
Mid-IR Laser Spectrometer for Balloon-borne Lower Stratospheric Water Vapor Measurements

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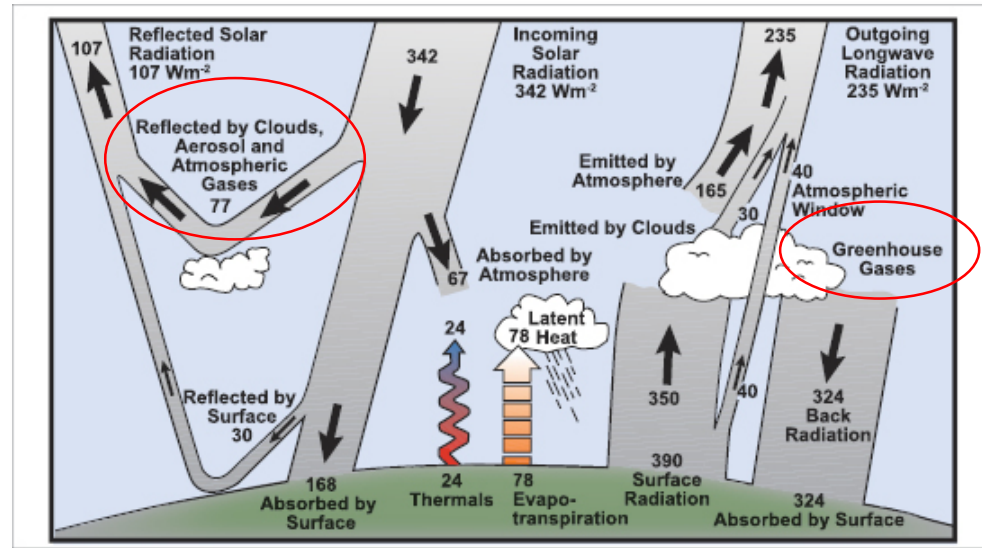
H₂O at Upper Troposphere/Lower Stratosphere (UTLS)

- Understanding the Earth's radiative balance.

Short wave radiation

Reflection by clouds

Cloud microphysics



Kiehl and Trenberth (1997)

Long wave radiation

Absorption (Greenhouse gas)

Trends? Feedback mechanisms?

Important to accurately know the water vapor concentration in the UTLS, i.e., 8-20 km altitude.

Challenges for H₂O measurement:

- **Low abundance:** 2-10 ppmv H₂O
- **Low p** < 100 mbar; **low T** 210 K (-60°C)
- **Stickiness** of H₂O

Requirements for an ideal in-situ instrument:

High spatial **resolution**

(few meters, i.e., 1 Hz)

high **accuracy** (<5 %)

(→ selectivity, sensitivity, precision, SI traceability)

Frequency and **flexibility** of

measurements

(mass <4.5 kg)

In-situ H₂O instruments – current situation:

- CFH/FPH: standard method, but uses R23 as cooling liquid (Vömel et al., 2016)
- FLASH-B: (Lyman- α) restricted to nighttime measurements (Lykov et al., 2009)
- Various other techniques (absorption spectroscopy, mass spec,...) exist, all to be deployed aboard **aircrafts** or **special balloon gondolae**. (cf. Rollins et al., 2014)

Development of an open-path miniaturized mid-IR direct absorption spectrometer

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graph TD; A[Development of an open-path miniaturized mid-IR direct absorption spectrometer] --> B[Ensures fast response and reduces contamination]; A --> C[Allows flexible, balloon-borne deployment]; A --> D[Delivers high accuracy and molecular specificity. The measurements are comparable and requires no calibration.];
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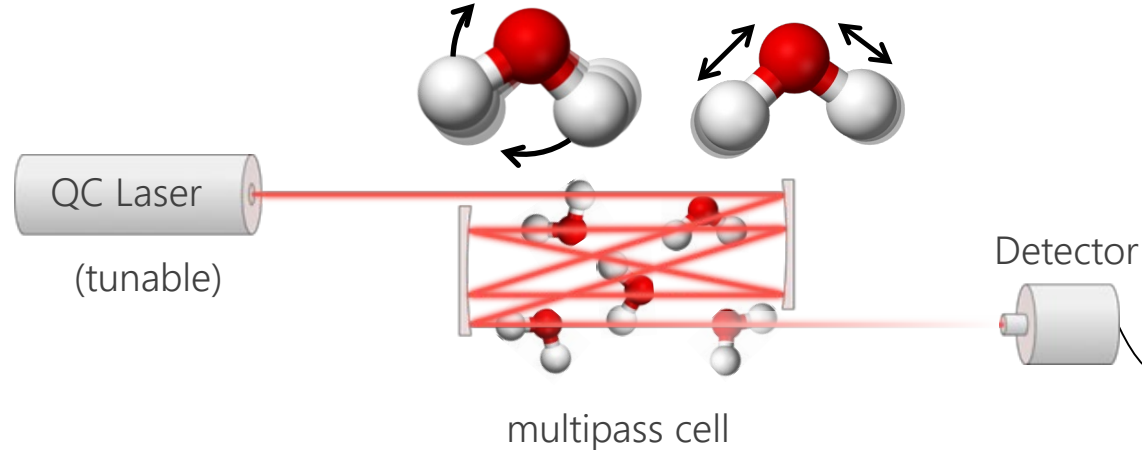
Ensures fast response
and reduces contamination

Allows flexible, balloon-borne
deployment

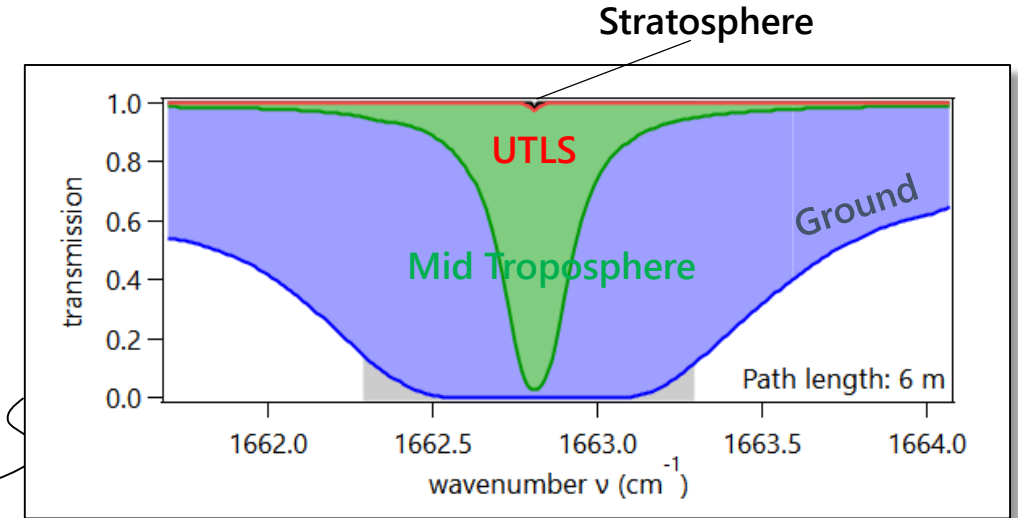
Delivers high accuracy and molecular
specificity. The measurements are comparable
and requires no calibration.

Direct Mid-IR Spectroscopy on H₂O

■ Direct tunable laser absorption spectroscopy

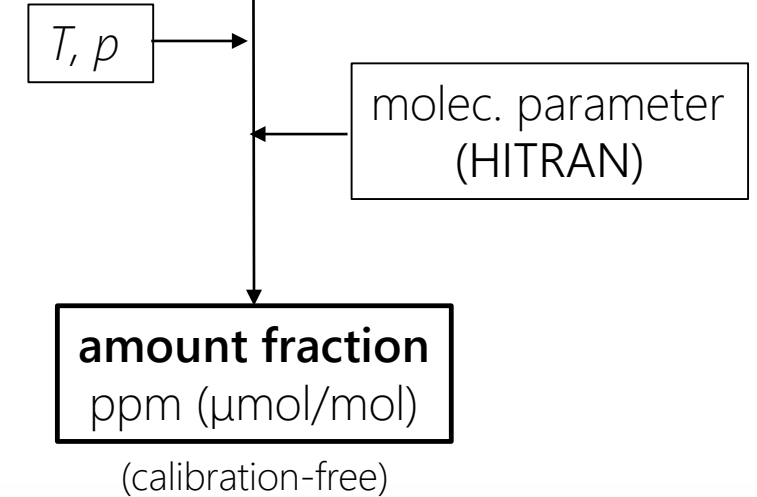


In the mid-IR, only 6 m optical path length is required!



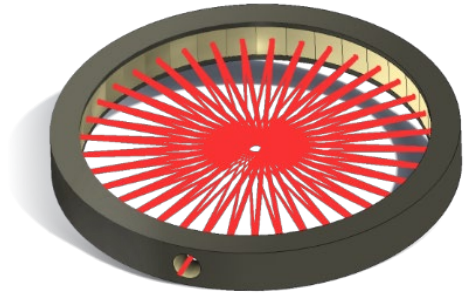
■ Properties of direct absorption spectroscopy:

- fast response
- accurate & comparable
- calibration-free (e.g. Buchholz et al., 2017)



Miniaturization: Multipass Cell Design

■ Segmented circular multipass cell (SC-MPC)

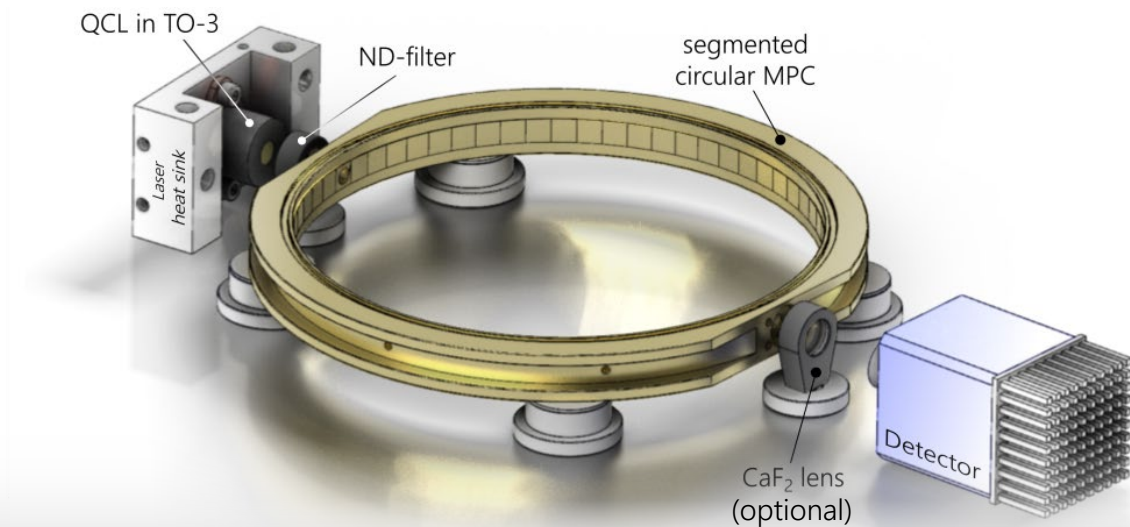


- + rotationally symmetric
- + lightweight (<150 g)
- + adjustable OPL

■ Specifically developed MPC for compact applications:

- Laser & detector can directly be mounted on the MPC
→ **very compact optical setup!**
- Ideal geometry for **open-path** measurements

■ Optical setup: basic concept



Graf et al., *Opt. Lett.* 43, 11 (2018)

The QCLAS Instrument

Integration of the presented concept in an integrated, standalone instrument

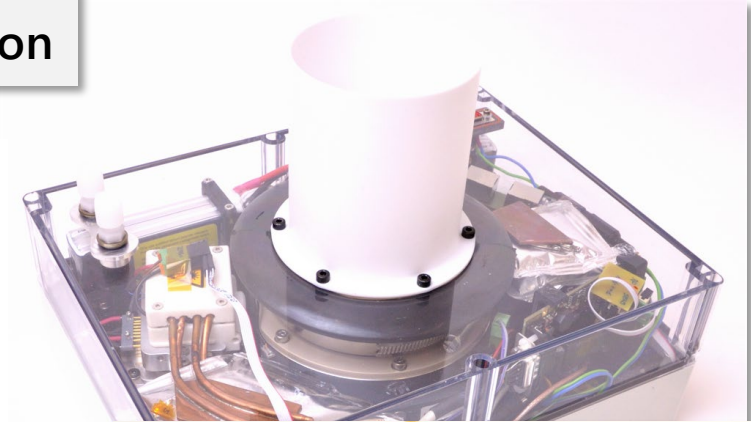
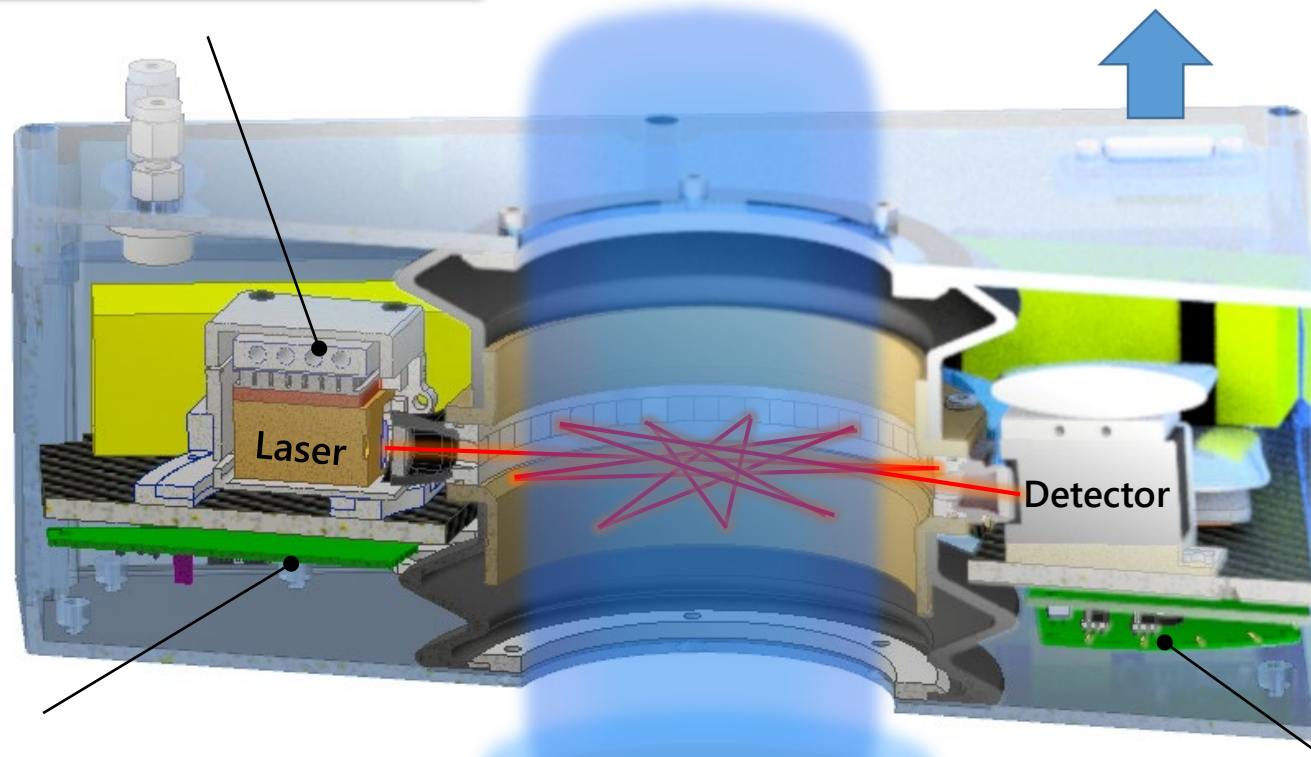
Further details in:

Graf et al., 2020, *in preparation for AMT*

H₂O QCLAS for UTLS water vapor measurements

Thermal management system

Software for
Communication & Evaluation



Laser driving electronics

DAQ & Storage

(Fischer et al., 2014)

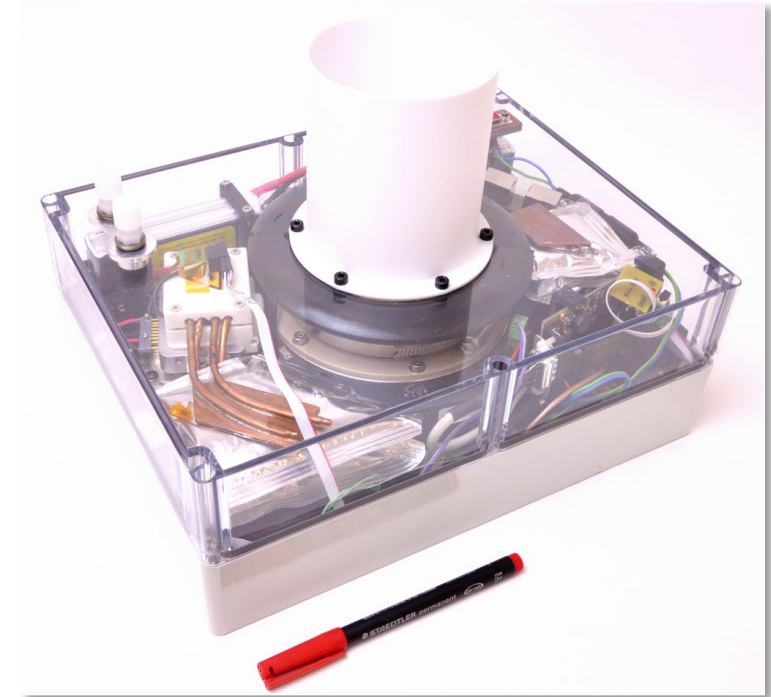
(Liu et al., 2018)

H₂O spectrometer for UTLS water vapor measurements

■ QCLAS for UTLS

- >2 h **autonomous** operation
- Fully **integrated** driving electronics and batteries
- **On-board** data acquisition & storage

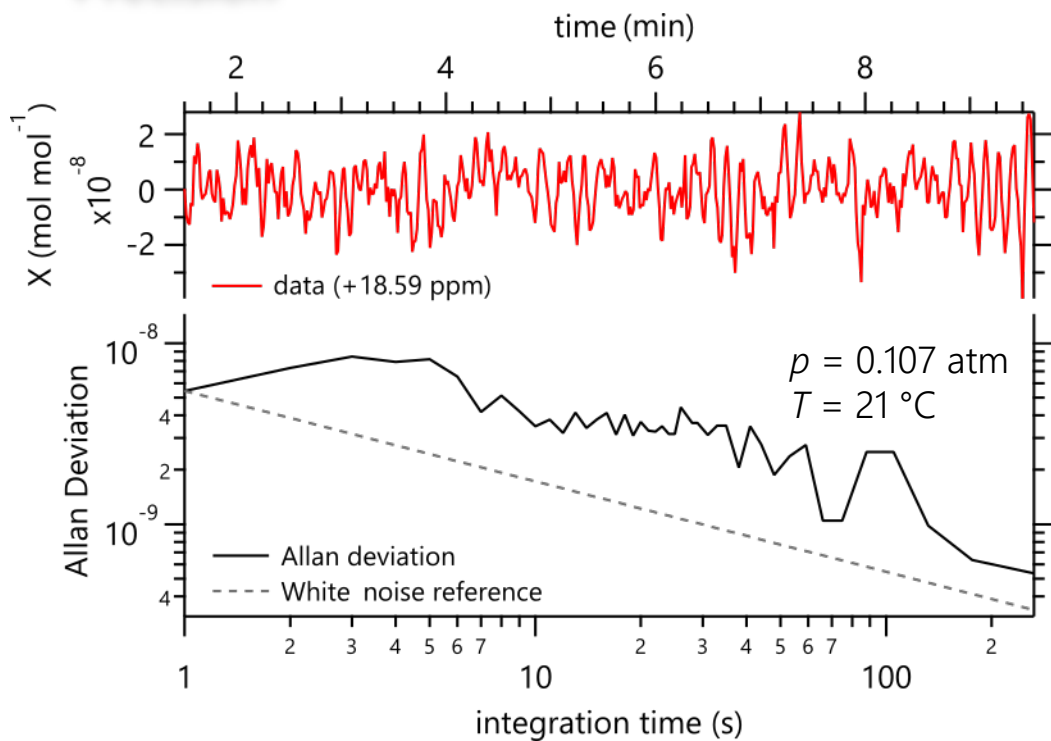
Total weight	3.8 kg
weight spectrometer only	2.6 kg
power consumption	15 W
gas exchange rate (5 m/s ascent speed)	40 l/s
acquisition rate	1 Hz



Open-path QCLAS (quantum cascade laser-based direct absorption spectrometer)

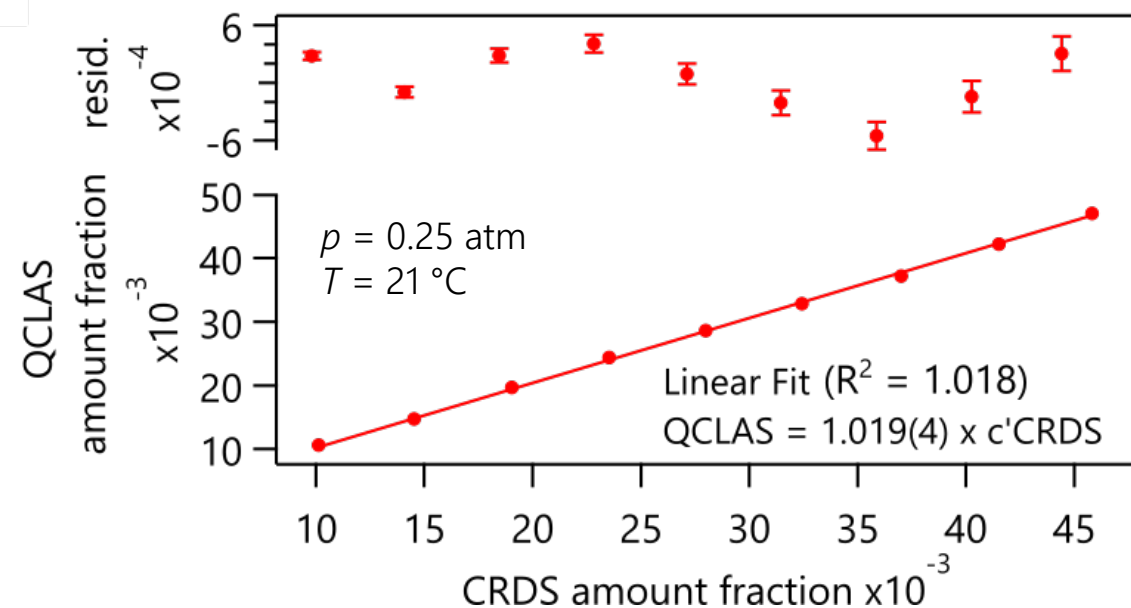
Lab-based Characterization

Precision



Precision at 1 Hz:
5.5 ppb (0.03%)

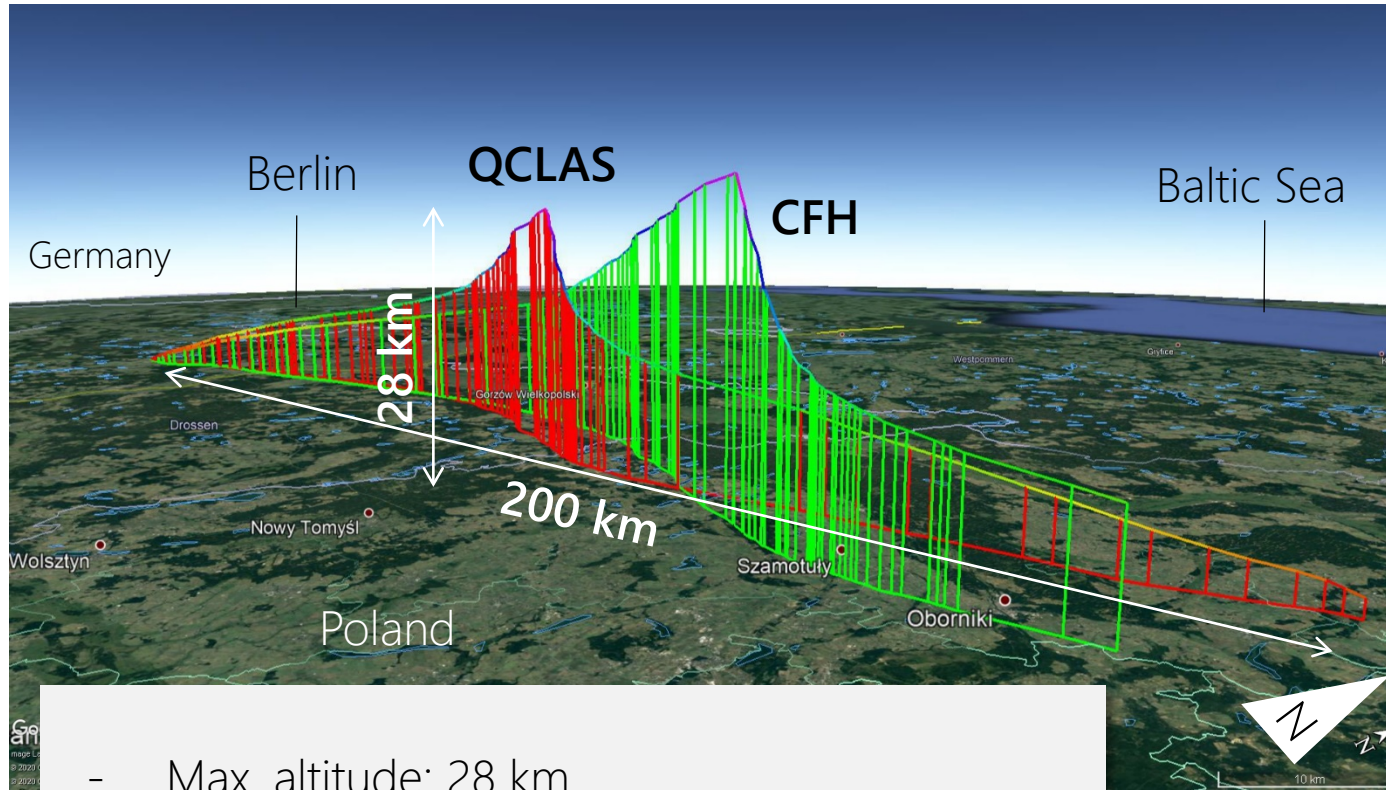
Accuracy (Comparison to CRDS)



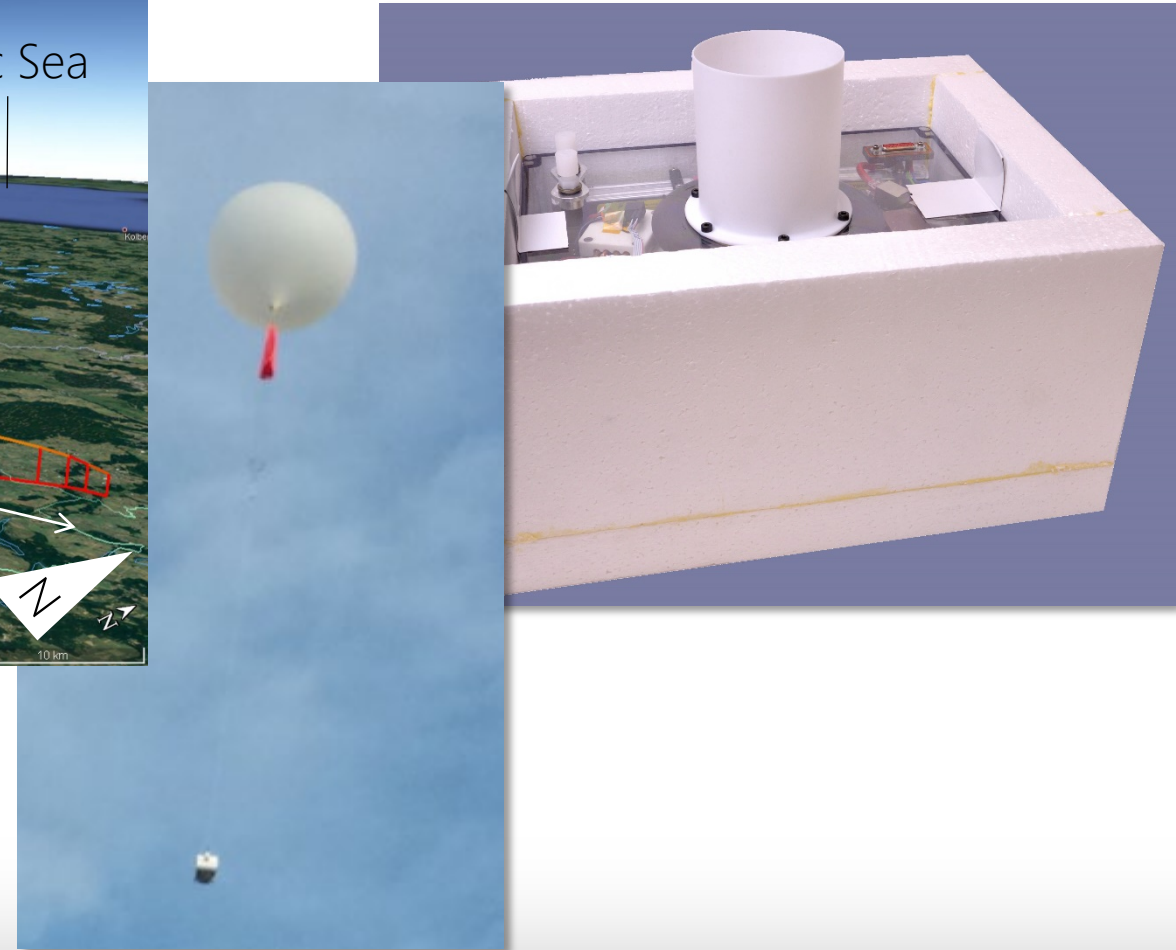
Accuracy:
2 %

First Balloon Flight from GRUAN Station Lindenberg

Parallel ascent of the novel QCLAS and CFH



- Max. altitude: 28 km
- Ascent and descent measurement
- 1 Hz (5 m) resolution
- Stable measurements even at $T_{\text{outside}} = -60\text{ }^{\circ}\text{C}$



Test Flights from MO Lindenberg



QCLAS ready for liftoff



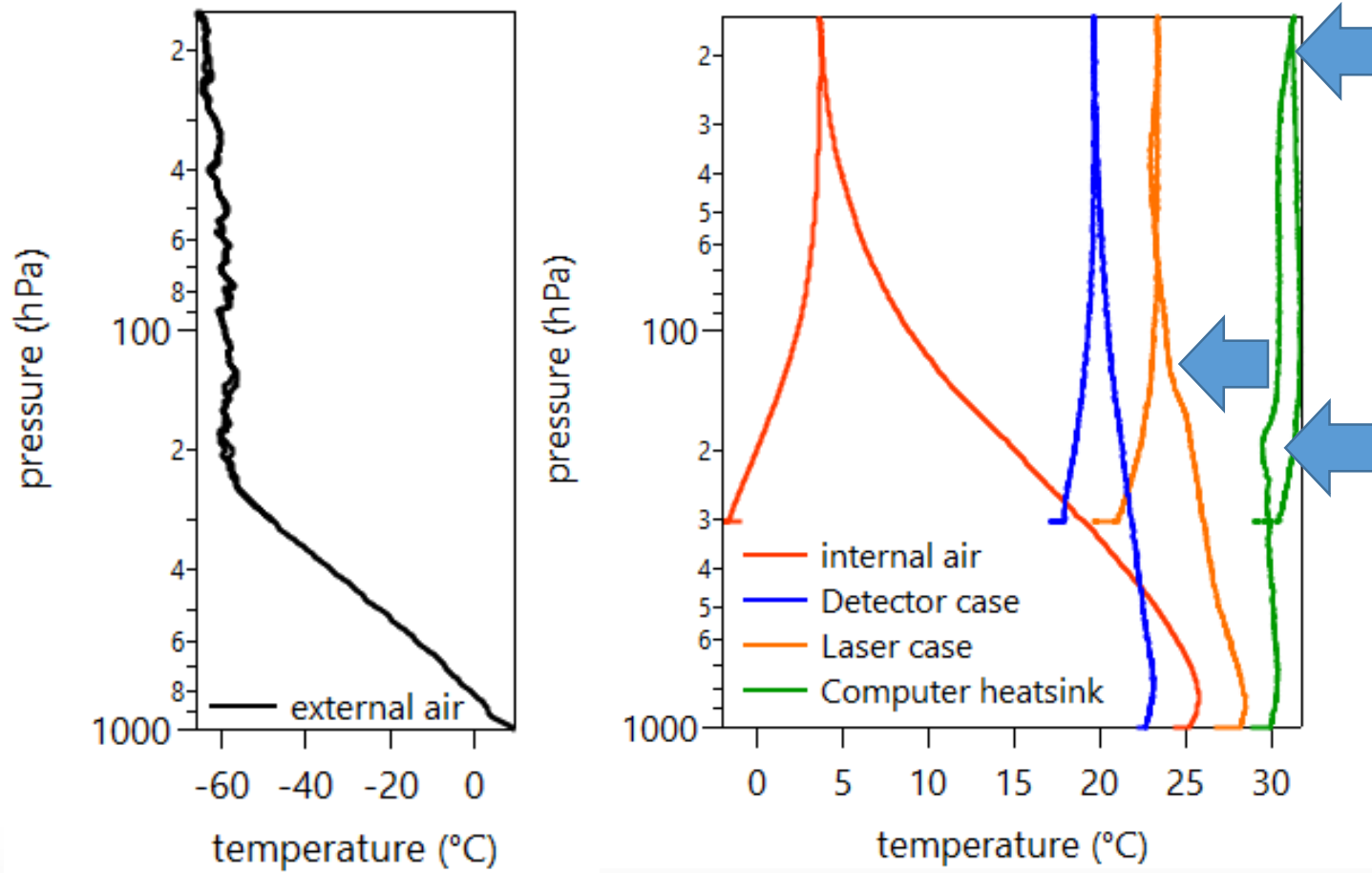
Hooked on



Landing site

Test Flights from MO Lindenberg

■ Temperature stability during flight



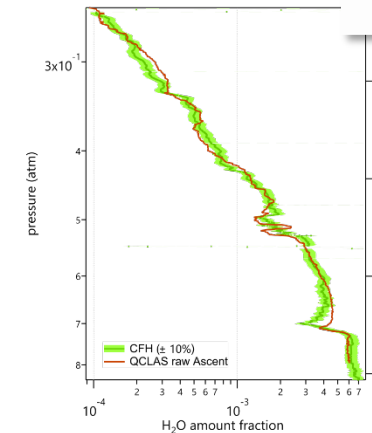
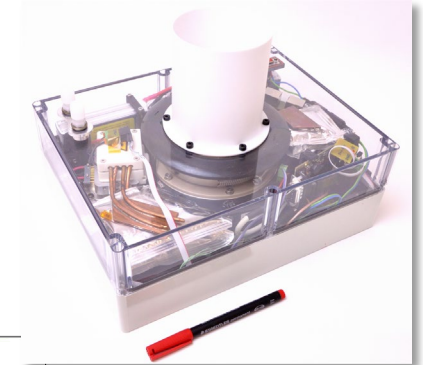
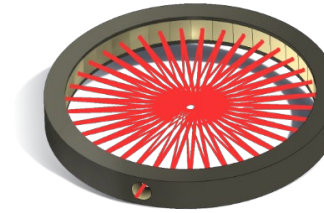
Critical electronics are contacted to **phase change material (PCM)** which increase the thermal inertia of the system and lead to a characteristic kink in the temperature evolution.

ΔT of the external air:
73 K

ΔT of laser-chip:
19 mK

Conclusions

- **Integrated, standalone QCL spectrometer of 3.8 kg**
 - using SC-MPC to miniaturize the optical setup
 - specifically developed electronics
- **In-lab precision of 0.03% and 2% accuracy**
- **First-ever balloon-borne QCL spectrometer in the UTLS**
 - Excellent accuracy in the troposphere
 - Good relative agreement to CFH in the stratosphere



Further details in:

Graf et al., Mid-IR Laser Spectrometer for Balloon-borne Water Vapor Measurements in the UTLS, 2020, *in preparation for AMT*

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