Detection of drought-related human migration and population change on the North American Great Plains

EGU 2020 Session: Drought risk, vulnerability and impact assessment: achievements and future directions

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## Research questions

- Does drought have an influence on contemporary rural migration \& population patterns on the North American Great Plains?
- Do different methodological approaches generate similar results?
- Is there evidence for the "lessening hypothesis"?


Image: Highway in rural Nebraska.
All images from authors except where noted

## Background

- Most contemporary research about population impacts of drought comes from low- and middle-income countries
- Relatively little evidence from high-income dryland regions such as the Great Plains
- Low-income vs high-income regions = very different agricultural systems in terms of land tenure, production methods, economic structure, technology, government engagement, etc


## Drought \& population change on Great Plains

- Since European settlement in late 1800s, severe drought episodes have occurred in approximately 20-30 year cycle
- These used to be accompanied by large fluctuations in rural population numbers, as subsistence farmers move in/out of affected areas
- Most infamous of these occurred in 1930s (aka "Dust Bowl" or "Dirt Thirties" migrations)


Image: abandoned farm, Kansas, 1930s. Photo from US Library Congress archives

# Past papers on 1930s drought migration by authors 

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## Journal of Historical Geography Volume 36, Issue 1 , January 2010, Pages 43-56

GIS-based modeling of drought and historical population change on the Canadian Prairies

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RobertMcLeman \({ }^{2}\) os a, Sam Herold \({ }^{2}\), Zoran Reljic \({ }^{2}\), Mike Sawada \({ }^{2}\), Daniel McKenney \({ }^{\text {b, I © }}\)田 Show more
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https://doi.org/10.1016/j.jhg.2009.04.003

## Abstract

This article describes the development of a GIS-based model of historical drought and population change in western Canada, designed to support qualitative field research into drought adaptation and migration. The model combines digitized census data and recently available modeled historical climate data at a $10 \mathrm{~km}^{2}$ grid cell scale and can be used to generate maps of 'hotspots' where historical declines in rural populations may be associated with extended periods of heat and lack of precipitation. The results suggest a promising avenue for expanding and refining GIS-based modeling of historical human-climate interactions to support qualitative research and to potentially serve as a stepping stone toward forecasting future risk areas of drought-related migration in continental dryland areas.

## Original Paper | Published: 26 June 2011

Soil and its influence on rural drought migration: insights from Depression-era Southwestern Saskatchewan, Canada

Robert A. McLeman ${ }^{-1}$ S. Kate Ploeger

Population and Environment 33,304-332(2012) | Cite this article 612 Accesses | 16 Citations | 0 Altmetric | Metrics

Abstract
This article investigates linkages between soil conditions, farm-level vulnerability, adaptation, and rural migration during periods of drought. It begins by reviewing existing literature on climate adaptation in agricultural populations and on relationships between soil and rural migration. This is followed by a detailed case study of rural migration patterns that emerged in the Swift Current district of Saskatchewan, Canada, during a period of extended droughts and severe economic conditions in the 1930s. Using a combination of secondary literature, interviews with surviving first-hand observers and GIS modeling, the study shows how the interacting effects of household indebtedness, social capital, government relief programs, and farm-level soil quality helped stimulate population loss in many rural townships across the study area. The study focuses particularly on the role played by differential soil quality across the Swift Current district and how farms situated on sandier soils were typically more sensitive and vulnerable to drought than those situated on clay soils. Higher-than-average rates of population loss were associated with townships containing areas of poorer quality agricultural soils, an association replicable using GIS software and existing soil and population datasets.

## "Lessening hypothesis"

- Since the 1950s, droughts no longer associated with obvious, large scale migrations
- "Lessening hypothesis": a process of continuous innovation in technological adaptation and policy responses lessens the impacts of recurrent climatic events of similar magnitude on the exposed population (Warrick 1980)
- Therefore, potential for large scale population movements due to droughts diminishes over time as the population adapts in other ways


## Studies on recent environment-population change linkages on Great Plains

- Outmigration from rural counties in the 1970s showed some association with adverse weather conditions; since then, adverse weather has had minimal influence (Gutmann et al 2005)
- Since 1970, the rate of rural population decline has slowed in areas where farms are irrigated (Parton et al 2007)
- Drought-related yield shocks to corn crops stimulate a small but significant amount of rural employment-seeking outmigration by young adults (Feng et al 2012)


## Approach in our project

- Use an exploratory, mixed-methods approach to identify possible associations between drought and population change since 1970s
- Sample all rural areas over that period, not just those where anecdotal or other evidence suggests there might be an association (i.e. avoid sampling on the dependent variable)
- Explore use of machine learning and geospatial regression techniques not previously used for this topic/region and compare results via GIS modelling
- Conduct qualitative field research in suspect locations to assess validity of model outputs


## Study area

- Great Plains region includes parts of 3 Canadian provinces \& 13 US states, from Alberta to Texas
- Contains 3 ecological sub-regions (temperate long-grass prairies, northern and southern semi-arid shortgrass prairies)
- Central and southern areas of Great Plains underlain by massive Ogallala aquifer



## Data used

Population data, 1970-2010

- County-level population counts for 727 rural counties in USA (urban \& suburban counties excluded)
- Rural census division population counts for Canadian regions of Plains (note Canadian census occurs on years ending in 1)

Climate data, 1970-2010
40 different climate variables, including:

- Monthly Palmer Drought Severity Index (PDSI scores)
- Number of degree days/year where max daily temperature $>30^{\circ} \mathrm{C}$
- Annual precipitation received April to August


## GIS model

- Data adjusted, rasterized, rescaled, and added to ArcGIS model gridded at $10 \mathrm{~km} \times 10 \mathrm{~km}$ scale
- A "drought potential" score generated for each year for each grid pixel
- Example to right: ranked drought potential score for year 1976, darker = higher likelihood
- Note concentration over eastern south Dakota



## Data analyses: regression methods

- Multiple techniques used, including Loess \& linear regression, Moran's I test for spatial auto-correlation, Cook's Distance measure to test residuals
- All done using customized r program scripts
- Decadal data comparisons done at multiple spatial scales, including all region, sub-region, national, province/state levels and Rsquared significance calculated


Sample output: comparison of regression scores for drought probability vs county-level population change in US rural Great Plains counties, 1970-1980s

## Findings using regression analyses

- Drought \& rural population change exhibits strongest association in temperate regions in 1970s, with strength declining each successive decade
- Rural US counties show strongest associations generally
- State-level comparisons show strongest associations in arid Southwestern region, with irrigation access a possible mitigating variable
- Intrastate variations exhibit possible neighbourhood effects", but also strong influence of exogenous factors

|  | 1970s |  | 1980s |  | 1990s |  | 2000s |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| All Rural | 0.025*** | 10.83 | 0.001 | 7.75 | 0 | 8.91 | 0 | 8.27 |
| Rural USA | 0.097*** | 4.49 | 0.092*** | 3.59 | 0.305*** | 3.49 | 0.050*** | 3.86 |
| Rural CAN | 0 | 6.47 | 0.002 | 4.61 | 0.017* | 5.11 | 0.030 | 5.88 |
| Rural Temperate | 0.083*** | 4.78 | 0.072*** | 3.38 | 0.138*** | 4.04 | 0.030** | 4.18 |
| Temperate CAN | 0 | 5.74 | 0.002 | 4.45 | 0 | 4.94 | 0.001 | 4.99 |
| Temperate USA | 0 | 2.68 | 0.030** | 2.35 | 0.136*** | 2.36 | 0.051* | 3.07 |
| Rural NW Semi Arid | 0.062*** | 6.43 | 0.042** | 4.44 | 0.033* | 4.86 | 0.001 | 5.78 |
| NW Semi Arid CAN | 0.006 | 7.47 | 0.019 | 4.92 | 0.094 | 5.23 | 0 | 6.80 |
| NW Semi <br> Arid <br> USA | 0.131** | 4.17 | 0.041* | 3.90 | 0 | 3.63 | 0.026 | 4.02 |
| Rural <br> S. Semi <br> Arid | 0.147*** | 5.18 | 0.154*** | 4.25 | 0.317*** | 4.07 | 0.005 | 4.41 |

Table $=$ Selected Model Results (R-Squared, MAE, Independent Variable Significance ((* $=0.05,{ }^{* *}=0.01,{ }^{* * *}=0.001$ ) for Area Difference Population Estimator)

## Data analyses: machine learning

- Random forest \& regression tree methods used
- = Automated approach to detect if specific climatic variables in given year(s) are associated to population decline in particular periods
- Requires establishing selection criteria \& rules for splitting data
- 42 runs done, at regional, subregional and national scales

Sample output:

- For decade 1971-1981, following variables show possible association to rural population changes in temperate US region:
- Precipitation in 1973 and1974 (potential contribution to overall change $18 \%$ and $39 \%$ respectively); combined drought index variables 1974 (15\%) and 1975 (10\%); PDSI in 1976 (10\%); summer precipitation in 1975 (10\%)


## Findings using machine learning

- Outputs simply show where you might wish to look more closely; does not "prove" cause/effect
- Shows overall declining association between climate variable \& population change by decade
- Generally similar outputs to regression analyses, but points more strongly to particular clusters of counties


Image: Counties in eastern South Dakota where 1970s drought conditions \& pop change show strong association are shaded in yellow

## Qualitative field research

- Population data $=10$ year intervals, much coarser than climate data
- Models simply show associations, cannot provide causation
- Qualitative research allows to assess potential causation; possible of lag effects between drought event and population change; exogenous factors; refine models

Current Directions in Water Scarcity Research
volume 2, 2019, Pages 311-323

Chapter 21 - Drought adaptation when irrigation
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## Abstract

This chapter describes drought impacts and adaptation in a dryland agricultural county in Colorado, USA, where there is insufficient ground and surface water to support crop irrigation. Water scarcity is an ongoing challenge for farmers, ranchers, and small urban centers in the county, with periodic droughts testing the limits of local adaptive capacity. Important factors in adaptation include crop insurance, government subsidies, and flexible agricultural practices. In Colorado, water is a shared common resource administered by the state, creating an added layer of complexity in water management and use. The experiences documented here are ones that many dryland agricultural regions in other parts of the world can expect to encounter in coming decades as changing climate, demographic
characteristics, and socioeconomic factors take hold.

Image: publication from field research in eastern Colorado, location identified by spatial regression methods

## Case study: eastern South Dakota drought, 1976

- Unusually localized drought, worst in century
- Farming system = family operated 500 acre cattle farms, fields used to pasture or grow feed for cattle
- No irrigation
- Government assistance consisted primarily of loans
- Farmers sold off herds to generate short-term income



## How farmers explained population impact of

 drought- Interviews with retired farmers suggest few people left area during the drought
- However, heavier debt loads \& reduced herd size made farmers more vulnerable to interest rates rising from $5 \%$ to $20 \%$ over next 3 years
- Thousands of area farmers went into financial distress, bankruptcy and this caused outmigration


Image: Abandoned main street businesses in Ipswich, SD

## In-migration during drought

- Qualitative research suggested re-analysis of population data by age cohort
- This revealed in-migration of men aged 30-34 to drought counties in 1970s
- Is likely return migration to help perform labour on farms during drought, when farmers had extra work to do but had no \$ to hire labour

Image: net male migration rates for drought counties, 1950-2010. Dotted red line shows net rate = zero; anything below it $=$ net out-migration. Colours $=$ various age cohorts.

## Findings (so far)

- Different modeling methods highlight similar potential associations, but emphasize some areas over others
- Mixed methods approach is important; need for qualitative research to "ground truth" evidence, assess potential causation and refine models is essential
- Evidence for lessening hypothesis is strong
- Temporal coarseness of population data $=$ a key limit on predictive power of models, not climate data

Thanks!

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