of Optimization

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|-------|----------|-------|-------|------|-----------|----------------|-------------|
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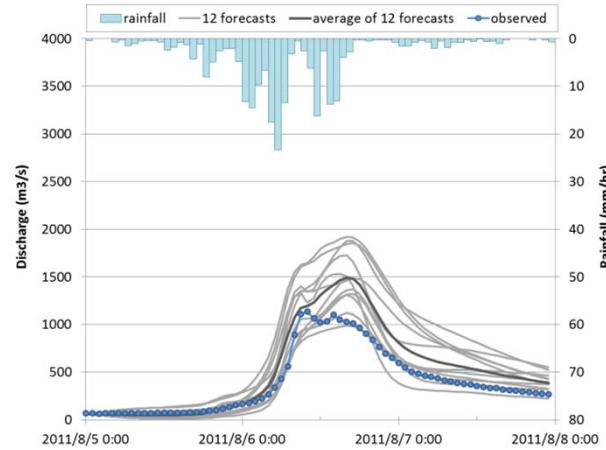


Antecedent Precipitation Index (14 days)

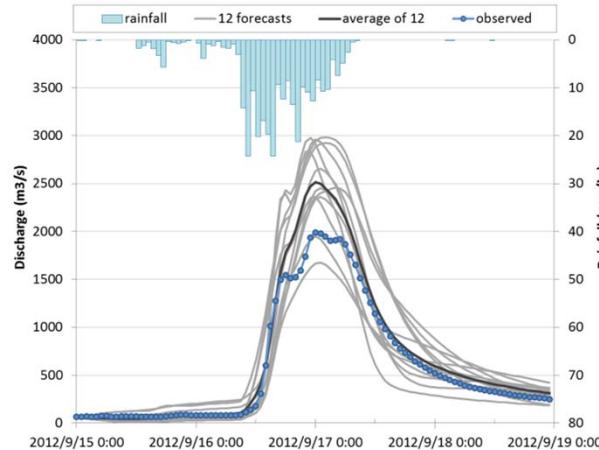
$$API = \sum_{i=1}^n \alpha^i \cdot R_i$$



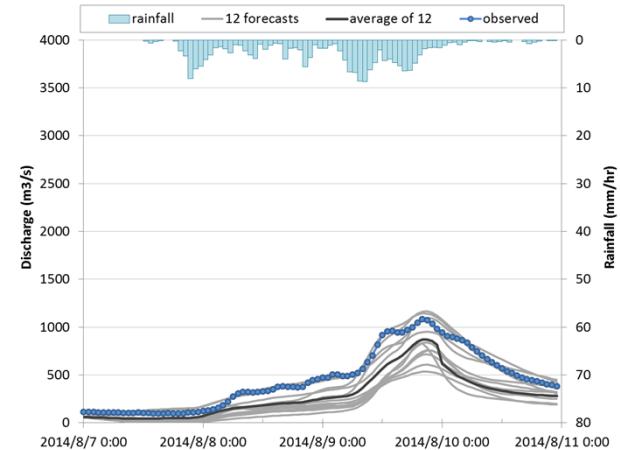
# Validation with Unlearned Data



Flood V-3 Runoff rate : 0.48

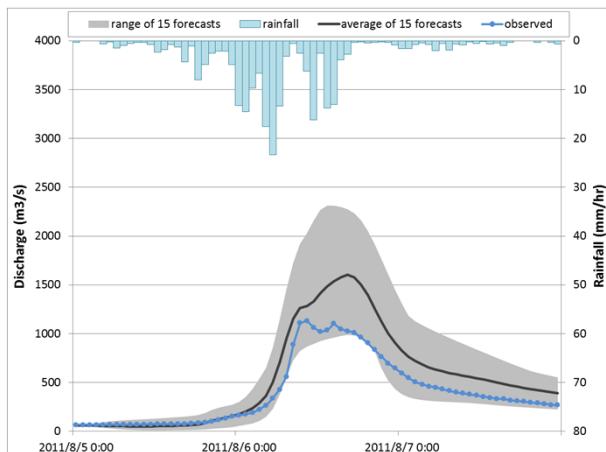


Flood V-6 Runoff rate : 0.56

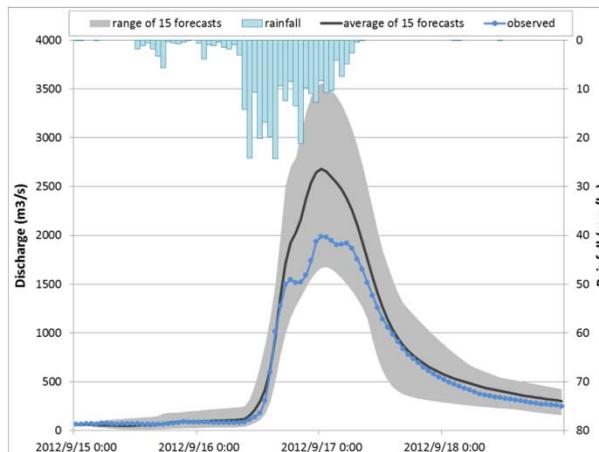


Flood V-10 Runoff rate : 0.72

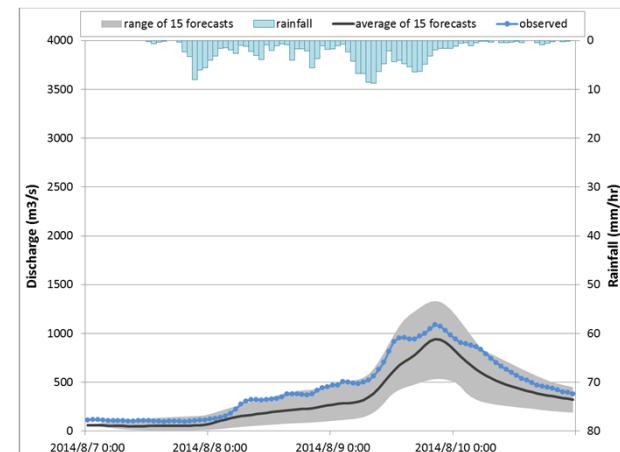
River discharge with calibrated parameters by 15 floods



Flood V-3 Runoff rate : 0.48



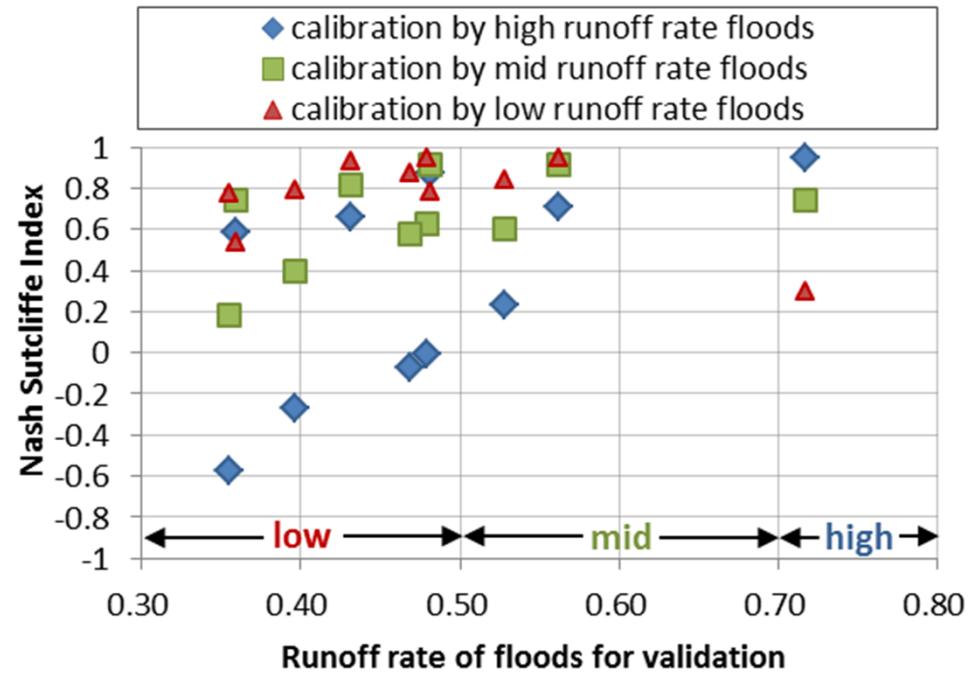
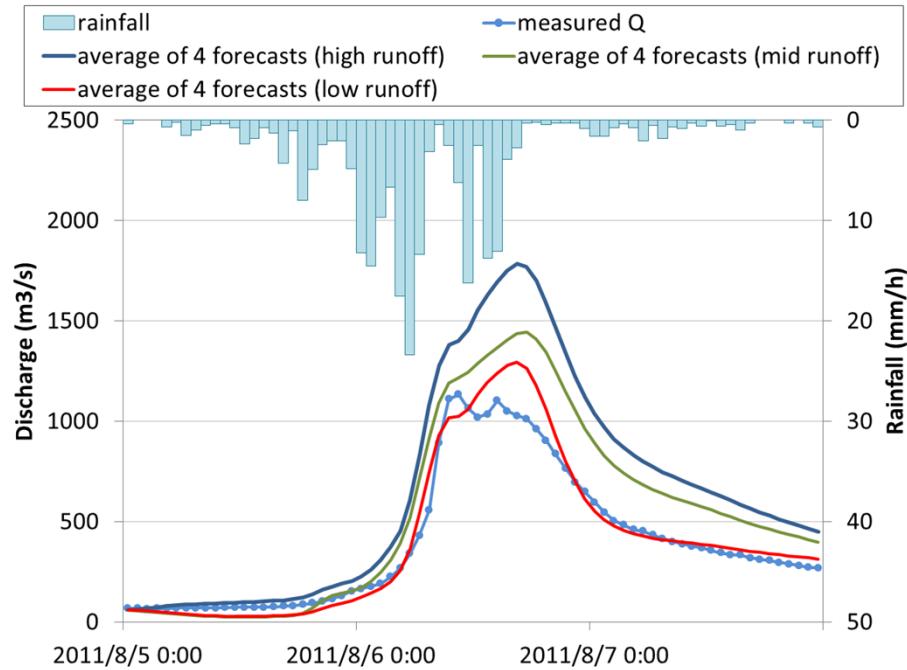
Flood V-6 Runoff rate : 0.56



Flood V-10 Runoff rate : 0.72

Predictive width with calibrated parameters by 15 floods

# Validation with Unlearned Data



Similarity of runoff rate between calibration and validation increases reproducibility.

It may be difficult to reproduce small-scale flood by the parameters calibrated by largest recorded flood...

# Conclusions

Hydrological parameters in distributed runoff model are calibrated by multiple optimized algorithms for each flood.

- Optimized parameters which are widely distributed by flood correlate with runoff rate and API. The cause of correlation with API is due to initial condition of soil moisture.
- It was confirmed in validation by unlearned flood that measured discharge is included within prediction width of 15 calibrated floods.
- The discharges forecasted by using the parameter sets in each category matched the observed discharges with high accuracy when they were applied to similar cases among the ten floods in terms of runoff rate.
- The results of this study suggested the possibility of improved future flood forecasting based on categorization of flood by runoff rate.

# In addition...

We are planning to implement the optimization method to the IFAS (Integrated Flood Analysis System), which is flood forecasting system developed by ICHARM.



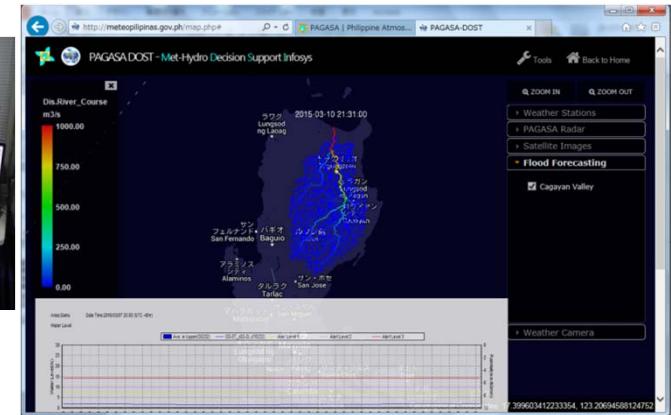
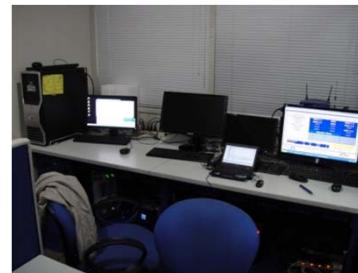
discharge



Specific  
discharge



Rainfall



<http://meteopilipinas.gov.ph/map.php#>

Installed flood forecasting system in Philippines

# Thank you for your kind attentions!

mmiyamoto@pwri.go.jp



shaping tomorrow with you