

# Marine organic matter in the remote environment of the Cape Verde Islands – first results of the MarParCloud campaign



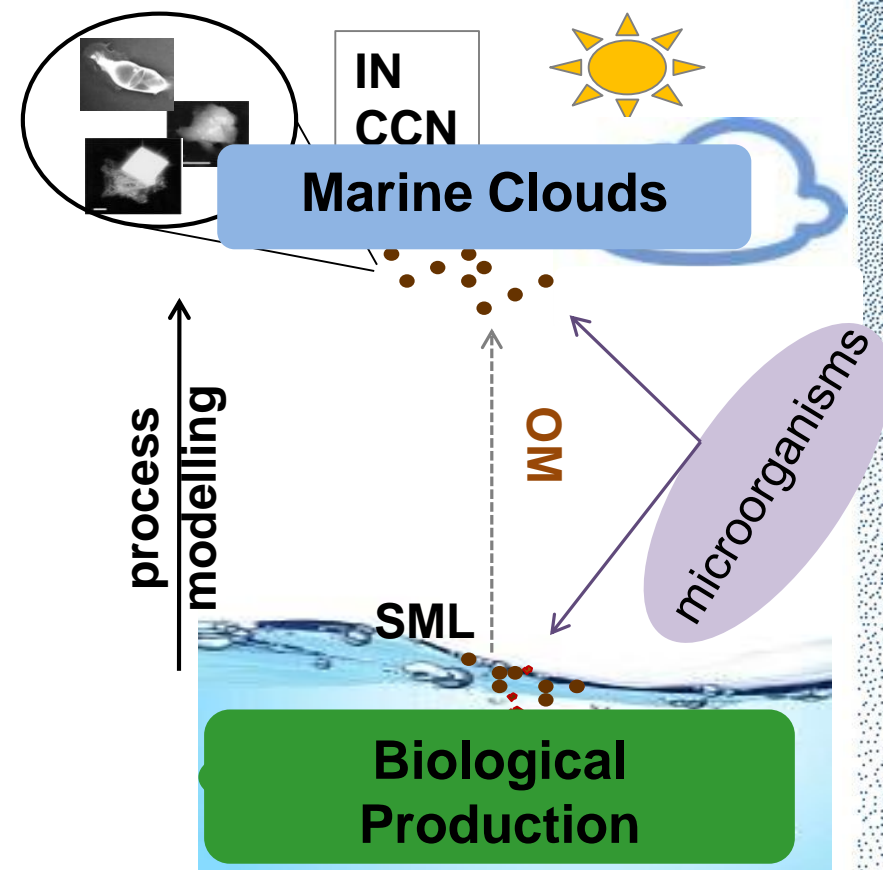
**Manuela van Pinxteren**<sup>1</sup>, Kanneh Wadinga Fomba<sup>1</sup>, Nadja Triesch<sup>1</sup>, Heike Wex<sup>1</sup>, Xianda Gong<sup>1</sup>, Jens Vogtländer<sup>1</sup>, Stefan Barthel<sup>1</sup>, Christian Stolle<sup>2</sup>, Enno Bahlmann<sup>3</sup>, Tim Rixen<sup>3</sup>, Detlef Schulz-Bull<sup>2</sup>, Oliver Wurl<sup>4</sup>, Frank Stratmann<sup>1</sup>, Hartmut Herrmann<sup>1</sup>

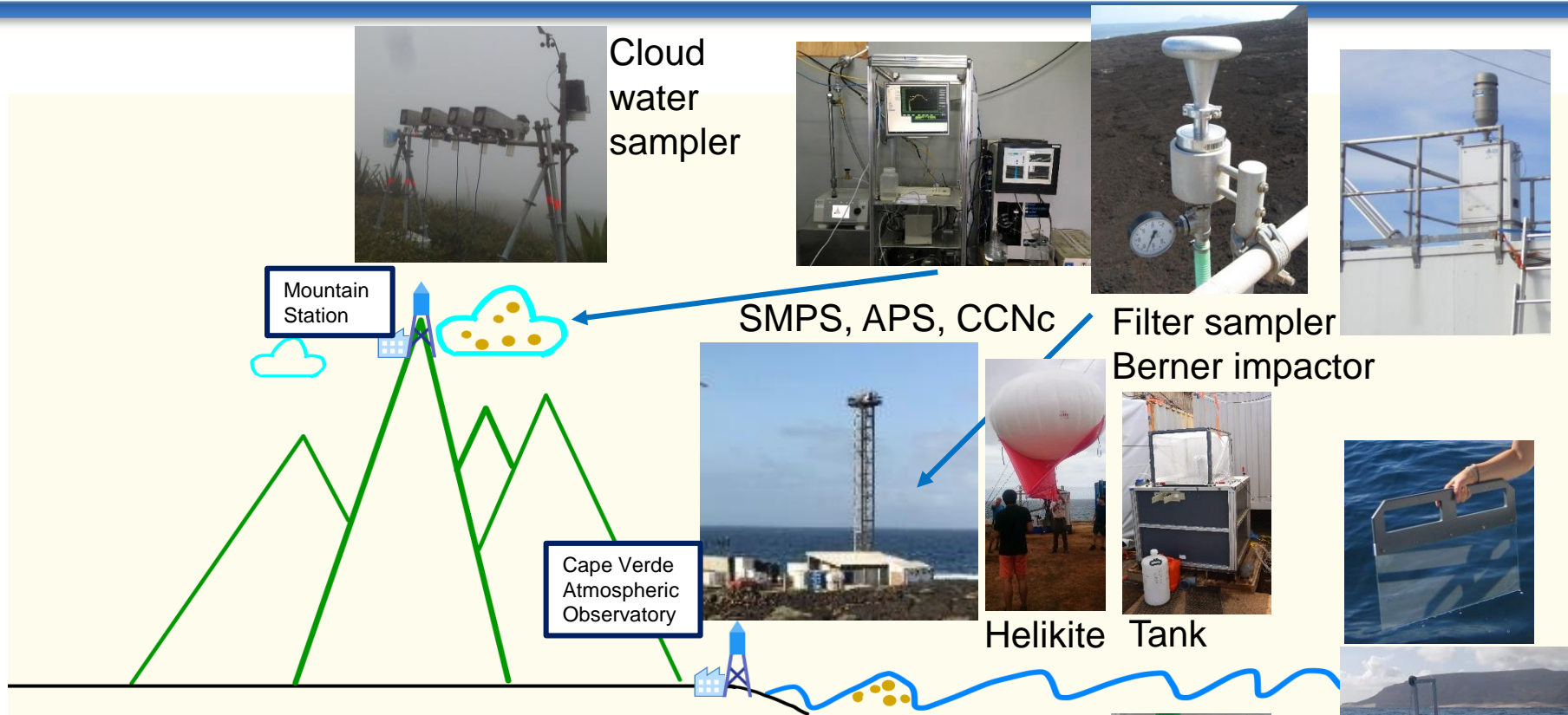
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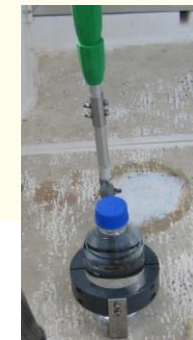
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## Research Questions:

- Ocean: a source of OM to aerosol particles and cloud water?
- Chemical OM nature?
- Biological and physical driving factors for marine OM?
- Bacteria in aerosol particles?
- Ocean-driven CCN and INP?
- Marine OM parameterization?




SML sampling  
Bulk water  
sampling

**TROPOS**



- “Proof of concept” of the connection between organic matter emission from the ocean to the atmosphere and up to the cloud level.
- Link between the ocean and the atmosphere:
  1. *The particles at surface and at cloud level: well mixed.*
  2. *Ocean-derived compounds (primary and secondary) can be found in the aerosol particles at mountain height and in the cloud water.*
- Detailed picture of OM compounds.
- The sea spray contributions to both CCN and INP are rather limited.



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Atmos. Chem. Phys. Discuss., <https://doi.org/10.5194/acp-2019-852>, 2019  
Manuscript under review for ACP (discussion: open, 3 comments)  
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# Marine organic matter in the remote environment of the Cape Verde Islands – An introduction and overview to the MarParCloud campaign

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47 Abstract

48

49 The project MarParCloud (Marine biological production, organic aerosol Particles and marine  
50 Clouds: a process chain) aims at improving our understanding of the genesis, modification and  
51 impact of marine organic matter (OM), from its biological production, via its export to marine  
52 aerosol particles and, finally, towards its ability to act as ice nucleating particles (INP) and  
53 cloud condensation nuclei (CCN). A field campaign at the Cape Verde Atmospheric  
54 Observatory (CVAO) in the tropics in September/October 2017 formed the core of this project  
55 that was jointly performed with the project MARSU (MARine atmospheric Science  
56 Unravelled). A suite of chemical, physical, biological and meteorological techniques was  
57 applied and comprehensive measurements of bulk water, the sea surface microlayer (SML),  
58 cloud water and ambient aerosol particles collected at a ground-based and a mountain station  
59 took place.

60 Key variables comprised the chemical characterization of the atmospherically relevant OM  
61 components in the ocean and the atmosphere as well as measurements of INP and CCN.  
62 Moreover, bacterial cell counts, mercury species and trace gases were analysed. To interpret  
63 the results, the measurements were accompanied by various auxiliary parameters such as air  
64 mass back trajectory analysis, vertical atmospheric profile analysis, cloud observations and  
65 pigment measurements in seawater. Additional modelling studies supported the experimental  
66 analysis.

67 During the campaign, the CVAO exhibited marine air masses with low and partly moderate  
68 dust influences. The marine boundary layer was well mixed as indicated by an almost uniform  
69 particle number size distribution within the boundary layer. Lipid biomarkers were present in  
70 the aerosol particles in typical concentrations of marine background conditions. Accumulation  
71 and coarse mode particles served as CCN and were efficiently transferred to the cloud water.  
72 The ascent of ocean-derived compounds, such as sea salt and sugar-like compounds, to the  
73 cloud level as derived from chemical analysis and atmospheric transfer modelling results denote  
74 an influence of marine emissions on cloud formation. However, INP measurements indicated  
75 also a significant contribution of other non-marine sources to the local INP concentration or  
76 strong enrichment processes during upward transport. In addition, the number of CCN at the  
77 supersaturation of 0.30% was about 2.5 times higher during dust periods compared to marine  
78 periods. Lipids, sugar-like compounds, UV absorbing humic-like substances and low molecular  
79 weight neutral components were important organic compounds in the seawater and highly  
80 surface-active lipids were enriched within the SML. The selective enrichment of specific  
81 organic compounds in the SML needs to be studied in further detail and implemented in an OM  
82 source function for emission modelling to better understand transfer patterns, mechanisms of  
83 marine OM transformation in the atmosphere and the role of additional sources.

84 In summary, when looking at particulate mass, we do see oceanic compounds transferred to the  
85 atmospheric aerosol and to the cloud level, while from a perspective of particle number  
86 concentrations, marine contributions to both CCN and INP are rather limited.

87

88



## 89 Keywords

90 MarParCloud, MARSU, organic matter, seawater, sea surface microlayer, aerosol particles,  
91 cloud water, Cape Verde Atmospheric Observatory (CVAO)

## 92 1 Introduction and Motivation

93 The ocean covers around 71% of the earth's surface and acts as a source and sink for  
94 atmospheric gases and particles. However, the complex interactions between the marine  
95 boundary layer (MBL) and the ocean surface are still largely unexplored (Cochran, et al. 2017;  
96 de Leeuw, et al. 2011; Gantt and Meskhidze 2013; Law, et al. 2013). In particular, the role of  
97 marine organic matter (OM) with its sources and contribution to marine aerosol particles, is still  
98 poorly understood, where this particle fraction might lead to a variety of effects such as  
99 changing health effects, changing radiative properties, changing effects of marine particles  
100 deposited to the ecosystems (e.g. Abbatt, et al. 2019; Brooks and Thornton 2018; Burrows, et  
101 al. 2013; Gantt and Meskhidze 2013; Pagnone, et al. 2019). Furthermore, knowledge on the  
102 properties of marine organic aerosol particles and their ability to act as cloud condensation  
103 nuclei (CCN) or ice nucleating particle (INP) is still elusive. Ocean-derived INPs were  
104 suggested to play a dominating role in determining INP concentrations in near-surface-air over  
105 the remote areas such as the Southern Ocean, however their source strength in other oceanic  
106 regions is still largely unknown (Burrows, et al. 2013; McCluskey, et al. 2018a; McCluskey, et  
107 al. 2018b).

108 During recent years, it was clearly demonstrated that marine aerosol particles contain a  
109 significant organic mass fraction derived from primary and secondary processes (Middlebrook,  
110 et al. 1998; Prather, et al. 2013; Putaud, et al. 2000; van Pinxteren, et al. 2017; van Pinxteren,  
111 et al. 2015). Although it is known that the main OM groups show similarities to the oceanic  
112 composition and comprise carbohydrates, proteins, lipids as well as humic-like and refractory  
113 organic matter, a large fraction of OM in the marine environment is still unknown on a  
114 molecular level (e.g. Gantt and Meskhidze 2013).

115 The formation of ocean-derived aerosol particles and their precursors is influenced by the  
116 uppermost layer of the ocean, the sea surface microlayer (SML) formed due to different  
117 physicochemical properties of air and water (Engel, et al. 2017; Wurl, et al. 2017). Recent  
118 investigations suggest that the SML is stable up to wind speeds of  $> 10 \text{ m s}^{-1}$  and is therefore  
119 existent at the global average wind speed of  $6.6 \text{ m s}^{-1}$  and a fixed component influencing the  
120 ocean atmosphere interaction on global scales (Wurl, et al. 2011). The SML is involved in the  
121 generation of sea-spray (or primary) particles including their organic fraction by either transfer  
122 of OM to rising bubbles before they burst out or through a more direct transfer of OM from the  
123 ocean compartments to the marine particles. A mechanistic and predictable understanding of  
124 these complex and interacting processes is still lacking (e.g. Engel, et al. 2017). Moreover,  
125 surface films influence air-sea gas exchange and may undergo (photo)chemical reactions  
126 leading to a production of unsaturated and functionalized volatile organic compounds (VOCs)  
127 acting as precursors for the formation of secondary organic aerosol (SOA) particles  
128 (Brueggemann, et al. 2018; Ciuraru, et al. 2015). Thus, dynamics of OM and especially surface-  
129 active compounds present at the air-water interface may have global impacts on the air-sea



exchange processes necessary to understand oceanic feedbacks on the atmosphere (e.g. Pereira, et al. 2018).

Within the SML, OM is a mixture of different compounds such as polysaccharides, amino acids, proteins, lipids and it occurs as particulate and chromophoric dissolved organic matter (CDOM) (e.g. Gašparović, et al. 1998a; Gašparović, et al. 2007; Stolle, et al. 2019). In addition, the complex microbial community is assumed to exert a strong control on the concentration and the composition of OM (Cunliffe, et al. 2013). In calm conditions, bacteria accumulate in the SML (Rahlff, et al. 2017) and are an integral part of the biofilm-like habitat forming at the air-sea interface (Stolle, et al. 2010; Wurl, et al. 2016).

A variety of specific organic compounds such as surface-active substances (SAS), volatile organic compounds (VOC), and acidic polysaccharides aggregating to transparent exopolymer particles (TEP), strongly influence the physico-chemical properties of OM in the SML. SAS (or surfactants) are highly enriched in the SML relative to bulk water and contribute to the formation of surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). SAS are excreted by phytoplankton, during zooplankton grazing and bacterial activities (e.g. Gašparović, et al. 1998b). The enrichment of SAS in the SML occurs predominantly via advective and diffusive transport at low wind speeds or bubble scavenging at moderate to high wind speeds (Wurl, et al. 2011). When transferred to the atmosphere, OM with surfactant properties, ubiquitously present in atmospheric aerosol particles, has the potential to affect the cloud droplet formation ability of these particles (e.g. Kroflič, et al. 2018).

Sticky and gel-like TEP are secreted by phytoplankton and bacteria and can form via abiotic processes (Wurl, et al. 2009). Depending on their buoyancy they may contribute to sinking particles (marine snow) or can rise and accumulate at the sea surface. Due to their sticky nature TEP is called the “marine glue” and as such it contributes to the formation of hydrophobic films by trapping other particulate and dissolved organic compounds (Wurl, et al. 2016). Additionally, TEP is suspected to play a pivotal role in the release of marine particles into the air via sea spray and bursting bubbles (Bigg and Leck 2008).

Many studies recognize a possible link between marine biological activity and marine-derived organic aerosol particles (Facchini, et al. 2008; O'Dowd, et al. 2004; Ovadnevaite, et al. 2011), and thus to the SML due to the linkages outlined before. Yet, the environmental drivers and mechanisms for the OM enrichment are not very clear (Brooks and Thornton 2018; Gantt and Meskhidze 2013) and individual compound studies can only explain a small part of OM (e.g. van Pinxteren, et al. 2017; van Pinxteren and Herrmann 2013). The molecular understanding of the occurrence and the processing of OM in all marine compartments is essential for a deeper understanding and for an evidence-based implementation of organic aerosol particles and their relations to the oceans in coupled ocean-atmosphere models. Synergistic measurements in comprehensive interdisciplinary field campaigns in representative areas of the ocean and also laboratory studies under controlled conditions are required to explore the biology, physics and chemistry in all marine compartments (e.g. Quinn, et al. 2015).

Accordingly, the project MarParCloud together with contributions from the project MARSU addresses central aspects of ocean atmosphere interactions focusing on the marine OM within an interdisciplinary field campaign at the Cape Verde Islands. Synergistic measurements will deliver an improved understanding of the role of marine organic matter. MarParCloud focuses on the following main research questions:



- 174 • To what extent is seawater a source of OM on aerosol particles and cloud water?
- 175
- 176 • What are the important OM groups in oceanic surface films, aerosol particles and
- 177 cloud water (and how are they linked)?
- 178
- 179 • Is the occurrence and accumulation of OM in the surface film and in other marine
- 180 compartments (aerosol particles, cloud water) controlled by biological and
- 181 meteorological factors?
- 182
- 183 • Which functional role do bacteria play in aerosol particles?
- 184
- 185 • Does the surface film contribute to the formation of ice nuclei, and at what
- 186 temperatures do these nuclei become ice-active? Are these ice nuclei found in cloud
- 187 water?
- 188
- 189 • Is the marine OM connected to the CCN concentration in the MBL?
- 190
- 191 • How must an emission parameterization for OM (including individual species) be
- 192 designed in order to best reflect the concentrations in the aerosol depending on those
- 193 in seawater or biological productivity under given ambient conditions?
- 194

195 The tropics with a high photochemical activity are of central importance in several aspects of  
 196 the climate system. Approximately 75% of the tropospheric production and loss of ozone occurs  
 197 within the tropics, and in particular in the tropical upper troposphere (Horowitz, et al. 2003).  
 198 The Cape Verde islands are located downwind of the Mauritanian coastal upwelling region off  
 199 northwest in the islands. In addition, they are in a region of the Atlantic that is regularly  
 200 impacted by dust deposition from the African Sahara (Carpenter, et al. 2010). The remote  
 201 station of CVAO is therefore an excellent site for process-oriented campaigns embedded into  
 202 the long-term measurements of atmospheric constituents, which are essential for understanding  
 203 the atmospheric processes and its impact on climate.

## 204 205 2 Strategy of the campaign

206

207 The present contribution intends to provide an introduction, overview and first results of the  
 208 comprehensive MarParCloud field campaign to the MarParCloud Special Issue. We will  
 209 describe the oceanic and atmospheric ambient conditions at the CVAO site that have not been  
 210 synthesized elsewhere and are valuable in themselves because of the sparseness of the existing  
 211 information at such a tropical remote location. Next, we will describe the sampling and  
 212 analytical strategy during MarParCloud, taking into account all marine compartments i.e. the  
 213 seawater (SML and bulk water), ambient aerosol particles (at ground-level and the Mt Verde,  
 214 elevation: 744 m a.s.l.), and cloud water. Detailed aerosol investigations were carried out, both  
 215 for the chemical composition and for physical properties at both stations. In addition, vertical  
 216 profiles of meteorological parameters were measured at CVAO using a helikite. These  
 217 measurements were combined with modelling studies to determine the MBL height. In  
 218 conjunction, they are an indicator for the mixing state within the MBL providing further



confidence for ground-level measured aerosol properties being representative for those at cloud level. The chemical characterization of OM in the aerosol particles as well as in the surface ocean and cloud water included sum parameters (e.g. OM classes like biopolymers and humic-like substances) and molecular analyses (e.g. lipids, sugars and amino acids). Additionally, to address the direct oceanic transfer (bubble bursting), seawater and aerosol particle characterization obtained from a systematic plunging waterfall tank are presented. As an example for trace metals, ocean surface mercury (Hg) associated with OM was studied. Marine pigments and marine microorganisms were captured to investigate their relation to OM and to algae produced trace gases. Marine trace gases such as dimethyl sulphide (DMS), VOCs and oxygenated (O)VOCs were measured and discussed. Furthermore, a series of continuous nitrous acid (HONO) measurements was conducted at the CVAO with the aim of elucidating the possible contribution of marine surfaces at the production of this acid. To explore whether marine air masses exhibit a significant potential to form SOA, an oxidation flow reactor (OFR) was deployed at the CVAO. Finally, modelling studies to describe the vertical transport of selected marine organic compounds from the ocean to the atmosphere up to cloud level taking into account advection and wind conditions will be applied. From the obtained results of organic compound measurements, a new source function for the oceanic emission of OM will be developed. The measurements, first interpretations and conclusions aggregated here will provide a basis for upcoming detailed analysis.

238

## 239 3 Experimental

240

### 241 3.1 General CVAO site and meteorology

242

The Cape Verde archipelago Islands are situated in the Eastern Tropical North Atlantic (ETNA). The Archipelago experiences strong North-East trade winds that divide the islands into two groups, the Barlavento (windward) and Sotavento (leeward) islands. The North-Western Barlavento Islands of São Vicente and Santo Antão, as well as São Nicolao, are rocky and hilly making them favourable for the formation of orographic clouds.

The CVAO is part of a bilateral initiative between Germany and the UK to conduct long-term studies in the tropical north-east Atlantic Ocean (16° 51.49' N, -24° 52.02' E). The station is located directly at the shoreline at the northeastern tip of the island of São Vicente at 10 m a.s.l. The air temperature varies between 20 and 30 °C with a mean of 23.6 °C. The relative humidity is in average at 79% and precipitation is very low (Carpenter, et al. 2010). Due to the trade winds, this site is free from local island pollution and provides reference conditions for studies of ocean-atmosphere interactions. However, it also lies within the Saharan dust outflow corridor to the Atlantic Ocean and experiences strong seasonal dust outbreaks with peaks between late November and February (Fomba, et al. 2014; Patey, et al. 2015; Schepanski, et al. 2009). Air mass inflow to this region can vary frequently within a day leading to strong inter-day temporal variation in the aerosol mass and chemical composition (Fomba, et al. 2014, Patey, et al. 2015).



Despite the predominant NE trade winds, air masses from the USA as well as from Europe are partly observed. However, during autumn, marine air masses are mainly present with few periods of dust outbreaks because at these times the dust is transported at higher altitudes in the Saharan Air Layer (SAL) over the Atlantic to the Americas (Fomba, et al. 2014). During autumn, there is no significant transport of the dust at lower altitudes and only intermittent effects of turbulence in the SAL leads to occasional dust deposition and sedimentation from the SAL to lower altitudes and at ground level. Furthermore, during autumn the mountain site (Mt. Verde) is often covered with clouds as surface temperatures drop after typically very hot summer months. Due to the frequent cloud coverage and less dust influence in autumn, the MarParCloud campaign was scheduled from September 13<sup>th</sup> to October 13<sup>th</sup> 2017.

### 3.2 CVAO equipment during MarParCloud

The setup of the CVAO station is explained in detail in Carpenter, et al. (2010) and Fomba, et al. (2014). During the MarParCloud campaign, the 30 m high tower was equipped with several aerosol particle samplers, including high volume PM<sub>1</sub>, PM<sub>10</sub> (Digitel, Rieme, Germany), and total suspended particle (TSP, Sieria Anderson, USA) samplers, low volume TSP (homebuilt) and PM<sub>1</sub> (Comde-Derenda, Germany) samplers and a size-resolved aerosol particle Berner impactor (5 stages). The sampling times were usually set to 24 h (more details in the SI). On-line aerosol instruments included a Cloud Condensation Nuclei counter (CCNc, Droplet Measurement Technologies, Boulder, USA) (Roberts and Nenes 2005) to measure cloud condensation nuclei number concentration (N<sub>CCN</sub>). A TROPOS-type Scanning Mobility Particle Sizer (SMPS) (Wiedensohler, et al. 2012), and an APS (Aerodynamic Particle Sizer, model 3321, TSI Inc., Paul, MN, USA) were used to measure in the size range from 10 nm to 10 µm. The particles hygroscopicity (expressed as  $\kappa$  (Petters and Kreidenweis 2007)) was derived from combined N<sub>CCN</sub> and particle number size distributions (PNSDs) measurements from the SMPS and APS. Vertical profiles of meteorological parameters were measured using a 16 m<sup>3</sup> Helikite (Allsopp Helikites Ltd, Hampshire, UK), a combination of a kite and a tethered balloon. Additional equipment at the CVAO station on ground included the plunging waterfall tank, the Long Path Absorption Photometer (LOPAP), and the Gothenburg Potential Aerosol Mass Reactor (Go:PAM) chamber. Further details on the measurements are listed and explained in the SI and all instruments can be found in the Table S1.

### 3.3 Mt. Verde

Mt. Verde was a twin site for aerosol particle measurements and the only site with cloud water-sampling during the MarParCloud campaign. It is the highest point of the São Vicente Island (744 m) situated in the northeast of the Island (16° 86.95' N, -24° 93.38' E) and northwest to the CVAO. Mt. Verde also experiences direct trade winds from the ocean with no significant influence of anthropogenic activities from the island. Mt. Verde was in clouds during roughly 58% of the time during the campaign. However, the duration of the cloud coverage varied between 2 h and 18 h with longer periods of cloud coverage observed in the nights when surface temperatures dropped.



During the campaign, Mt. Verde was, for the first time, equipped with similar collectors as operated at the CVAO, namely the high volume Digitel sampler for the PM<sub>1</sub> and PM<sub>10</sub> bulk aerosol particles, a low volume TSP sampler and a five-stage Berner impactor for the size-resolved aerosol particle sampling. Bulk cloud water was collected using six (4 plastic and 2 stainless steel) compact Caltech Active Strand Cloud water Collectors (CASCC2) (Demoz, et al. 1996). The six samplers were run in parallel for a sampling time between 2.5 and 13 hours collecting between 78 to 544 mL cloud water per sampler in an acid-precleared plastic bottle. It needs to be pointed out that the aerosol particle samplers run continuously and aerosol particles were also sampled during cloud events. The cloud liquid water content was measured continuously by a particle volume monitor (PVM-100, Gerber Scientific, USA), which was mounted on a support at the same height with the cloud water samplers. The same suite of on-line aerosol instruments as employed at the CVAO (SMPS, APS, CCNc) was installed at the mountain side. All instruments employed at the Mt. Verde site are listed in the Table S2.

### 3.4 Oceanographic setting and seawater sampling site

The ETNA around Cape Verde is characterized by a so-called oxygen minimum zone (OMZ) at a water depth of approximately 450 m and by sluggish water velocities (Brandt, et al. 2015). The region is bounded by a highly productive eastern-boundary upwelling system (EBUS) along the African coast, by the Cape Verde Frontal Zone (CVFZ) on its western side, and by zonal current bands towards the equator (Stramma, et al. 2005). Upper water masses towards the archipelago are dominated by North Atlantic Central Water masses (NACW) with enhanced salinity, whereas the South Atlantic Central Water mass (SACW) is the dominating upper layer water mass in the EBUS region (Pastor, et al. 2008). Filaments and eddies generated in the EBUS region are propagating westwards into the open ocean and usually dissipate before reaching the archipelago. However, observations from the Cape Verde Ocean Observatory (CVOO) 60 nautical miles northeast of the Sao Vicente island (17° 35.00 N', -24° 17.00 E', <http://cvoo.geomar.de>) also revealed the occurrence of water masses originating from the EBUS region which got advected by stable mesoscale eddies (Fiedler, et al. 2016; Karstensen, et al. 2015).

For the MarParCloud campaign, the water samples were taken at Bahia das Gatas, a beach that is situated upwind of the CVAO about 4 km northwest in front of the station. The beach provided shallow access to the ocean that allowed the employment of the fishing boats for manual SML and bulk water sampling and the other equipment. For SML sampling, the glass plate technique as one typical SML sampling strategy was applied (Cunliffe and Wurl 2014). A glass plate with a sampling area of 2000 cm<sup>2</sup> was vertically immersed into the water and then slowly drawn upwards with a withdrawal rate between 5 and 10 cm s<sup>-1</sup>. The surface film adheres to the surface of the glass and is removed using framed Teflon wipers (Stolle, et al. 2010; van Pinxteren, et al. 2012). Bulk seawater was collected from a depth of 1 m using a specially designed device consisting of a glass bottle mounted on a telescopic rod used to monitor sampling depth. The bottle was opened underwater at the intended sampling depth with a specifically conceived seal-opener.

In addition, the MarParCat, a remotely controllable catamaran, was applied for SML sampling using the same principle as manual sampling (glass plate). The MarParCat sampled bulk water



in a depth of 70 cm. A more detailed description of the MarParCat can be found in the SI. Using the two devices, manual sampling and the MarParCat, between one and six liters of SML were sampled at each sampling event. For the sampling of the SML, great care was taken that all parts that were in contact with the sample (glass plate, bottles, catamaran tubing) underwent an intense cleaning with 10% HCl to avoid contamination and carry over problems. The sampling sites with the different set up and equipment are illustrated in Figure 1. All obtained SML and bulk water samples and their standard parameters are listed in Table S3.

## 4 Ambient conditions

### 4.1 Atmospheric conditions during the campaign

#### 4.1.1 Marine and dust influences

During autumn, marine background air masses are mainly observed at the CVAO, interrupted by a few periods of dust outbreaks (Carpenter, et al. 2010; Fomba, et al. 2014). A 5 years' average dust record showed low concentrations with average values of  $25 \mu\text{g m}^{-3}$  and  $17 \mu\text{g m}^{-3}$  during September and October, respectively (Fomba, et al. 2014). The dust concentrations during the campaign were generally  $< 30 \mu\text{g m}^{-3}$  however, strong temporal variation of mineral dust markers were observed (Table 1). According to Fomba, et al. (2013, 2014), a classification into: marine conditions (dust  $< 5 \mu\text{g/m}^3$ , typically Fe  $< 50 \text{ ng m}^{-3}$ ), low dust (dust  $< 20 \mu\text{g/m}^3$ ) and moderate dust (dust  $< 60 \mu\text{g/m}^3$ ) conditions was used to describe the dust influence during this period. Following this classification, one purely marine period was defined from September 22<sup>nd</sup> to 24<sup>th</sup>, which was also evident from the course of the back trajectories (Fig S11). For the other periods, the air masses were classified as mixed with marine and low or moderate dust influences as listed in Table 1. Based on a three-modal parameterization method that regarded the number concentrations in different aerosol particle modes, a similar but much finer classification of the aerosol particles was obtained as discussed in Gong, et al. (2019a). The classification of the air masses was complemented by air mass backward trajectory analyses. 96 hours back trajectories were calculated on an hourly basis within the sampling intervals, using the HYSPLIT model (HYbrid Single-Particle Lagrangian Integrated Trajectory, <http://www.arl.noaa.gov/ready/hysplit4.html>, 26.07.19) published by the National Oceanic and Atmospheric Administration (NOAA) in the ensemble mode at an arrival height of  $500 \text{ m} \pm 200 \text{ m}$  (van Pinxteren, et al. 2010). The back trajectories for the individual days of the entire campaign, based on the sampling interval for aerosol particle sampling, were calculated and are listed in Figure S11. Air parcel residence times over different sectors are plotted in Figure 2. The comparison of dust concentration and the residence time of the back trajectories revealed that in some cases low dust contributions were observed although the air masses travelled almost completely over the ocean (e.g. first days of October). In such cases, entrainment of dust from higher altitudes might explain this finding. The related transport of Saharan dust to the Atlantic during the measurement period can be seen in a visualization based on satellite observations (<https://svs.gsfc.nasa.gov/12772>, last visited on Oct. 1<sup>st</sup>, 2019). For specific days with a low MBL height, it might be more precise to employ back trajectories that start at a lower height and therefore exclude entrainment effects from the free troposphere for the



characterisation of CVAO data. Similarly, for investigating long-lived components, it might be helpful to analyse longer trajectory integration times (e.g. 10 days instead of 4 days). However, the longer the back trajectories, the higher is the level of uncertainty. Regarding aerosol analysis, it is important to notice that dust influences are generally more pronounced on super-micron particles than on sub-micron particles (e.g. Fomba, et al. 2013; Müller, et al. 2009; Müller, et al. 2010) meaning that bigger particles may be affected by dust sources whereas smaller particles may have stronger oceanic and anthropogenic as well as long-range transport influences. Consequently, the herein presented classification represents a first general characterisation of the air mass origins. Depending on the sampling periods of other specific analysis, slight variations may be observed and this will be indicated in the specific analysis and manuscripts.

#### 4.1.2 Meteorological condition

Air temperature, wind direction, wind speed measured between September 15<sup>th</sup> and October 6<sup>th</sup> (17.5 m a.s.l.) are shown in Figure 3 together with the mixing ratios of the trace gases ozone, ethane, ethene, acetone, methanol and DMS. During this period the air temperature ranged from 25.6 °C (6:00 UTC) to 28.3 °C (14:00 UTC) with an average diurnal variation of 0.6 °C. The wind direction was north-easterly (30 to 60 °), except for a period between September 19<sup>th</sup> and 20<sup>th</sup> and again on September 21<sup>st</sup> when northerly air, and lower wind speeds, prevailed. The meteorological conditions observed during the campaign were typical for this site (e.g. Carpenter, et al. 2010, Fomba, et al. 2014). The concentrations of the different trace gases will be more thoroughly discussed in section 5.3.

#### 4.1.3 Measured and modelled marine boundary layer (MBL) height

The characterization of the MBL is important for the interpretation of both the ground-based as well as the vertically-resolved measurements, because the MBL mixing state allows to elucidate the possible connections between ground-based processes (e.g. aerosol formation) and the higher (e.g. mountain and cloud level) altitudes. The Cape Verdes typically exhibit a strong inversion layer with a sharp increase in the potential temperature and a sharp decrease of the humidity (Carpenter, et al. 2010).

The vertical measurements of meteorological parameters were carried out at CVAO with a 16 m<sup>3</sup> Helikite. The measurements demonstrate that a Helikite is a reliable and useful instrument that can be deployed under prevailing wind conditions such as at this measurement site. 19 profiles on ten different days could be obtained and Figure 4 shows an exemplary profile, from September 17<sup>th</sup>. During the campaign, the wind speed varied between 2 and 14 m s<sup>-1</sup> and the MBL height was found to be between about 600 and 1100 m (compare to Fig. 5). Based on the measured vertical profiles, the MBL was found to be often well mixed. However, there are indications for a decoupled boundary layer in a few cases that will be further analysed.

As it was not possible to obtain information of the MBL height for the entire campaign from online measurements, the MBL height was also simulated using the Bulk-Richardson number. The simulations showed that the MBL height was situated where the Bulk-Richardson number



exceeded the critical value 0.25. Figure 5 shows, that the simulated MBL height was always lower compared to the measured one during the campaign and also compared to previous measurements reported in the literature. Based on long-term measurements, Carpenter, et al. (2010) observed an MBL height of  $713 \pm 213$  m at the Cape Verdes. In the present study a simulated MBL height of  $452 \pm 184$  m was found, however covering solely a period over one month. The differences might be caused by the grid structure of the applied model (more details in the SI). The vertical resolution of 100 to 200 m might lead to a misplacement of the exact position of the MBL-height. Moreover, the model calculations were constructed to identify the lowest inversion layer. Therefore, the modelled MBL height might represent a low, weak internal layer within the MBL and not the actual MBL. These issues will be further analysed.

#### 4.1.4 Cloud conditions

The Cape Verde Islands are dominated by a marine tropical climate and as mentioned above, marine air is constantly supplied from a north-easterly direction which also transports marine boundary-layer clouds towards the islands. Average wind profiles derived from the European Center for Medium-Range Weather Forecasts (ECWMF) model simulations are shown in Figure 6a. On the basis of the wind profiles, different cloud scenes have been selected and quantified (Derrien and Le Gleau 2005) using geostationary Meteosat SEVIRI data with a spatial resolution of 3 km (Schmetz, et al. 2002) and are shown in Figure 6b – f. The island Sao Vicente is located in the middle of each picture. The first scene at 10:00 UTC on September 19<sup>th</sup> was characterized by low wind speeds throughout the atmospheric column (Fig. 6b). In this calm situation, a compact patch of low-level clouds was located north-west of the Cape Verde Islands. The cloud field was rather spatially homogeneous, i.e. marine stratocumulus, which transitioned to more broken cumulus clouds towards the island. South-eastwards of the islands, high-level ice clouds dominated and possibly mask lower-level clouds. For the second cloud scene at 10:00 UTC on September 22<sup>nd</sup> (Fig. 6c), wind speed was higher with more than  $12 \text{ m s}^{-1}$  in the boundary layer. Similarly, coverage of low- to very low-level clouds was rather high in the region around Cape Verde Islands. A compact stratocumulus cloud field approached the islands from north-easterly direction. The clouds that had formed over the ocean dissolved when the flow traverses the islands. Pronounced lee effects appeared downstream of the islands. Cloud scene three at 10:00 UTC on September 27<sup>th</sup> was again during a calm phase with wind speed of a few  $\text{m s}^{-1}$  only (Fig. 6d). The scene was dominated by fractional clouds (with a significant part of the spatial variability close to or below the sensor resolution). These clouds formed locally and grew. Advection of clouds towards islands was limited. The last two cloud scenes (at 10:00 UTC on October 1<sup>st</sup> in Fig. 6e and at 10:00 UTC on October 11<sup>th</sup> in Fig. 6f) were shaped by higher boundary-layer winds and changing wind directions in higher atmospheric levels. The scene in Fig. 6e shows a complex mixture of low-level cloud fields and higher-level cirrus patches. The scene in Fig. 6f was again dominated by low- to very low-level clouds. The eastern part of the islands was embedded in a rather homogeneous stratocumulus field. A transition of the spatial structure of the cloud field happened in the centre of the domain with more cumuliform clouds and cloud clumps west to the Cape Verde Island. Overall, the majority of low-level clouds over the islands were formed over the ocean and ocean-derived aerosol particles, e.g. sea salt and marine biogenic compounds, might be expected to have some



474 influence on cloud formation. Infrequent instances of locally formed clouds influenced by the  
475 orography of the islands could be also identified in the satellite data. However, the rather coarse  
476 horizontal resolution of the satellite sensor and the missing information about time-resolved  
477 vertical profiles of thermodynamics and cloud condensate limits a further detailed  
478 characterization of these low-level cloud fields and their formation processes. A synergistic  
479 combination with ground-based in-situ and remote sensing measurements would be highly  
480 beneficial for future investigations.

## 481 4.2 Biological seawater conditions

### 482 4.2.1 Pigment concentration in seawater

483

484 To characterize the biological conditions at CVAO, a variety of pigments including  
485 chlorophyll-*a* (chl-*a*) were measured in the samples of Cape Verdean bulk water (data in Table  
486 S4 and illustrated in section 5.4.1). Chl-*a* is the most prominently used tracer for biomass in  
487 seawater; however information of phytoplankton composition can only be determined by also  
488 determining marker pigments. Therefore, each time when a water sample was taken, also  
489 several liters of bulk water were collected for pigment analysis (more details in the SI).  
490 Phytoplankton biomass expressed in chl-*a* was very low with  $0.11 \mu\text{g L}^{-1}$  at the beginning.  
491 Throughout the campaign two slight increases of biomass occurred, but were always followed  
492 by a biomass depression. The biomass increase occurred towards the end of the study, where  
493 pre-bloom conditions were reached with values up to  $0.6 \mu\text{g L}^{-1}$ . These are above the typical  
494 chl-*a* concentration in this area. In contrast, the abundance of chlorophyll degradation products  
495 as phaeophorbide *a* and phaeophytin *a* decreased over time. The low concentrations of the  
496 chlorophyll degradation products suggested that only moderate grazing took place and the  
497 pigment-containing organisms were fresh and in a healthy state. The most prominent pigment  
498 throughout the campaign was zeaxanthin, suggesting *cyanobacteria* being the dominant group  
499 in this region. This is in a good agreement with the general low biomass in the waters of the  
500 Cape Verde region and in line with previous studies, reporting the dominance of *cyanobacteria*  
501 during the spring and summer seasons (Franklin, et al. 2009; Hepach, et al. 2014; Zindler, et al.  
502 2012). However, once the biomass increased, *cyanobacteria* were repressed by *diatoms* as  
503 indicated by the relative increase of fucoxanthin. The *prymnesiophyte* and *haptophyte* marker  
504 19-hexanoyloxyfucoxanthin and the *pelagophyte* and *haptophytes* marker 19-  
505 butanoyloxyfucoxanthin were present and also increased when *cyanobacteria* decreased. In  
506 contrast, *dinoflagellates* and *chlorophytes* were background communities as indicated by their  
507 respective markers peridinin and chlorophyll b. Still, *chlorophytes* were much more abundant  
508 than *dinoflagellates*. In summary, the pigment composition indicated the presence of  
509 *cyanobacteria*, *haptophytes* and *diatoms* with a change in dominating taxa (from *cyanobacteria*  
510 to *diatoms*). The increasing concentration of chl-*a* and fucoxanthin implied that a bloom started  
511 to develop within the campaign dominated by *diatoms*. The increasing concentrations could  
512 also be related to changing water masses, however, since the oceanographic setting was  
513 relatively stable, the increasing chl-*a* concentrations suggest that a local bloom had developed,  
514 that might be related to the low but permanent presence of atmospheric dust input, which needs  
515 further verification. In the course of further data analysis of the campaign, the phytoplankton  
516 groups will be related to the abundance of e.g. DMS (produced by *haptophytes*) or isoprene that



has been reported to be produced by *diatoms* or *cyanobacteria* (Bonsang, et al. 2010), as well as to other VOCs.

#### 4.2.2 Wave glider fluorescence measurements

Roughly at the same time as the MarParCloud field campaign took place, an unmanned surface vehicle (SV2 Wave Glider, Liquid Robotics Inc.) equipped with a biogeochemical sensor package, a conductivity-temperature-depth sensor (CTD) and a weather station was operated in the vicinity of the sampling location. The Wave Glider carried out continuous measurements of surface water properties (water intake depth: 0.3 m) along a route near the coast (Fig. 7a), and on October 5<sup>th</sup> it was sent on a transect from close to the sampling location towards the open ocean in order to measure lateral gradients in oceanographic surface conditions.

The glider measurements delivered information on the spatial resolution of several parameters. Fluorescence measurements, which can be seen as a proxy of chl-*a* concentration in surface waters and hence of biological production, indicated some enhanced production leeward of the islands and also at one location upwind of the island of Santa Luzia next to São Vicente. In the vicinity of the MarParCloud sampling site the glider observed a slight enhancement in fluorescence when compared to open-ocean waters. This is in agreement with the measured pigment concentration. The overall pattern of slightly enhanced biological activity was also confirmed by satellite fluorescence measurements (Fig. 7b). However, both in situ glider and sample data as well as remote sensing data did not show any particular strong coastal bloom events and thus indicate that the MarParCloud sampling site well represented the open-ocean regime during the sampling period.

## 5 Measurements and selected results

### 5.1 Vertical resolution measurements

#### 5.1.1 Physical aerosol characterization

Based on aerosol particles measured during the campaign, air masses could be classified into different types, depending on differences in PNSDs. Marine type and dust type air masses could be clearly distinguished, even if the measured dust concentrations were only low to medium, according to the annual mean at the CVAO (Fomba, et al. 2013, 2014). The median of PNSDs during marine conditions is illustrated in Figure 8 and showed three modes, i.e., Aitken, accumulation and coarse mode. There was a minimum between the Aitken- and accumulation-mode of PNSDs (Hoppel minimum; see (Hoppel, et al. 1986) at roughly 70 nm. PNSDs measured during marine type air masses featured the lowest Aitken, accumulation and coarse mode particle number concentrations, with median values of 189, 143 and 7 cm<sup>-3</sup>, respectively. The PNSDs present during times with dust influences featured a single mode in the sub-micron size range (Fig. 8), and no visible Hoppel minimum was found. The dust type air masses featured the highest total particle number concentration (994 cm<sup>-3</sup>) and a median coarse-mode



particle number concentration of  $44 \text{ cm}^{-3}$ . The particle number concentrations for the coarse mode of the aerosol particles that is attributed to sea spray aerosol (SSA) accounted for about 3.7% of  $N_{\text{CCN}, 0.30\%}$  (CCN number concentration at 0.30% supersaturation) and for 1.1% to 4.4% of  $N_{\text{total}}$  (total particle number concentration). A thorough statistical analysis of  $N_{\text{CCN}}$  and particle hygroscopicity concerning different aerosol types is reported in Gong, et al. (2019a). Figure 9a shows the median of marine type PNSDs for cloud free conditions and cloud events at CVAO and Mt. Verde. Figure 9b shows the scatter plot of  $N_{\text{CCN}}$  at CVAO versus those on Mt. Verde. For cloud free conditions, all data points are close to the 1:1 line, indicating  $N_{\text{CCN}}$  being similar at the CVAO and Mt. Verde. However, during cloud events, larger particles, mainly accumulation- and coarse-mode particles, were activated to cloud droplet and were, consequently, removed by the inlet. Therefore,  $N_{\text{CCN}}$  at the CVAO was larger than those on Mt. Verde. Altogether, these measurements suggested that, for cloud free conditions, the aerosol particles measured at ground level (CVAO) represent the aerosol particles at the cloud level (Mt. Verde).

#### 5.1.2 Chemical composition of aerosol particles and cloud water

Between October 2<sup>nd</sup> and 9<sup>th</sup>, size-resolved aerosol particles at the CVAO and the Mt. Verde were collected simultaneously. The relative contribution of their main chemical constituents (inorganic ions, water-soluble organic matter (WSOM), and elemental carbon) at both sites is shown in Figure 10. Sulfate, ammonium, and WSOM dominated the sub-micron particles. The super-micron particles were mainly composed of sodium and chloride at both stations. These findings agreed well with previous studies at the CVAO (Fomba, et al. 2014; van Pinxteren, et al. 2017). The absolute concentrations of the aerosol constituents were lower at the Mt. Verde compared to the CVAO site (Table S5); they were reduced by factor of seven (super-micron particle) and by a factor of four (sub-micron particles). This decrease in the aerosol mass concentrations and the differences in chemical composition between the ground-based aerosol particles and the ones at Mt. Verde, could be due to cloud effects as described in the previous section. Different types of clouds consistently formed and disappeared during the sampling period of the aerosol particles at the Mt. Verde (more details about the frequency of the cloud events are available in the SI and in Gong, et al., (2019a) and potentially affected the aerosol chemical composition. These effects will be more thoroughly examined in further analysis.

A first insight in the cloud water composition of a connected cloud water sampling event from October 5<sup>th</sup> till October 6<sup>th</sup> is presented in Figure 11. Sea salt, sulfate and nitrate compounds dominated the chemical composition making up more than 90% of the mass of the investigated chemical constituents. These compounds were also observed in the coarse fraction of the aerosol particles, indicating that the coarse mode particles served as efficient CCN and were efficiently transferred to the cloud water. No strong variations were found for the main cloud water constituents over the here reported sampling period. However, the WSOM contributed with maximal 10% to the cloud water composition and with higher contributions in the beginning and at the end of the sampling event, which warrants further analysis. The measured pH values of the cloud water samples ranged between 6.3 and 6.6 and are in agreement with literature data for marine clouds (Herrmann, et al. 2015). In summary, cloud water chemical composition seemed to be controlled by coarse mode aerosol particle composition, and the



presence of inorganic marine tracers (sodium, methane sulfonic acid) strongly suggested an oceanic influence on cloud water.

## 5.2 Lipid biomarkers in aerosol particles

Lipids from terrestrial sources such as plant waxes, soils and biomass burning have frequently been observed in the remote marine troposphere (Kawamura, et al. 2003; Simoneit, et al. 1977) and are common in marine deep-sea sediments. Within MarParCloud, marine-derived lipids were characterized in aerosol particles using lipid biomarkers in conjunction with compound specific stable carbon isotopes. Bulk aerosol filters sampled at the CVAO and PM<sub>10</sub> filter sampled at the Mt. Verde (not reported here) were extracted and the lipids were separated into functional groups for molecular and compound specific carbon isotope analysis. The content of identifiable lipids was highly variable and ranged from 4 to 140 ng m<sup>-3</sup>. These concentrations are in the typical range for marine aerosol particles (Mochida, et al. 2002; Simoneit, et al. 2004) but somewhat lower than previously reported for the tropical North East Atlantic (Marty & Salot, 1979) and 1 to 2 orders of magnitude lower than reported from urban and terrestrial rural sites (Simoneit, 2004). It mainly comprised the homologue series of n-alkanoic acids, n-alkanols and n-alkanes. Among these the c16:0 acid and the c18:0 acids were by far the dominant compounds, each contributing 20 to 40% to the total observed lipids. Among the terpenoids, dehydroabietic acid, 7-oxo-dehydroabietic acid and friedelin were in some samples present in remarkable amounts. Other terpenoid biomarker in particular phytosterols were rarely detectable. The total identifiable lipid content was inversely related to dust concentration, as shown exemplary for the fatty acids (Fig. 12) with generally higher lipid concentrations in primary marine air masses. This is consistent with previous studies reporting low lipid yields in Saharan dust samples and higher yields in dust from the more vegetated Savannahs and dry tropics (Simoneit, et al. 1977). First measurements of typical stable carbon isotope ratios of the lipid fractions were (-28.1 ± 2.5) ‰ for the fatty acids and (-27.7 ± 0.7) ‰ for the n-alkanes suggesting a mixture of terrestrial c3 and c4, as well as marine sources. In a separate contribution the lipid fraction of the aerosol particles in conjunction with its typical stable carbon isotope ratios will be further resolved.

## 5.3 Trace gas measurements

### 5.3.1 Dimethyl sulphide, ozone and (oxygenated) volatile organic compounds

Trace gases such as dimethyl sulfide (DMS), volatile organic compounds (VOCs) and oxygenated (O)VOCs have been measured during the campaign and the results are presented together with the meteorological data in Figure 3. The atmospheric mixing ratios of DMS during this period ranged between 68 ppt and 460 ppt with a mean of 132 ± 57ppt (1σ). These levels were higher than the annual average mixing ratio for 2015 of 57 ± 56 ppt, however this may be due to seasonably high and variable DMS levels observed during summer and autumn at Cape Verde (observed mean mixing ratios were 86 ppt and 107 ppt in September and October 2015). High DMS concentrations on September 19<sup>th</sup> – 20<sup>th</sup> occurred when air originated



predominantly from the Mauritanian upwelling region and on September 26<sup>th</sup> and 27<sup>th</sup> when the footprint was influenced by southern hemisphere (Figure SI1). These elevated concentrations will be linked to the phytoplankton composition reported in section 4.2.1. to elucidate associations for example between DMS and coccolith (individual plates of calcium carbonate formed by *coccolithophores* phytoplankton) as observed by Marandino, et al. (2008). Ethene showed similar variability to DMS, with coincident peaks (> 300 ppt DMS and > 40 ppt ethene) on September 20<sup>th</sup>, 26<sup>th</sup> and 27<sup>th</sup>, consistent with an oceanic source for ethene. Ethene can be emitted from phytoplankton (e.g. McKay, et al. 1996) and therefore it is possible that it originated from the same biologically active regions as DMS. In the North Atlantic atmosphere, alkenes such as ethene emitted locally have been shown to exhibit diurnal behaviour with a maximum at solar noon, suggesting photochemical production in seawater (Lewis, et al. 2005). There was only weak evidence of diurnal behaviour at Cape Verde (data not shown), possibly because of the very short atmospheric lifetime of ethene (8 hours assuming  $[OH] = 4 \times 10^6$  molecules  $cm^{-3}$ , Vaughan, et al. 2012) in this tropical environment, which would mask photochemical production. Mean acetone and methanol mixing ratios were 782 ppt (566 ppt – 1034 ppt) and 664 ppt (551 ppt – 780 ppt), respectively. These are similar to previous measurements at Cape Verde and in the remote Atlantic at this time of year (Lewis, et al. 2005; Read, et al. 2012). Methanol and acetone showed similar broad-scale features, indicating common sources. Highest monthly methanol and acetone concentrations have often been observed in September at Cape Verde, likely as a result of increased biogenic emissions from vegetation or plant matter decay in the Sahel region of Africa (Read, et al. 2012). In addition to biogenic sources, (O)VOCs are anthropogenically produced from fossil fuels and solvent usage in addition to having a secondary source from the oxidation of precursors such as methane. Carpenter, et al. (2010) showed that air masses originating from North America (determined via 10-day back trajectories) could impact (O)VOCs at the CVAO. The average ozone mixing ratio during the campaign was 28.7 ppb (19.4 ppb – 37.8 ppb). Lower ozone concentrations on September 27<sup>th</sup> to 28<sup>th</sup> were associated with influence from southern hemispheric air. Ozone showed daily photochemical loss, as expected in these very low-NO<sub>x</sub> conditions, on most days with an average daily (from 9:00 UTC to 17:00 UTC) loss of 4 ppbV. It was previously shown that the photochemical loss of O<sub>3</sub> at Cape Verde and over the remote ocean is attributable to halogen oxides (29% at Cape Verde) as well as ozone photolysis (54%) (e.g. Read, et al. 2008). Altogether, for the trace gases, a variety of conditions were observed in this three-week period with influence from ocean-atmosphere exchange and also potential impacts of long-range transport.

### 5.3.2 Nitrous acid

Nitrous acid (HONO) plays a significant role in the atmospheric chemistry as an important source of hydroxyl radical ( $^{\bullet}OH$ ). It is well recognized that significant uncertainties remain on its emission sources as well as on its in-situ tropospheric formation processes. During the campaign, a series of continuous measurements of HONO has been conducted, aiming at evaluating the possible contribution of marine surfaces to the production of HONO. The measurements indicated that HONO concentrations exhibited diurnal variations peaking at noontime. The concentrations during daytime (08:00 to 17:00, local time) and nighttime (17:30



to 07:00 local time) periods were around 20 ppt and 5 ppt on average, respectively. The fact that the observed data showed higher values during the day compared to the nighttime was quite surprising since HONO is expected to be photolyzed during the daytime. If confirmed, the measurements conducted here may indicate that there is an important HONO source in the area of interest. In a separate paper, the data obtained will be described and discussed and tentative explanation of the observed phenomena will be developed.

## 5.4 Organic Matter and related compounds in seawater

### 5.4.1. Dissolved organic carbon

Dissolved organic carbon (DOC) comprise a complex mixtures of different compound groups and is diverse in its composition. For a first overview, DOC as a sum parameter was analyzed in all SML and bulk water samples (data in Table S4). DOC concentration varied between 1.8 and 3.2 mg L<sup>-1</sup> in the SML and 0.9 and 2.8 mg L<sup>-1</sup> in the bulk water and were in general agreement with previous studies at this location (e.g. van Pinxteren, et al. 2017). A slight enrichment in the SML with an enrichment factor (EF) = 1.66 (±0.65) was found, i.e. SML concentrations contain roughly 70% more DOC than the corresponding bulk water. The concentrations of DOC in the bulk water together with the temporal evolution of biological indicators (pigments and the total bacterial cell numbers) and atmospheric dust concentrations are presented in Figure 13. First analysis show that the DOC concentrations were not directly linked to the increasing chl-*a* concentrations, however their relation to single pigments, the background dust concentrations and to wind speed and solar radiation will be further resolved to elucidate potential biological and meteorological controls on the concentration and enrichment of DOC.

For several dates, both SML sampling devices (glass plate and catamaran) were applied in parallel to compare the efficiency of different sampling approaches: manual glass plate and the catamaran sampling (Fig. 14). As mentioned above both techniques used the same principle, i.e. the collection of the SML on a glass plate and its removal with a Teflon wiper. The deviation between both techniques concerning DOC measurements was below 25% in 17 out of 26 comparisons and therefore within the range of variability of these measurements. However, in roughly 30% of all cases the concentration differences between manual glass plate and catamaran were larger than 25%. The discrepancy for the bulk water results could be related to the slightly different bulk water sampling depths using the MarParCat bulk water sampling system (70 cm) and the manual sampling with the telescopic rods (100 cm). Although the upper meters of the ocean are assumed to be well mixed, recent studies indicate that small scale variabilities can be observed already within the first 100 cm of the ocean (Robinson, et al. 2019a).

The variations within the SML measurements could be due to the patchiness of the SML that has been tackled in previous studies (e.g. Mustaffa, et al. 2017, 2018). Small-scale patchiness was recently reported as a common feature of the SML. The concentrations and compositions probably undergo more rapid changes due to a high physical and biological fluctuations.



Mustaffa, et al. (2017) have recently shown that the enrichment of fluorescence dissolved matter (a part of DOC) showed short time-scale variability, changing by 6% within ten-minute intervals. The processes leading to the enrichment of OM in the SML are probably much more complex than previously assumed (Mustaffa, et al. 2018). In addition, the changes in DOC concentrations between the glass plate and the catamaran could result from the small variations of the sampling location as the catamaran was typically 15 to 30 m apart from the boat where the manual glass plate sampling was carried out.

Given the high complex matrix of seawater and especially the SML, the two devices applied were in quite good agreement considering DOC measurements. However, this is not necessarily the case for the single parameters like specific organic compounds and INP concentrations. Especially low concentrated constituents might be more affected by small changes in the sampling procedure and this remains to be evaluated for the various compound classes.

#### 5.4.2. Surfactants and lipids in seawater

Due to their physicochemical properties, surfactants (SAS) are enriched in the SML relative to the bulk water and form surface films (Frka, et al. 2009; Frka, et al. 2012; Wurl, et al. 2009). During the present campaign, the SAS in the dissolved fraction of the SML samples ranged from 0.037 to 0.125 mg TX-100 eqL<sup>-1</sup> (Triton-X-100 equivalents) with a mean of  $0.073 \pm 0.031$  mg TX-100 eqL<sup>-1</sup> (n = 7). For bulk water, the dissolved SAS ranged from 0.020 to 0.068 mg TX-100 eqL<sup>-1</sup> (mean  $0.051 \pm 0.019$  mg TX-100 eqL<sup>-1</sup>, n = 12). The SAS enrichment showed EFs from 1.01 to 3.12 (mean EF =  $1.76 \pm 0.74$ ) (Fig. 15) and was slightly higher than that for the DOC (mean EF =  $1.66 \pm 0.65$ ) indicating some higher surfactant activity of the overall DOM in the SML in respect to the bulk DOM. An accumulation of the total dissolved lipids (DL) in the SML was observed as well (mean EF =  $1.27 \pm 0.12$ ). Significant correlation was observed between the SAS and DL concentrations in the SML ( $r = 0.845$ , n = 7,  $p < 0.05$ ) while no correlation was detected for the bulk water samples. Total DL concentrations ranged from 82.7 to 148 µg L<sup>-1</sup> (mean  $108 \pm 20.6$  µg L<sup>-1</sup>, n = 8) and from 66.5 to 156 µg L<sup>-1</sup> (mean  $96.9 \pm 21.7$  µg L<sup>-1</sup>, n = 17) in the SML and the bulk water, respectively. In comparison to the bulk water, the SML samples were enriched with lipid degradation products e.g. free fatty acids and long chain alcohols (DegLip; mean EF =  $1.50 \pm 0.32$ ), particularly free fatty acids and long-chain alcohols (Fig. 15), pointing to their accumulation from the bulk and/or enhanced OM degradation within the SML. DegLip are strong surface-active compounds (known as dry surfactants), which play an important role in surface film establishment (Garrett 1965). The overall surfactant activity of the SML is the result of the competitive adsorption of highly surface-active lipids and other less surface-active macromolecular compounds (polysaccharides, proteins, humic material) (Ćosović and Vojvodić 1998) dominantly present in seawater. The presence of even low amounts of lipids results in their significant contribution to the overall surface-active character of the SML complex organic mixture (Frka, et al. 2012). The observed biotic and/or abiotic lipid degradation processes within the SML will be further resolved by combining surfactant and lipid results with detailed pigment characterisation and microbial measurements. The same OM classes of the ambient aerosol particles will be investigated and compared with the seawater results. This will help to tackle the questions to



what extent the seawater exhibits a source of OM on aerosol particles and which important aerosol precursors are formed or converted in surface films.

#### 5.5 Seawater Untargeted Metabolomics

For a further OM characterization of SML and bulk seawater an ambient MS-based metabolomics method using direct analysis in real time quadrupole time-of-flight mass spectrometry (DART-QTOF-MS) coupled to multivariate statistical analysis was designed (Zabalegui, et al., 2019). A strength of a DART ionization source is that it is less affected by high salt levels than an electrospray ionization source (Kaylor, et al. 2014), allowing the analysis of seawater samples without observing salt deposition at the mass spectrometer inlet, or having additional limitations such as low ionization efficiency due to ion suppression (Tang, et al. 2004). Based on these advantages, paired SML/bulk water samples were analyzed without the need of desalinization by means of a transmission mode (TM) DART-QTOF-MS-based analytical method that was optimized to detect lipophilic compounds (Zabalegui, et al., 2019). An untargeted metabolomics approach, addressed as seaomics, was implemented for sample analysis. SML samples were successfully discriminated from ULW samples based on a panel of ionic species extracted using chemometric tools. The coupling of the DART ion source to high-resolution instrumentation allowed generating elemental formulae for unknown species and tandem MS capability contributed to the identification process. Tentative identification of discriminant species and the analysis of relative compound abundance changes among sample classes (SML and bulk water) suggested that fatty alcohols, halogenated compounds, and oxygenated boron-containing organic compounds may be involved in water-air transfer processes and in photochemical reactions at the water-air interface of the ocean (Zabalegui, et al., 2019). These identifications (e.g. fatty alcohols) agree well with the abundance of lipids in the respective samples. In this context, TM-DART-HR-MS appears to be an attractive strategy to investigate the seawater OM composition without requiring a desalinization step.

#### 5.6 Ocean surface mercury associated with organic matter

Several trace metals are known to accumulate in the SML. In the case of Hg, the air-sea exchange plays an important role in its global biogeochemical cycle and hence processing of Hg in the SML is of particular interest. Once deposited from the atmosphere to the ocean surface via dry and wet deposition, the divalent mercury ( $\text{Hg}^{\text{II}}$ ) can be transported to the deeper ocean by absorbing on sinking OM particles, followed by methylation. On the other hand,  $\text{Hg}^{\text{II}}$  complexed by DOM in the ocean surface can be photo-reduced to  $\text{Hg}^0$ , which evades into the gas phase. In both processes, OM, dissolved or particulate, is the dominant factor influencing the complexation and adsorption of Hg. To explore the Hg behaviour with OM, the concentrations of total and dissolved Hg as well as the methylmercury (MeHg) were determined in the SML and in the bulk water using the US EPA method 1631 and 1630, as described in Li, et al. (2018). Figure 16 shows the concentrations of Hg and MeHg associated with DOC and POC in the SML and bulk water. The total Hg concentrations were 3.6 and 4.6  $\text{ng L}^{-1}$  in the SML but 3.1 and 1.3  $\text{ng L}^{-1}$  in the bulk water on September 26<sup>th</sup> and 27<sup>th</sup>, respectively, which were significantly enriched compared to data reported for the deep North Atlantic ( $0.18 \pm 0.06$



ng L<sup>-1</sup>) (Bowman, et al. 2015). Atmospheric deposition and more OM adsorbing Hg are supposed to result in the high total Hg at ocean surface. The dissolved Hg concentrations were enriched by 1.7 and 2.7 times in the SML relative to bulk water, consistent with the enrichments of DOC by a factor of 1.4 and 1.9 on September 26<sup>th</sup> and 27<sup>th</sup>, respectively. Particulate Hg in the SML accounted for only 6% of the total Hg concentration on September 26<sup>th</sup> but 55% on September 27<sup>th</sup>, in contrast to their similar fractions of ~35% in the bulk water on both days. According to the back trajectories (Figure SII) stronger contribution of African continental sources (e.g., dust) was observed on September 27<sup>th</sup> that might be linked to in the higher concentrations of particulate Hg in the SML on this day. The water-particle partition coefficients (logK<sub>d</sub>) for Hg in the SML (6.8 L kg<sup>-1</sup>) and bulk water (7.0 L kg<sup>-1</sup>) were similar regarding POC as the sorbent, but one unit higher than the reported logK<sub>d</sub> values in seawater (4.9–6.1 L kg<sup>-1</sup>) (Batrakova, et al. 2014). MeHg made up lower proportions of the total Hg concentrations in the SML (2.0%) than bulk water (3.4% and 4.2%), probably due to photo-degradation or evaporation of MeHg at the surface water (Blum, et al. 2013). From the first results, it seems that the SML is the major compartment where Hg associated with OM is enriched, while MeHg is more likely concentrated in deeper water. The limited data underlines the importance of SML in Hg enrichment dependent on OM, which needs further studies to understand the air-sea exchange of Hg.

## 5.7 Ocean-atmosphere transfer of organic matter and related compounds

### 5.7.1 Dissolved organic matter classes

To investigate the complexity of dissolved organic matter (DOM) compound groups, liquid chromatography, organic carbon detection, organic nitrogen detection, UV absorbance detection (LC-OCD-OND-UVD; Huber, et al. (2011), more details in the SI) was applied to identify five different DOM classes. These classes include (i) biopolymers (likely hydrophobic, high molecular weight >> 20.000 g mol<sup>-1</sup>, largely non-UV absorbing extracellular polymers); (ii) “humic substances” (higher molecular weight ~ 1000 g mol<sup>-1</sup>, UV absorbing); (iii) “building blocks” (lower molecular weight 300-500 g mol<sup>-1</sup>, UV absorbing humics); (iv) low molecular weight “neutrals” (350 g mol<sup>-1</sup>, hydro- or amphiphilic, non-UV absorbing); and (v) low molecular weight acids (350 g mol<sup>-1</sup>). These measurements were performed from a first set of samples from all the ambient marine compartments. That comprised three SML samples and the respective bulk water, three aerosol particle filter samples (PM<sub>10</sub>) from the CVAO and two from the Mt. Verde and finally four cloud water samples collected during the campaign. The SML EFs for DOM varied from 0.83 to 1.46, which agreed very well to the DOC measurements described in section 5.4.1. A clear compound group that drove this change could not be identified so far. Figure 17 shows the relative composition of the measured DOM groups in the distinct marine compartments as an average of the single measurements (concentrations are listed in Table S6). In the SML and in the bulk water, the low molecular weight neutral (LMWN) compounds generally dominated the overall DOM pool (37 to 51%). Humic-like substances, building blocks, and biopolymeric substances contributed 22 to 32%, 16 to 23%, and 6 to 12%, respectively. Interestingly, low molecular weight acids (LMWA) were



predominantly observed in the SML (2 to 8%) with only one bulk water time point showing any traces of LMWA. This finding agreed well with the presence of free amino acids (FAA) in the SML; e.g. the sample with highest LMWA concentration showed highest FAA concentration (more details in Triesch, et al., 2019). Further interconnections between the DOM fractions and single organic markers and groups (e.g. sugars, lipids and surfactants, see section 5.4.2) are subject to ongoing work. In contrast, aerosol particles were dominated by building blocks (46 to 66%) and LMWN (34 to 51%) compound groups, with a minor contribution of LMWA (> 6%). Interestingly, higher molecular weight compounds of humic-like substances and biopolymers were not observed. Cloud water samples had a variable contribution of substances in the DOM pool with humic substances and building blocks generally dominating (27 to 63% and 16 to 29%, respectively) and lower contributions of biopolymers (2 to 4%) and LMW acids and neutrals (1 to 20% and 18 to 34%) observed. The first measurements indicate that the composition of the cloud waters is more consistent with the SML and bulk water and different from the aerosol particle's composition. This observation suggests a two-stage process where selective aerolisation mobilises lower molecular weight humics (building blocks) into the aerosol particle phase, which may aggregate in cloud waters to form larger humic substances in cloud waters. These preliminary observations need to be further studied with a larger set of samples and could relate to either different solubilities of the diverse OM groups in water, the interaction between DOM and particulate OM (POM), including TEP formation, as well as indicating the different OM sources and transfer pathways. In addition, the chemical conditions, like pH-value or redox, could preferentially preserve or mobilise DOM fractions within the different types of marine waters. In summary, all investigated compartments showed a dominance of LMW neutrals and building blocks, which suggests a link between the seawater, aerosol particles and cloud water at this location and possible transfer processes. Furthermore, the presence of humic-like substances and biopolymers and partly LMWA in the seawater and cloud water, but not in the aerosol particles, suggests an additional source or formation pathway of these compounds. For a comprehensive picture; however, additional samples need to be analysed and interpreted in future work. It is worth noting that the result presented here are the first for such a diverse set of marine samples and demonstrate the potential usefulness in identifying changes in the flux of DOM between marine compartments.

#### 5.7.2. Transparent exopolymer particles: field and tank measurements

As part of the OM pool, gel particles, such as positive buoyant transparent exopolymer particles (TEP), formed by the aggregation of precursor material released by plankton and bacteria, accumulate at the sea surface. The coastal water in Cape Verde has shown to be oligotrophic with low chl-*a* abundance during the campaign (more details in section 4.2.1). Based on previous work (Wurl, et al. 2011) it is expected that surfactant enrichment, which is closely linked to TEP enrichment, in the SML would be higher in oligotrophic waters but have a lower absolute concentration. This compliments the here achieved findings, which showed low TEP abundance in these nearshore waters; the abundance in the bulk water ranged from 37 to 144  $\mu\text{gXeqL}^{-1}$  (xanthan gum equivalents) and 99 to 337  $\mu\text{gXeqL}^{-1}$  in the SML. However while the SML layer was relatively thin ( $\sim 125 \mu\text{m}$ ) there was positive enrichment of TEP in the SML



901 with an average EF of  $2.0 \pm 0.8$  (Fig. 18a). The enrichment factor for TEP was furthermore  
 902 very similar to surfactant enrichment (section 5.4.2).  
 903 In addition to the field samples, a tank experiment was run simultaneously using the same  
 904 source of water (Fig. 18b). Breaking waves were produced via a waterfall system (details in the  
 905 SI) and samples were collected from the SML and bulk water after a wave simulation time of  
 906 3 h. TEP abundance in the tank experiment matched the field samples at the beginning but  
 907 quickly increased to  $1670 \mu\text{gXeqL}^{-1}$  in the SML with an EF of 13.2 after the first day of  
 908 bubbling. The enrichment of TEP in the SML during the tank experiment had a cyclical increase  
 909 and decrease pattern. Interestingly, in the field samples, even on days with moderate wind  
 910 speeds ( $> 5 \text{ m s}^{-1}$ ) and occasional presence of white caps, TEP abundance or enrichment didn't  
 911 increase, but it did increase substantially due to the waves in the tank experiment. This suggests  
 912 that the simulated waves are very effective in enriching TEP in the SML and TEP were more  
 913 prone to transport or formation by bubbling than by other physical forces, confirming bubble-  
 914 induced TEP enrichment in recent artificial set-ups (Robinson, et al. 2019b). Besides the  
 915 detailed investigations of TEP in seawater, first analyses show a clear abundance of TEP in the  
 916 aerosol particles and in cloud water. Interestingly, a major part of TEP seems to be located in  
 917 the sub-micron aerosol particles (Fig. 19). Sub-micron aerosol particles represent the longest  
 918 living aerosol particle fraction and have a high probability to reach cloud level and the  
 919 occurrence of TEP in cloud water strongly underlines a possible vertical transport of these  
 920 ocean-derived compounds.

### 921 5.7.3 Bacterial abundance in distinct marine samples: field and tank measurements

922

923 The OM concentration and composition is closely linked with biological and especially  
 924 microbial processes within the water column. Throughout the sampling period, the temporal  
 925 variability of bacterial abundance in SML and bulk water was studied (data listed in Tab.SI4).  
 926 Mean absolute cell numbers were  $1.3 \pm 0.2 \times 10^6 \text{ cells mL}^{-1}$  and  $1.2 \pm 0.1 \times 10^6 \text{ cells mL}^{-1}$  for  
 927 SML and bulk water, respectively (Fig. 20a, all data listed in Table S4). While comparable  
 928 SML data is lacking for this oceanic province, our data is in range with previous reports for  
 929 surface water of subtropical regions (Zäncker, et al. 2018). A strong day-to-day variability of  
 930 absolute cell numbers was partly observed (e.g. the decline between September 25<sup>th</sup> and 26<sup>th</sup>),  
 931 but all these changes were found in both, in the SML and bulk water (Fig. 20a). This indicates  
 932 that the upper water column of the investigated area experienced strong changes, e.g. by inflow  
 933 of different water masses and/or altered meteorological forcing. As for the absolute abundance,  
 934 the enrichment of bacterial cells in the SML was also changing throughout the sampling period,  
 935 with EFs ranging from 0.88 to 1.21 (Fig. 20b). A detailed investigation of physical factors (e.g.  
 936 wind speed, solar radiation) driving OM concentration and bacterial abundance in the SML and  
 937 bulk water will be performed to explain the short-term variability observed. During the tank  
 938 experiment, cell numbers ranged between  $0.6$  and  $2.0 \times 10^6 \text{ cells mL}^{-1}$  (Fig 20c); the only  
 939 exception being observed on October 3<sup>rd</sup>, when cell numbers in the SML reached  $4.9 \times 10^6 \text{ cells}$   
 940  $\text{mL}^{-1}$ . Both, in the SML and bulk water, bacterial cell numbers decreased during the experiment,  
 941 which may be attributed to limiting substrate supply in the closed system. Interestingly, SML  
 942 cell numbers always exceeded those from the bulk water (Fig. 20d), although the SML was  
 943 permanently disturbed by bursting bubbles throughout the entire experiment. This seems to be



in line with the high TEP concentrations observed for the SML in the tank (section 5.7.2). A recent study showed that bubbles are very effective transport vectors for bacteria into the SML, even within minutes after disruption (Robinson, et al. 2019a). The decline of SML bacterial cell numbers (both absolute and relative) during the experiment may be partly caused by permanent bacterial export into the air due to bubble bursting. Although this conclusion remains speculative as cell abundances of air samples are not available for our study, previous studies have shown that aerolisation of cells may be quite substantial (Rastelli, et al. 2017). Bacterial abundance in cloud water samples taken at the Mt. Verde during the MarParCloud campaign ranged between 0.4 and  $1.5 \times 10^5$  cells mL<sup>-1</sup> (Fig 20a). Although only few samples are available, these numbers agree well with previous reports (e.g. Hu, et al. 2018).

#### 5.7.4 Ice-nucleating particles

The properties of ice-nucleating particles (INP) in the SML and in bulk seawater, airborne in the marine boundary layer as well as the contribution of sea-spray aerosol particles to the INP population in clouds were examined during the campaign. The numbers of INP ( $N_{\text{INP}}$ ) at -12, -15 and -18 °C in the PM<sub>10</sub> samples from the CVAO varied from 0.000318 to 0.0232, 0.00580 to 0.0533 and 0.0279 to 0.100 std L<sup>-1</sup>, respectively. INP measurements in the ocean water showed that enrichment as well as depletion of INP in SML compared to the bulk seawater occurred and enrichment factors EF varied from 0.36 to 11.40 and 0.36 to 7.11 at -15 and -20 °C, respectively (details in Gong, et al. 2019b).  $N_{\text{INP}}$  (per volume of water) of the cloud water was roughly similar or slightly above that of the SML (Fig. 21), while concentrations of sea salt were clearly lower in cloud water compared to ocean water. Assuming sea salt and the INP to be similarly distributed in both, sea and cloud water (i.e., assuming that INP would not be enriched or altered during the production of sea spray),  $N_{\text{INP}}$  is at least four orders of magnitude higher than what would be expected if all airborne INP originated from sea spray. These first measurements indicate that other sources besides the ocean, such as mineral dust or other long ranged transported particles, contributed to the local INP concentration (details in Gong, et al. 2019b).

#### 5.8 The SML potential to form secondary organic aerosol particles

To explore if marine air masses exhibit a significant potential to form SOA, a Gothenburg Potential Aerosol Mass Reactor (Go:PAM) was used, that relies on providing a highly oxidizing medium reproducing atmospheric oxidation on timescales ranging from a day to several days in much shorter timescales (i.e., a few minutes). During the campaign, outdoor air and gases produced from a photochemical reactor was flowed through the Go:PAM (Watne, et al. 2018), and exposed to high concentrations of OH radicals formed via the photolysis of ozone and subsequent reaction with water vapour (Zabalegui, et al. 2019 and refs. therein). The aerosol particles produced at the outlet of the OFR were monitored by means of an SMPS i.e., only size distribution and number concentration were monitored. A subset of the collected SML samples were investigated within the Go:PAM and showed varying trends briefly discussed below.



Ozone is known to react with iodide anions to produce different iodinated gases acting as aerosol precursors (Carpenter, et al. 2012; Carpenter and Nightingale 2015). In principle, this chemistry is mainly a bulk process and not related to the SML composition. However, a daily variation of the number of particles formed was observed (but from a very limited set of samples,  $n = 3$ ) probably related to the daily sampling conditions. To explain these observations, two different hypothesis can be postulated: (i) the ozone bulk reaction is not efficient enough for our lab-to-the-field approach, (ii) ozone is scavenged away by the organic SML constituents and the products of these reactions are producing, or not, the aerosol particles in the Go:PAM. Due to the limited number of samples, no firm conclusions can be made, but we observed the clear need to have concentrated SML samples (reproduced here by centrifugation of the authentic samples) as a prerequisite of aerosol formation which is pointing toward a specific “organic-rich” chemistry. Outdoor air masses were also investigated for their secondary mass production potential. During the campaign, northeast wind dominated i.e., predominantly clean marine air masses were collected. Those did not show any distinct diurnal difference for their secondary aerosols formation potential. However, a significant decrease of secondary organic mass was observed on September 30<sup>th</sup>, which will be analysed in more detail.

## 5.9 The way to advanced modelling

### 5.9.1 Modelling of cloud formation and vertical transfer of ocean-derived compounds

Besides for the assessment of the cloud types (section 4.1.4) it is intended to apply modelling approaches to simulate the occurrence and formation of clouds at the Mt. Verde site including advection, wind, effective transport and vertical transport. This will allow to model chemical multiphase processes under the given physical conditions. Furthermore, the potential vertical transfer of ocean-derived compounds to cloud level will be modelled. To this end, the meteorological model data by the Consortium for Small-scale Modelling-Multiscale Chemistry Aerosol Transport Model (COSMO) (Baldauf, et al. 2011) will be used to define a vertical meteorological data field. First simulations show that clouds frequently occurred at heights of 700 m to 800 m (Fig. 22) in strong agreement with the observations. This demonstrates that clouds at Mt. Verde can form solely due to the local meteorological conditions and not necessarily due to orographic effects. Accordingly, the combination of the ground-based aerosol measurements and the in-cloud measurements at the top of Mt. Verde will be applied to examine important chemical transformations of marine aerosol particles during horizontal and vertical transport within the MBL. From the here presented measurements, a transfer of ocean-derived compounds to cloud level is very likely. To link and understand both measurement sites, in terms of important multiphase chemical pathways, more detailed modelling studies regarding the multiphase chemistry within the marine boundary layer combined with the impact of the horizontal and vertical transport on the aerosol and cloud droplet composition will be performed by using different model approaches (more details in the SI). In general, both projected model studies will focus on (i) determining the oxidation pathways of key marine organics and (ii) the evolution of aerosol and cloud droplet acidity by



1027 chemical aging of the sea spray aerosol. The model results will finally be linked to the  
 1028 measurements and compared with the measured aerosol particle concentration and composition  
 1029 and the in-cloud measurements at the top of the Mt. Verde.

1030

#### 1031 5.9.2 Development of a new organic matter emission source function

1032

1033 The link of ocean biota with marine derived organic aerosol particles has been recognized (e.g.  
 1034 O'Dowd, et al. 2004). However, the usage of a single parameter like chl-*a* as indicator for  
 1035 biological processes and its implementation in oceanic emission parameterisations is  
 1036 insufficient as it does not reflect pelagic community structure and associated ecosystem  
 1037 functions. It is strongly suggested to incorporate process-based models for marine biota and  
 1038 OM rather than relying on a simple parameterizations (Burrows, et al. 2014). A major challenge  
 1039 is the high level of complexity of the OM in marine aerosol particles as well as in the bulk water  
 1040 and the SML as potential sources. Within MarParCloud modelling, a new source function for  
 1041 the oceanic emission of OM will be developed as a combination of the sea spray source function  
 1042 of Salter, et al. (2015) and a new scheme for the enrichment of OM within the emitted sea spray  
 1043 droplets. This new scheme will be based on the Langmuir-Adsorption of organic species at the  
 1044 bubble films. The oceanic emissions will be parameterised following Burrows, et al. (2014),  
 1045 where the OM is partitioned into several classes based on their physicochemical properties. The  
 1046 measured concentration of the species in the ocean surface water and the SML (e.g. lipids,  
 1047 sugars and proteins) will be included in the parameterisation scheme. Finally, size class  
 1048 resolved enrichment functions of the organic species groups within the jet droplets will be  
 1049 implemented in the new scheme. The new emission scheme will be implemented to the aerosol  
 1050 model MUSCAT (Multi-Scale Chemistry Aerosol Transport) and be validated via small and  
 1051 meso-scale simulations using COSMO-MUSCAT (Wolke, et al. 2004).

## 1052 6 Summary and Conclusion

1053 Within MarParCloud and with substantial contributions from MARSU, an interdisciplinary  
 1054 campaign in the remote tropical ocean took place in autumn 2017. This paper delivers a  
 1055 description of the measurement objectives including first results and provides an overview for  
 1056 upcoming detailed investigations.

1057 Typical for the measurement site, the wind direction was almost constant from the north-  
 1058 easterly sector (30 – 60 °). The analysis of the air masses and dust measurements showed that  
 1059 dust input was generally low, however, partly moderate dust influences were observed. Based  
 1060 on very similar particle number size distributions at the ground and mountain sites, it was found  
 1061 that the MBL was generally well mixed with a few exceptions and the MBL height ranged from  
 1062 600 to 1100 m. Differences in the PNSDs arose from the dust influences. The chemical  
 1063 composition of the aerosol particles and the cloud water indicated that the coarse mode particles  
 1064 served as efficient CCN. Furthermore, lipid biomarkers were present in the aerosol particles in  
 1065 typical concentrations of marine background conditions and anti-correlated with dust  
 1066 concentrations.



1067 From the satellite cloud observations and supporting modelling studies, it was suggested that  
1068 the majority of low-level clouds observed over the islands formed over the ocean and could  
1069 form solely due to the local meteorological conditions. Therefore, ocean-derived aerosol  
1070 particles, e.g. sea salt and marine biogenic compounds, might be expected to have some  
1071 influence on cloud formation. The presence of compounds of marine origin in cloud water  
1072 samples (e.g. sodium, methane sulfonic acid, TEP, distinct DOM classes) at the Mt. Verde  
1073 supported an ocean-cloud link. The transfer of ocean-derived compounds, e.g. TEP, from the  
1074 ocean to the atmosphere was confirmed in controlled tank measurements. The DOM  
1075 composition of the cloud waters was consistent with the SML and bulk water composition and  
1076 partly different from the aerosol particle's composition. However, INP measurements indicated  
1077 that other sources besides the ocean and/or atmospheric transformations significantly contribute  
1078 to the local INP concentration.

1079 The bulk water and SML analysis comprised a wide spectrum of biological and chemical  
1080 constituents and consistently showed enrichment in the SML. Especially for the complex OM  
1081 characterisation, some of the methods presented here have been used for the first time for such  
1082 diverse sets of marine samples (e.g. DOM fractioning, metabolome studies with DART-HR-  
1083 MS). Chl-*a* concentrations were typical for oligotrophic regions such as Cape Verde. The  
1084 pigment composition indicated the presence of cyanobacteria, haptophytes and diatoms with a  
1085 temporal change in dominating groups (from cyanobacteria to diatoms) suggests the start of the  
1086 diatom bloom. Possible linkages to the background dust input will be resolved. Concentrations  
1087 and SML enrichment of DOC were comparable to previous campaigns at the same location. .  
1088 For the DOC as a sum parameter, the two applied sampling devices (manual and catamaran  
1089 glass plate) provided very similar results. However, if this is also true for the various compound  
1090 classes remains to be evaluated. Lipids established an important organic compound group in  
1091 the SML and a selective enrichment of surface-active lipid classes within the SML was found.  
1092 Observed enrichments also indicated on biotic and/or abiotic lipid degradation processing  
1093 within the SML. The temporal variability of bacterial abundance was studied and provided first  
1094 co-located SML and cloud water measurements for this particular oceanic province. Whether  
1095 the strong day-to-day variability of absolute cell numbers in the SML and bulk water derived  
1096 from changing water bodies and/or altered meteorological forcing needs to be further  
1097 elucidated. Regarding mercury species, results indicate that the SML is the major compartment  
1098 where (dissolved plus particulate) Hg were enriched, while MeHg was more likely concentrated  
1099 in the bulk water, underlining the importance of SML in Hg enrichment dependent on OM.

1100 For the trace gases, a variety of conditions were observed showing influences from ocean as  
1101 well as long-range transport of pollutants. High sunlight and high humidity in this tropical  
1102 region are key in ensuring that primary and secondary pollutants (e.g. ethene and ozone) are  
1103 removed effectively, however additional processes need to be regarded. Measurements within  
1104 the marine boundary layer and at the ocean-atmosphere interface, such as those shown here, are  
1105 essential to understand the various roles of these short-lived trace gases with respect to  
1106 atmospheric variability and wider climatic changes. The Cape Verde islands are likely a source  
1107 region for HONO and the potential of the SML to form secondary particles needs to be further  
1108 elucidated.

1109 This paper shows the proof of concept of the connection between organic matter emission from  
1110 the ocean to the atmosphere and up to the cloud level. We clearly see a link between the ocean



1111 and the atmosphere as (i) the particles measured at the surface are well mixed within the marine  
 1112 boundary layer up to cloud level and (ii) ocean-derived compounds can be found in the aerosol  
 1113 particles at mountain height and in the cloud water. The organic measurements will be  
 1114 implemented in a new source function for the oceanic emission of OM. From a perspective of  
 1115 particle number concentrations, the marine contributions to both CCN and INP are rather  
 1116 limited. However, a clear description of any potential transfer patterns and the quantification of  
 1117 additional important sources must await the complete analysis of all the samples collected. The  
 1118 main current objective is to finalize all measurements and interconnect the meteorological,  
 1119 physical, biological and chemical parameters also to be implemented as key variables in model  
 1120 runs. Finally, we aim to achieve a comprehensive picture of the seawater and atmospheric  
 1121 conditions for the period of the campaign to elucidate in particular the abundance and cycling  
 1122 of organic matter between the marine environmental compartments.

1124 *Data availability.* Data can be made available by the authors upon request.

1128 *Appendix A1: List of acronyms*

1130 APS – Aerodynamic particle sizer  
 1131 CCN – Cloud condensation nuclei  
 1132 CCNc – Cloud condensation nuclei counter  
 1133 CDOM – Chromophoric dissolved organic matter  
 1134 chl-*a* – Chlorophyll-*a*  
 1135 COSMO – Consortium for small-scale modelling-multiscale chemistry aerosol transport model  
 1136 CTD – Conductivity-temperature-depth sensor  
 1137 CVAO – Cape Verde atmospheric observatory  
 1138 CVFZ – Cape Verde frontal zone  
 1139 CVOO – Cape Verde ocean observatory  
 1140 DART-QTOF-MS – Direct analysis in real time quadrupole time-of-flight mass spectrometry  
 1141 DegLip – Lipid degradation products  
 1142 DL – Dissolved lipids  
 1143 DMS – Dimethyl sulfide  
 1144 DOC – Dissolved organic carbon  
 1145 DOM – Dissolved organic matter  
 1146 ECWMF – European center for medium-range weather forecasts  
 1147 EBUS – Eastern-boundary upwelling system  
 1148 EF – Enrichment factor (analyte concentration in the SML in respect to the analyte concentration in  
 1149 the bulk water)  
 1150 ETNA – Eastern tropical north Atlantic  
 1151 FAA – Free amino acids  
 1152 Go:PAM – Gothenburg potential aerosol mass reactor  
 1153 HONO – Nitrous acid



1154 HYSPLIT – Hybrid single-particle lagrangian integrated trajectory  
 1155 INP – Ice nucleating particle(s)  
 1156 LOPAP – Long path absorption photometer  
 1157 LMWA – Low molecular weight acids  
 1158 LMWN – Low molecular weight neutrals  
 1159 MarParCat – Catamaran with glass plates for SML sampling  
 1160 MarParCloud – Marine biological production, organic aerosol Particles and marine Clouds: a process  
 1161 chain  
 1162 MARSU – MARine atmospheric Science Un unravelled  
 1163 MBL – Marine boundary layer  
 1164 MeHg – Methylmercury (MeHg)  
 1165 Mt. Verde – Highest point of the São Vicente island (744 m)  
 1166 MUSCAT – Multi-scale chemistry aerosol transport  
 1167 NACW – North Atlantic central water masses  
 1168 N<sub>CCN</sub> – Cloud condensation nuclei number concentration  
 1169 N<sub>INP</sub> – Numbers of INP  
 1170 OH – Hydroxyl radical  
 1171 OFR – Oxidation flow reactor  
 1172 OM – Organic matter  
 1173 OMZ – Oxygen minimum zone  
 1174 (O)VOC – (Oxygenated) volatile organic compounds  
 1175 PM<sub>1</sub> – Particulate matter (aerosol particles) smaller than 1 µm  
 1176 PM<sub>10</sub> – Particulate matter (aerosol particles) smaller than 10 µm  
 1177 PNSDs – Particle number size distributions  
 1178 POM – Particulate organic matter  
 1179 PVM – Particle volume monitor  
 1180 SACW – South Atlantic central water mass  
 1181 SAL – Saharan air layer  
 1182 SAS – Surface-active substances/surfactants  
 1183 SML – Sea surface microlayer  
 1184 SOA – Secondary organic aerosol  
 1185 SSA – Sea spray aerosol  
 1186 SMPS – Scanning mobility particle sizer  
 1187 TEP – Transparent exopolymer particles  
 1188 TSP – Total suspended particle  
 1189 TM – Transmission mode  
 1190 WSOM – Water-soluble organic matter  
 1191  
 1192

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*Competing interest.* The authors declare that they have no conflict of interest.

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1615 **Caption of Figures:**

1616 Figure 1: Illustration of the different sampling sites during the campaign.

1617 Figure 2: The residence time of the air masses calculated from 96 h (4 days) back trajectories  
 1618 in ensemble mode.

1619 Figure 3: Time-series of air temperature, wind direction, wind speed, ethene, dimethyl sulfide,  
 1620 methanol, acetone, ethane and ozone.

1621 Fig. 4: The measured temperature and humidity profiles at the CVAO on September 17<sup>th</sup>  
 1622 using a 16 m<sup>3</sup> Helikite. From the measurements the boundary layer height was determined  
 1623 (here: ~ 850 m).

1624 Fig. 5: Time series and vertical profiles of the MBL height simulated with COSMO-  
 1625 MUSCAT on the N2 domain and measured with the helikite.

1626 Fig. 6: (a) ECMWF wind forecasts and (b – f) cloud scenery derived from Meteosat SEVIRI  
 1627 observations for the Cape Verde Islands region using a , a state-of-the-art cloud classification  
 1628 algorithm (the cloud retrieval software of the Satellite Application Facility on support to  
 1629 Nowcasting and Very Short-Range Forecasting version 2016 (a) Average horizontal winds  
 1630 have been derived from a 2.5 x 2.5 degree (250 km x 250 km) domain centered on Cape  
 1631 Verde Islands and are plotted for each pressure level from 1000 to 250 hPa against time using  
 1632 arrows. The arrow colours refer to the pressure level. Gray vertical lines mark the times of the  
 1633 subsequently shown cloud scenes. (b – f) Different cloud scenes observed with Meteosat  
 1634 SEVIRI for a domain of size 1500 km x 1000 km centered on the Cape Verde Islands. The  
 1635 shadings refer to different cloud types derived with the cloud classification algorithm of the  
 1636 NWC-SAF v2016.

1637 Fig. 7: (a) The mission track of a SV2 Wave Glider as color-coded fluorescence data derived  
 1638 from a Wetlabs FLNTURT sensor installed on the vehicle (data in arbitrary units) (b).  
 1639 Chlorophyll-a surface ocean concentrations derived from the MODIS-Terra satellite (mean  
 1640 concentration for October 2017). Please note that logarithmic values are shown.

1641 Fig. 8: (a) The median of PNSDs of marine type (blue) and dust type2 (black), with a linear  
 1642 and (b) a logarithmic scaling on the y axis, measured from September 21<sup>st</sup> 03:30:00 to  
 1643 September 21<sup>st</sup> 20:00:00 (UTC) and from September 28<sup>th</sup> 09:30:00 to September 30<sup>th</sup>  
 1644 18:30:00 (UTC). The error bar indicates the range between 25% and 75% percentiles.

1645 Fig. 9: (a) The median of PNSDs for marine type particle during cloud events and non-cloud  
 1646 events at CVAO and MV; (b) Scatter plots of  $N_{CCN}$  at CVAO against those at MV at  
 1647 supersaturation of ~ 0.30%. Slope and  $R^2$  are given.

1648 Fig.10: (a) Percentage aerosol composition at the CVAO (mean value of 5 blocks) and (b) at  
 1649 the Mt. Verde (mean value of 6 blocks) between October 2<sup>nd</sup> and October 9<sup>th</sup>. Aerosol particles  
 1650 were samples in five different size stages from 0.05-0.14  $\mu\text{m}$  (stage 1), 0.14-0.42  $\mu\text{m}$  (stage 2),  
 1651 0.42-1.2 $\mu\text{m}$  (stage 3), 1.2-3.5  $\mu\text{m}$  (stage 4) and 3.5-10  $\mu\text{m}$  (stage 5).



Fig. 11: Cloud water composition for one connected sampling event between October 5<sup>th</sup> 7:45 (start, local time, UTC-1) and October 6<sup>th</sup>, 08:45 (start, local time, UTC-1).

Fig. 12: Straight chain unsaturated fatty acids ( $\Sigma(c12 \text{ to } c33)$ ) concentrations on the  $PM_{10}$  aerosol particles versus atmospheric dust concentrations.

Fig. 13: Temporal evolution of DOC concentrations in the bulk water samples along the campaign together with the main pigment concentrations (chl-*a*, zeaxanthin and fucoxanthin) concentrations and total cell numbers measured in the bulk water and dust concentrations in the atmosphere (yellow background area).

Fig. 14: (a) Concentrations of DOC in the SML and (b) and in the bulk watersampled for paired glass plate (GP) and the MarParCat (cat) sampling events.

Fig 15: Average enrichments (EF) of surfactants (SAS) and dissolved lipid classes indicating organic matter degradation (DegLip).

Fig. 16: Concentrations of Hg, MeHg, DOC and POC in the sea surface microlayer (SML) and bulk water sampled on September 26<sup>th</sup> and 27<sup>th</sup> 2017.

Fig. 17: DOM classes measured in all compartments. The data represent mean values of three SML samples and the respective bulk water, three aerosol particle samples ( $PM_{10}$ ) from the CVAO and two aerosol samples ( $PM_{10}$ ) from the Mt. Verde and four cloud water samples, all collected between 26. – 27.09., 01. – 02.10., and 08. – 09.10.2017.

Fig. 18: (a) Total TEP abundance in the SML and the bulk water as well as enrichment factor (SML/ULW) of TEP for field samples taken in nearshore water Cape Verde; (b) together with tank experiment with > 3 h bubbling of water collected from nearshore Cape Verde.

Fig. 19: Microscopy image of TEP in TSP aerosol particles sampled at the CVAO sampled between September 29<sup>th</sup> and 30<sup>th</sup> with a flow rate of 8 L min<sup>-1</sup>.

Fig. 20: Bacterial abundance of SML and ULW from (a) field and (c) tank water samples as well as from cloud water samples (diamonds, a) taken during the campaign are shown. Additionally, enrichment factors (i.e. SML versus ULW) are presented (b, d). In panel a, please note the different power values between SML/ ULW (10<sup>6</sup> cells mL<sup>-1</sup>) and cloud water samples (10<sup>4</sup> cells mL<sup>-1</sup>).

Fig. 21:  $N_{INP}$  of SML seawater ( $n = 9$ ) and cloud water ( $n = 13$ ) as a function of temperature.

Fig. 22: Modelled 2D vertical wind field on October 5<sup>th</sup> after 12 hours of simulation time. The model domain spans 222 km length and 1.5 km height. The black contour lines represent the simulated cloud liquid water content (with a minimum of 0.01 g m<sup>-3</sup> and a maximum of 0.5 g m<sup>-3</sup>). The more dense the lines, the higher the simulated liquid water content of the clouds.



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1693

1694 Table 1. Classification of the air masses according to dust concentrations from the impactor  
 1695 samples after the calculation of dust concentrations according to Fomba, et al. 2014 samples  
 1696 and under considerations of backward trajectories (Fig. 2).

Start local time (UTC-1)	Stop local time (UTC-1)	Dust Conc. [ $\mu\text{g}/\text{m}^3$ ]	Classification
2017.09.18 18:18:00	2017.09.19 14:57:00	53,5	Moderate-dust
2017.09.19 16:30:00	2017.09.20 15:30:00	38,2	Moderate-dust
2017.09.20 18:00:00	2017.09.21 14:00:00	30,0	Moderate-dust
2017.09.21 15:00:00	2017.09.22 15:00:00	14,5	Low-dust
2017.09.22 16:15:00	2017.09.24 16:46:00	4,1	Marine
2017.09.24 17:30:00	2017.09.25 14:30:00	2,2	Marine
2017.09.25 16:00:00	2017.09.26 15:00:00	11,6	Low-dust
2017.09.26 15:51:33	2017.09.27 14:45:00	37,6	Moderate-dust
2017.09.27 15:30:00	2017.09.28 16:30:00	20,6	Moderate-dust
2017.09.28 18:10:00	2017.09.30 15:45:00	27,3	Moderate-dust
2017.09.30 17:05:00	2017.10.01 14:15:00	42,7	Moderate-dust
2017.10.01 15:00:00	2017.10.02 14:30:00	35,5	Moderate-dust
2017.10.02 15:42:00	2017.10.03 14:53:00	29,1	Moderate-dust
2017.10.03 15:45:00	2017.10.04 14:30:00	14,8	Low-dust
2017.10.04 15:27:00	2017.10.05 15:18:00	13,2	Low-dust
2017.10.05 16:10:00	2017.10.06 14:54:00	17,2	Low-dust
2017.10.06 16:00:00	2017.10.07 15:30:00	17,0	Low-dust
2017.10.07 16:10:00	2017.10.09 17:27:20	16,8	Low-dust
2017.10.09 18:13:00	2017.10.10 15:00:00	27,6	Moderate-dust

1697

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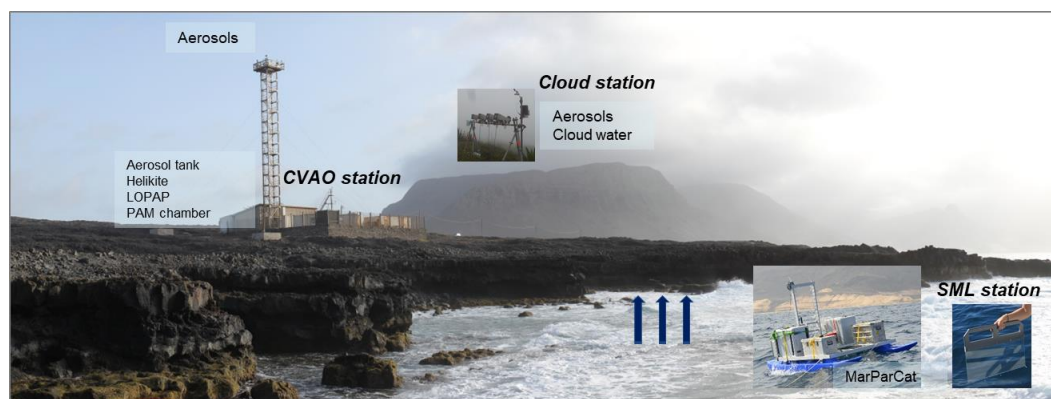
1706

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Figure 1

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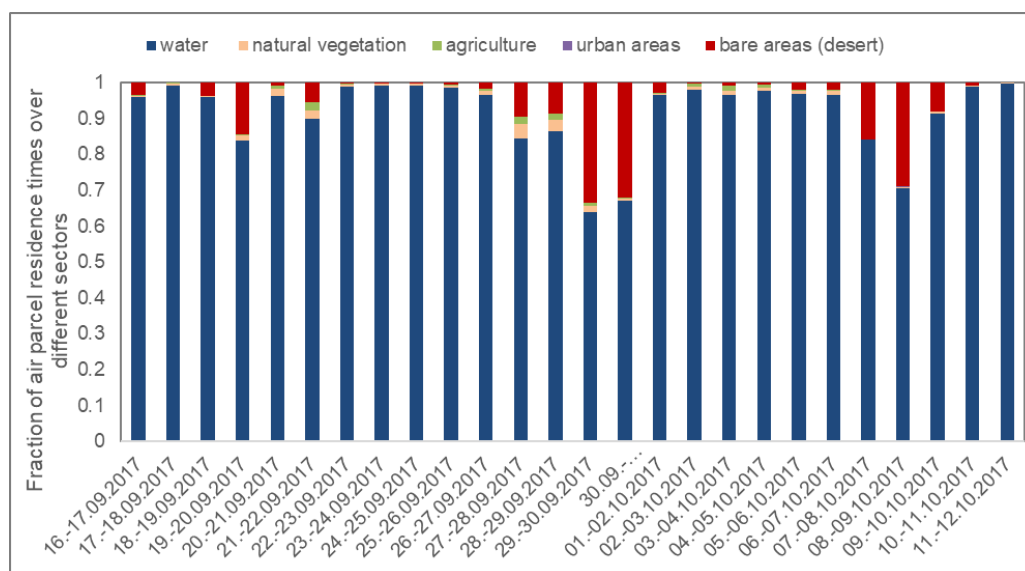


Figure 2

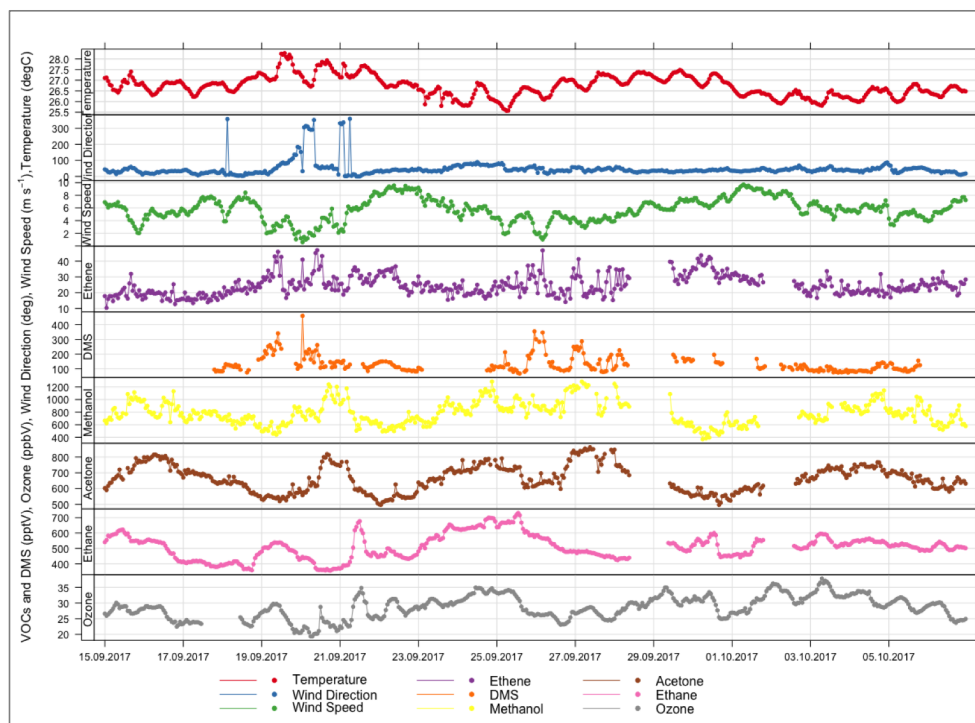


Figure 3



1759

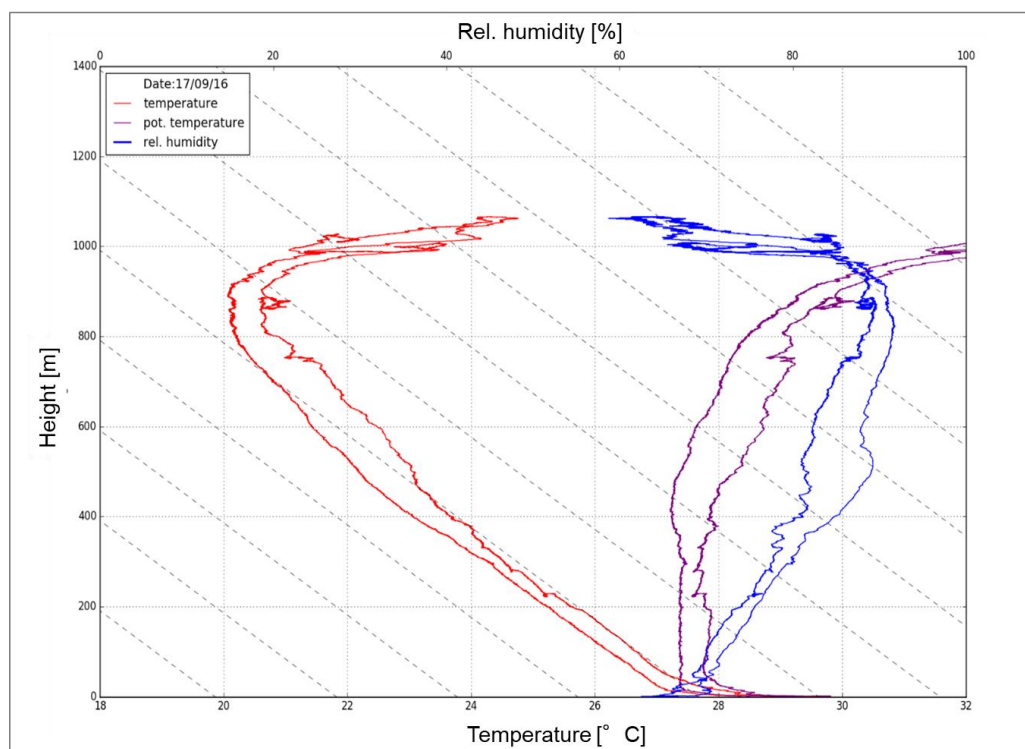


Figure 4

1760

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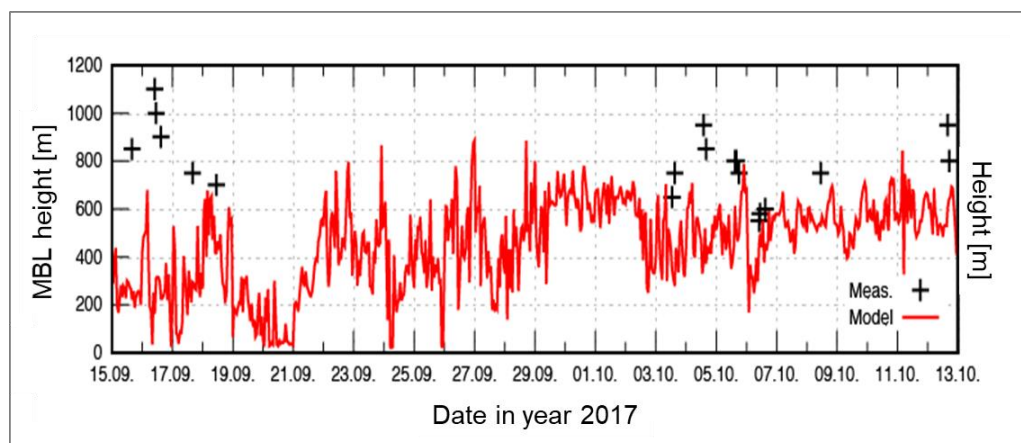
1771

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Figure 5

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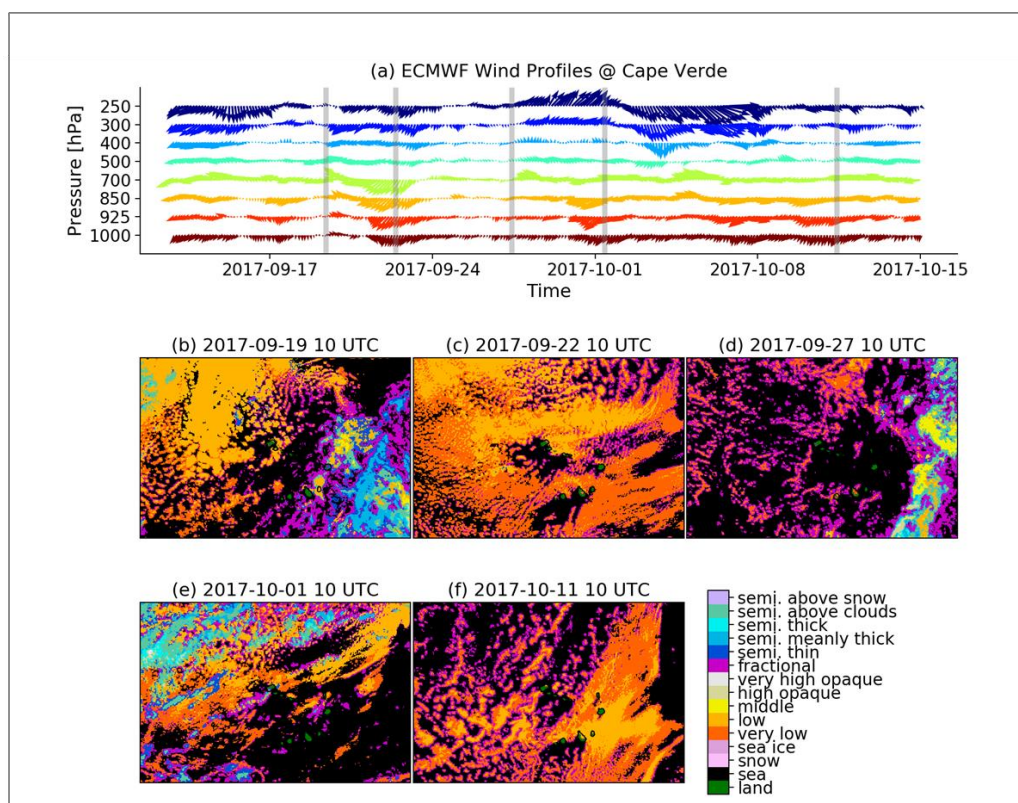


Figure 6



1795

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1797

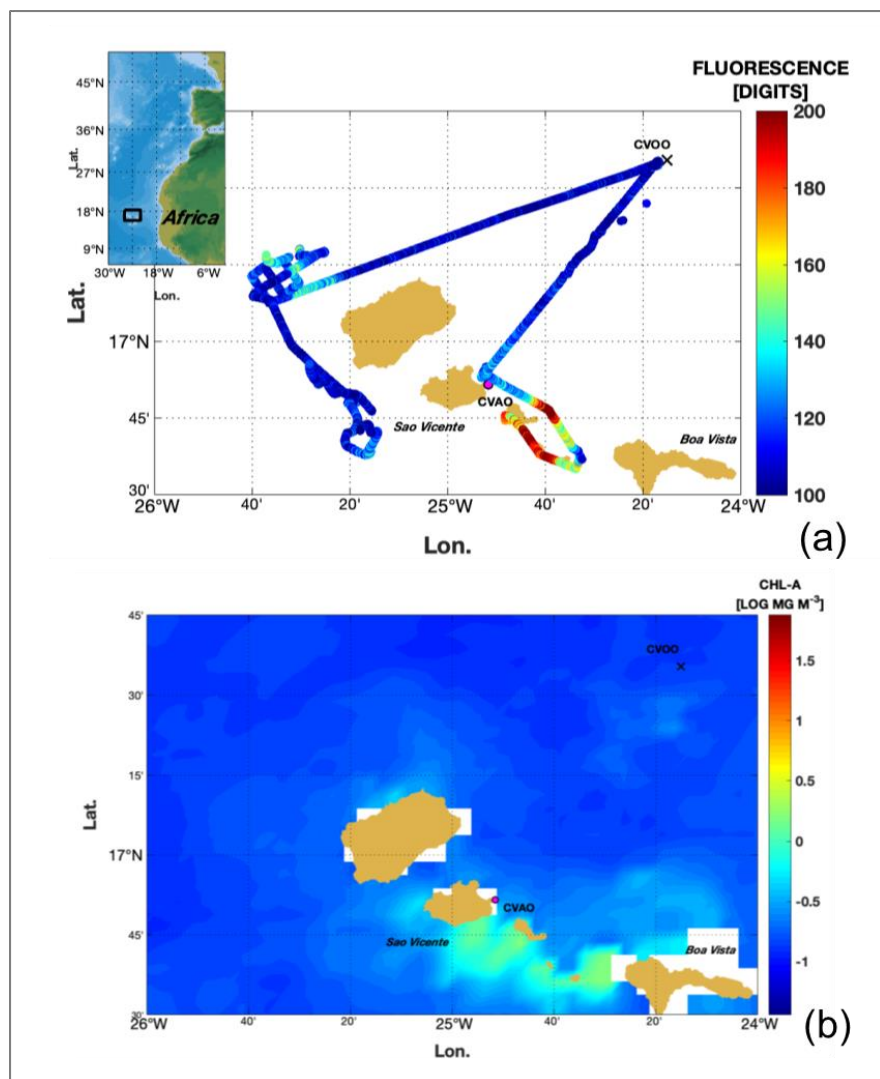


Figure 7

1798

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1800

1801

1802

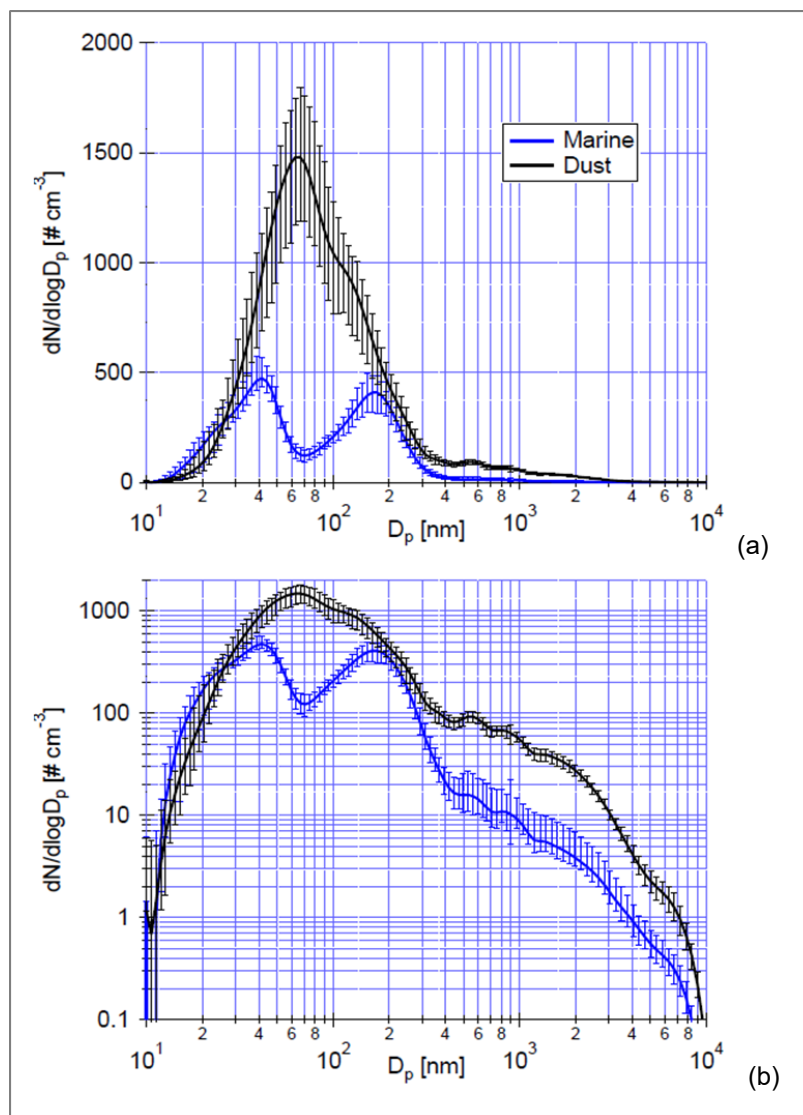
1803



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Figure 8

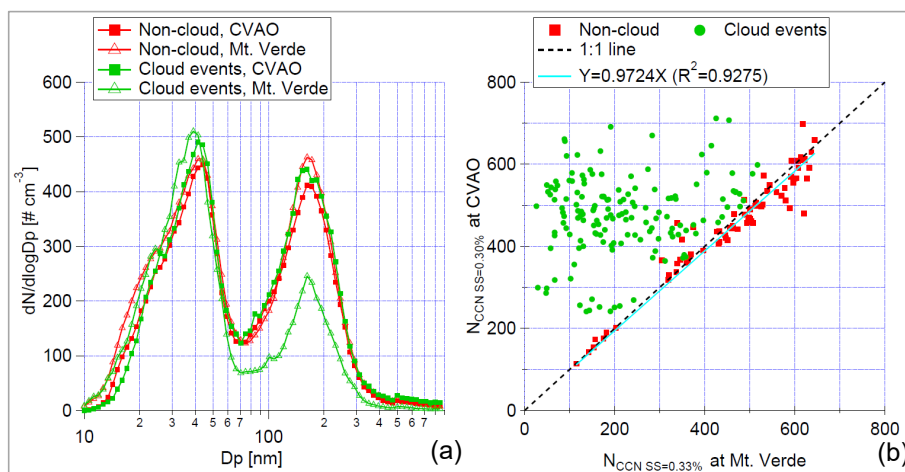
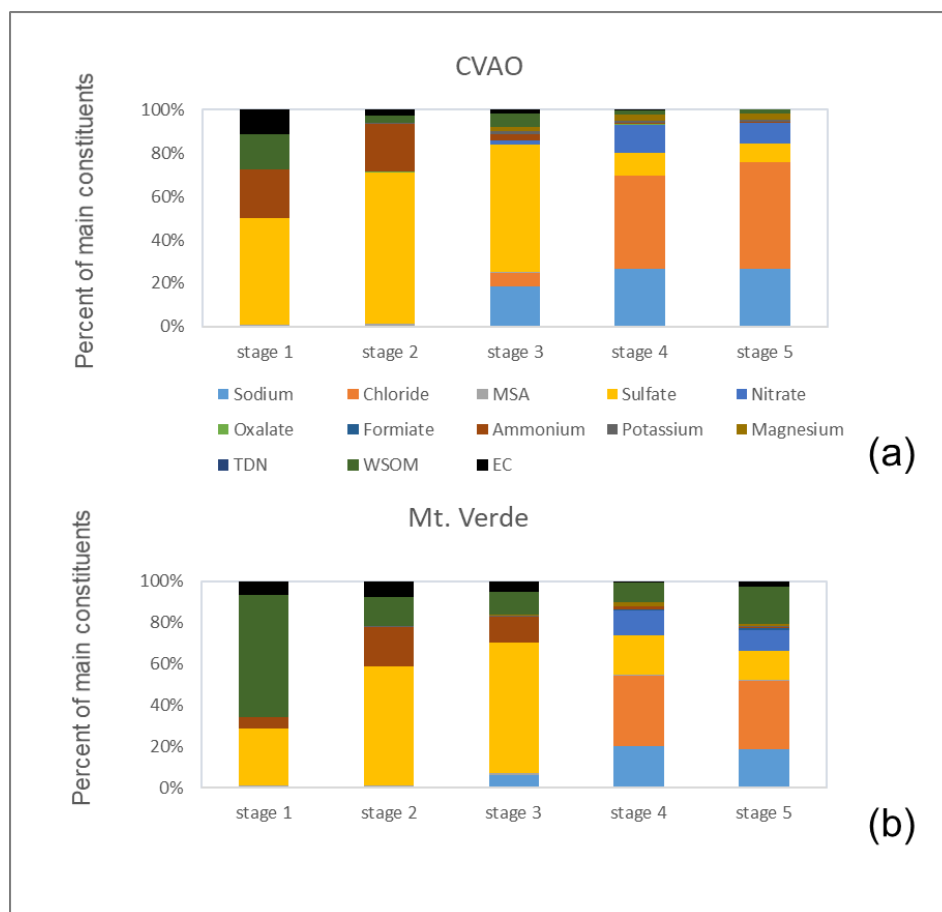


Figure 9



1840  
 1841  
 1842  
 1843

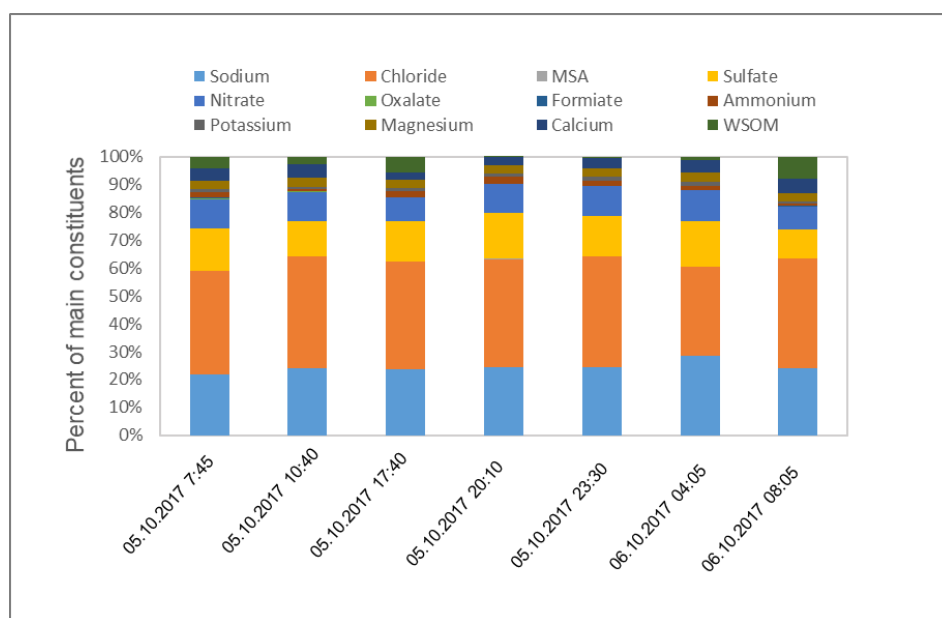


1844  
 1845  
 1846  
 1847  
 1848  
 1849  
 1850  
 1851

Figure 10



1852  
 1853  
 1854  
 1855  
 1856  
 1857

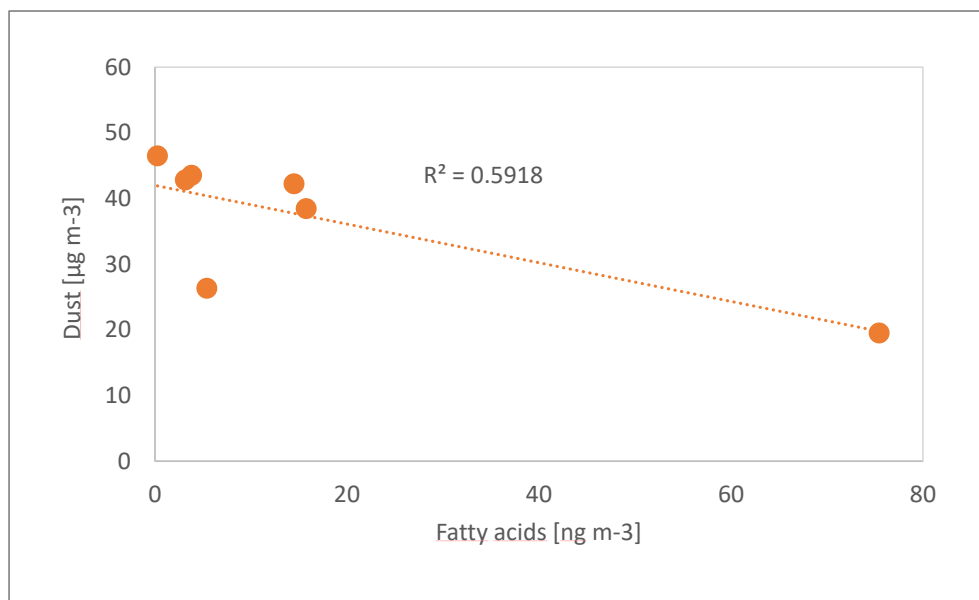


1858  
 1859  
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Figure 11



1869  
 1870  
 1871  
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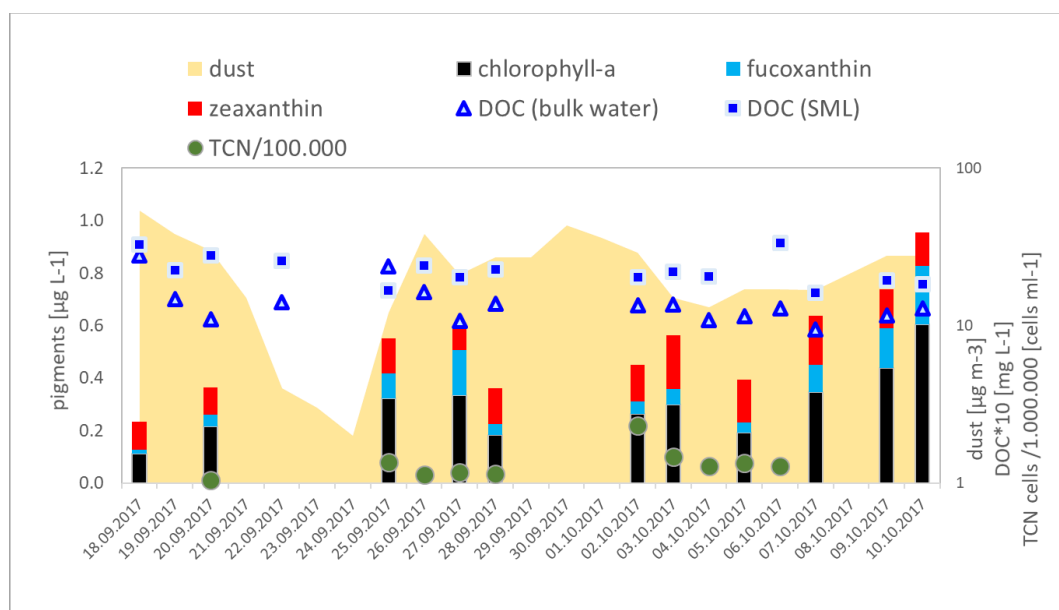


1876  
 1877  
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Figure 12



1887  
 1888  
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 1894

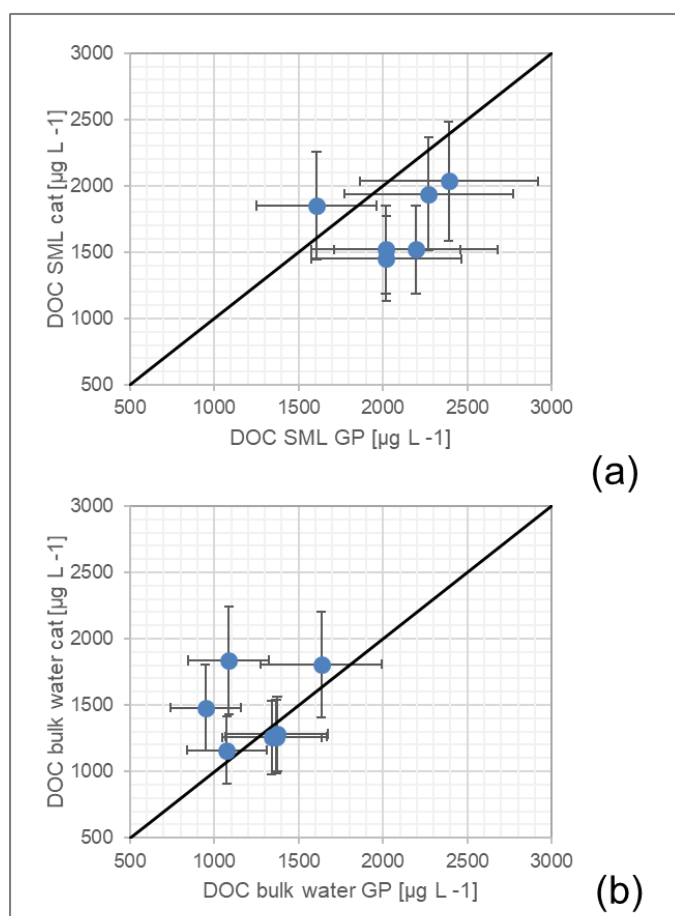


1895  
 1896  
 1897  
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 1899  
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Figure 13



1904  
 1905  
 1906  
 1907

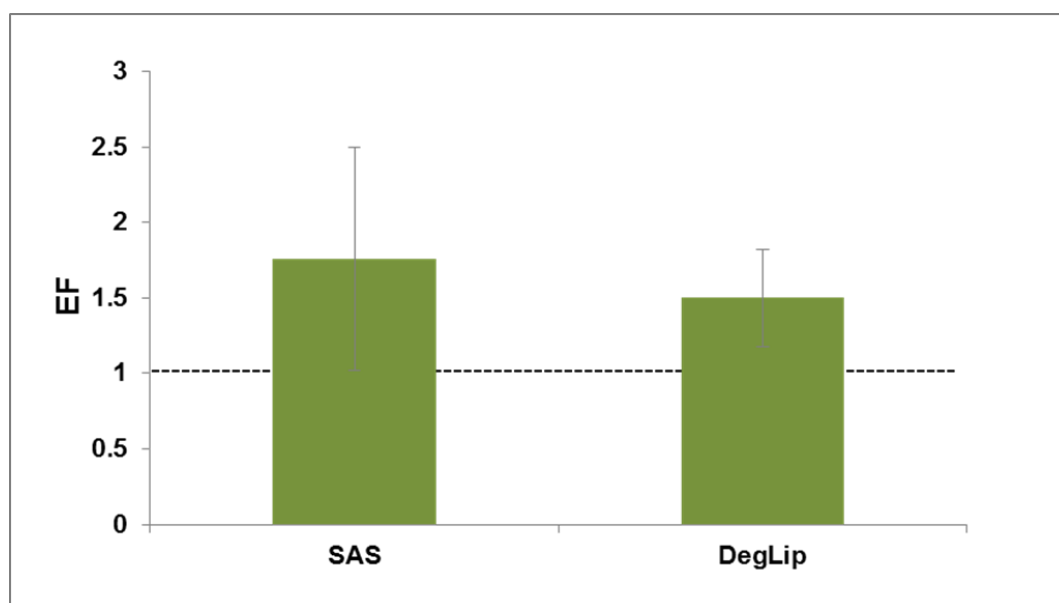


1908  
 1909  
 1910  
 1911  
 1912  
 1913  
 1914

Figure 14



1915  
1916  
1917  
1918  
1919

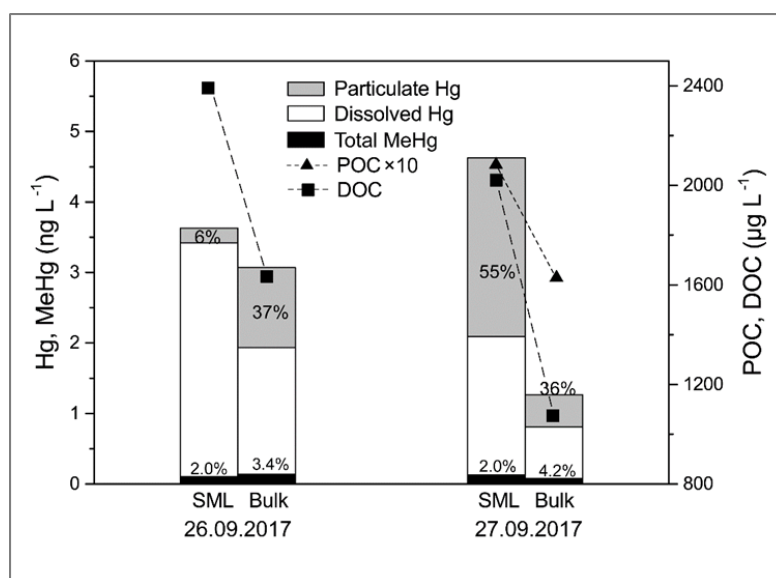


1920  
1921  
1922  
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1930  
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Figure 15



1932  
 1933  
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1938  
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 1942  
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Figure 16



1950

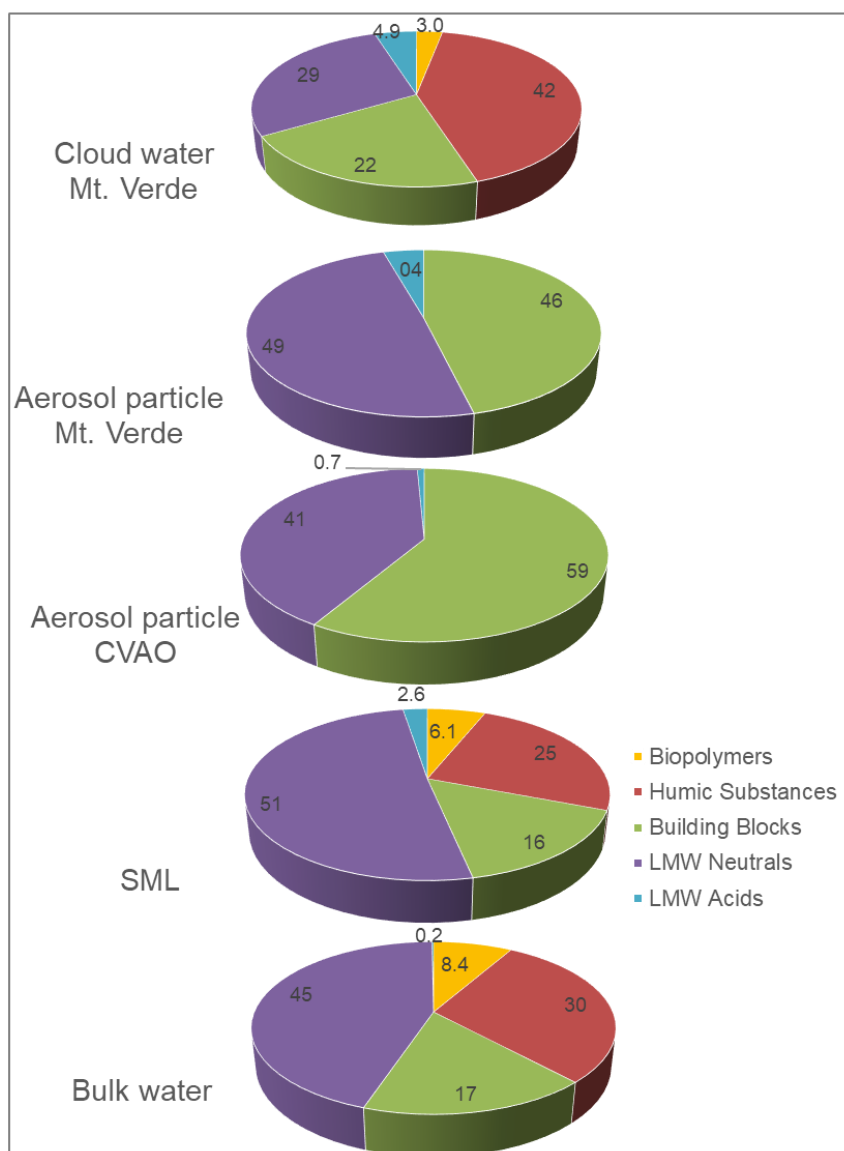


Figure 17

1951

1952

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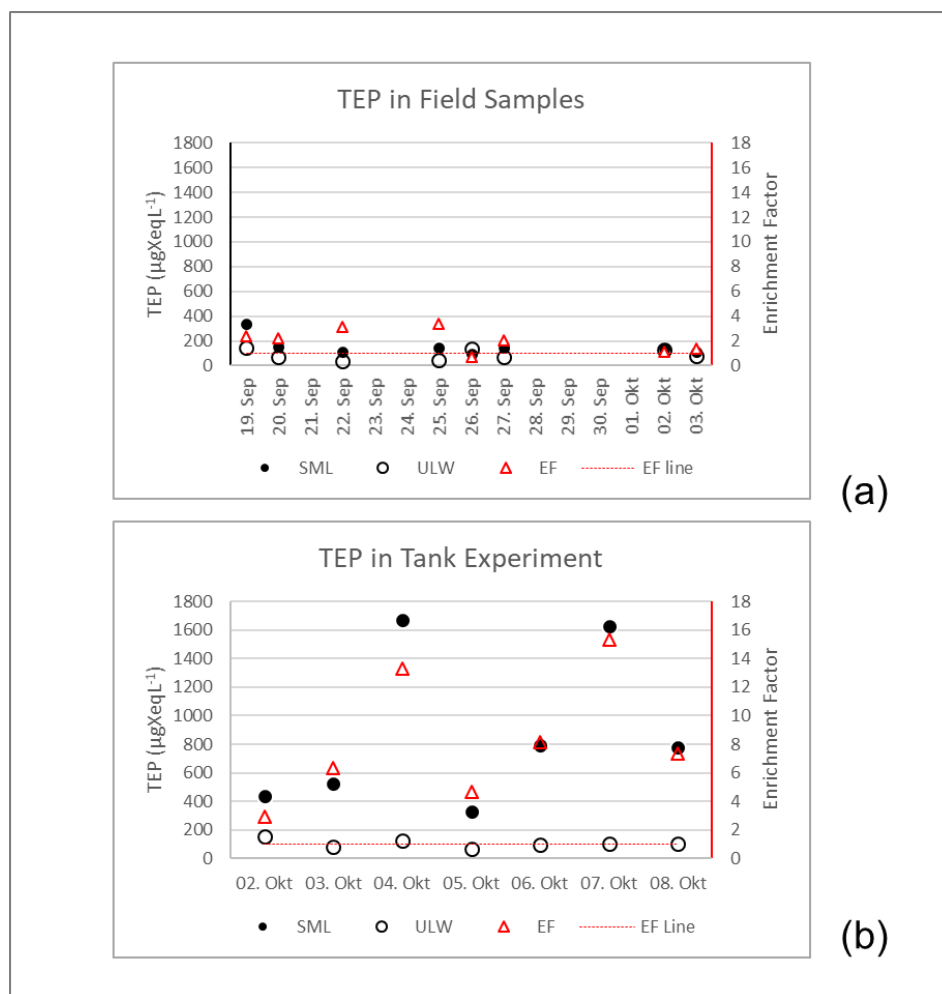
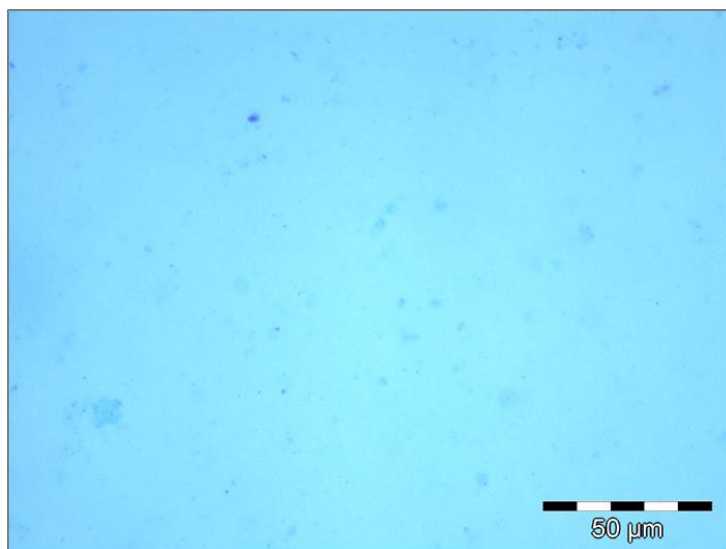


Figure 18



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Figure 19

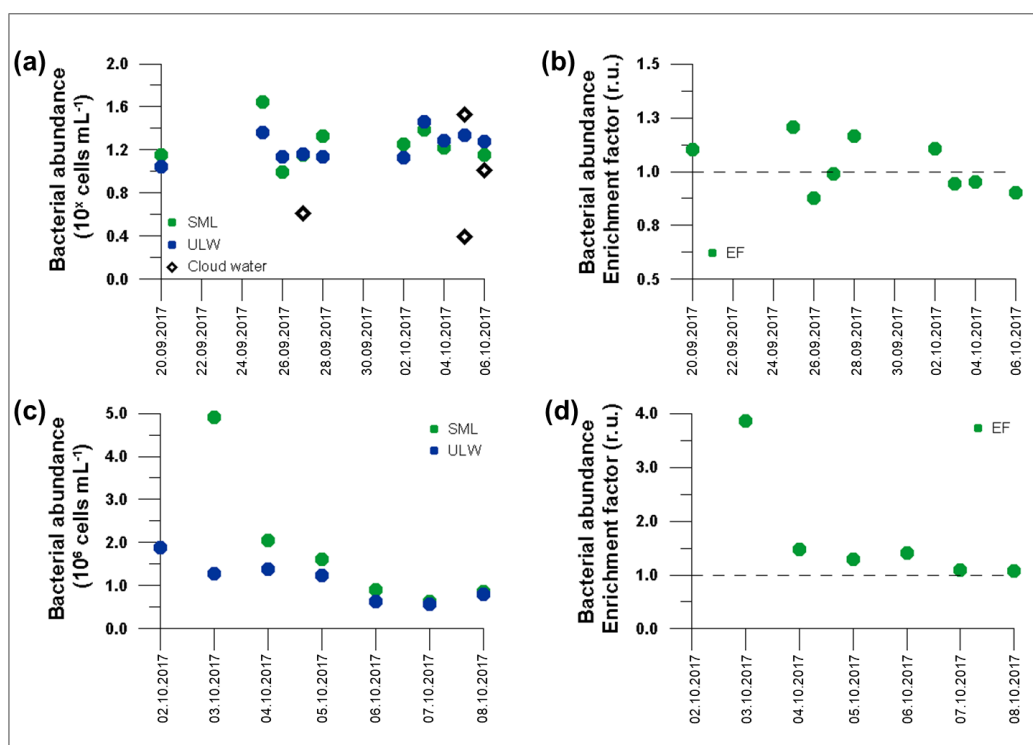
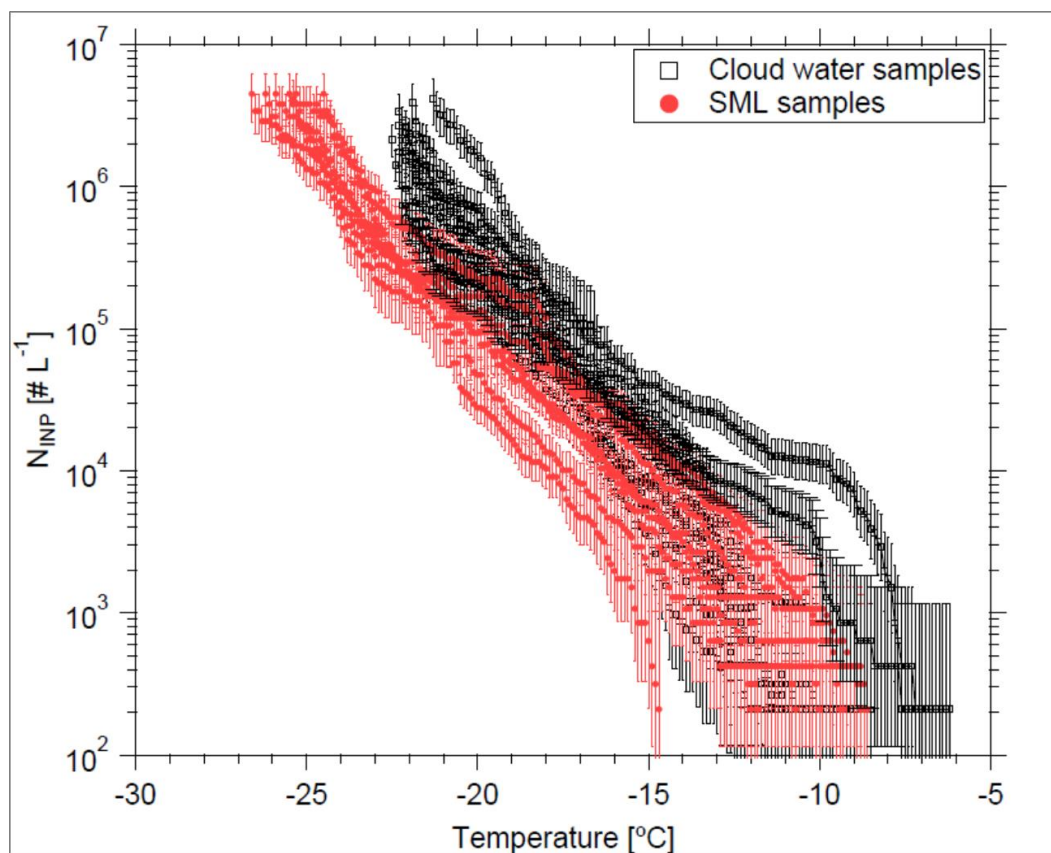


Figure 20



2000  
 2001  
 2002  
 2003



2004  
 2005  
 2006  
 2007  
 2008  
 2009  
 2010  
 2011  
 2012

Figure 21

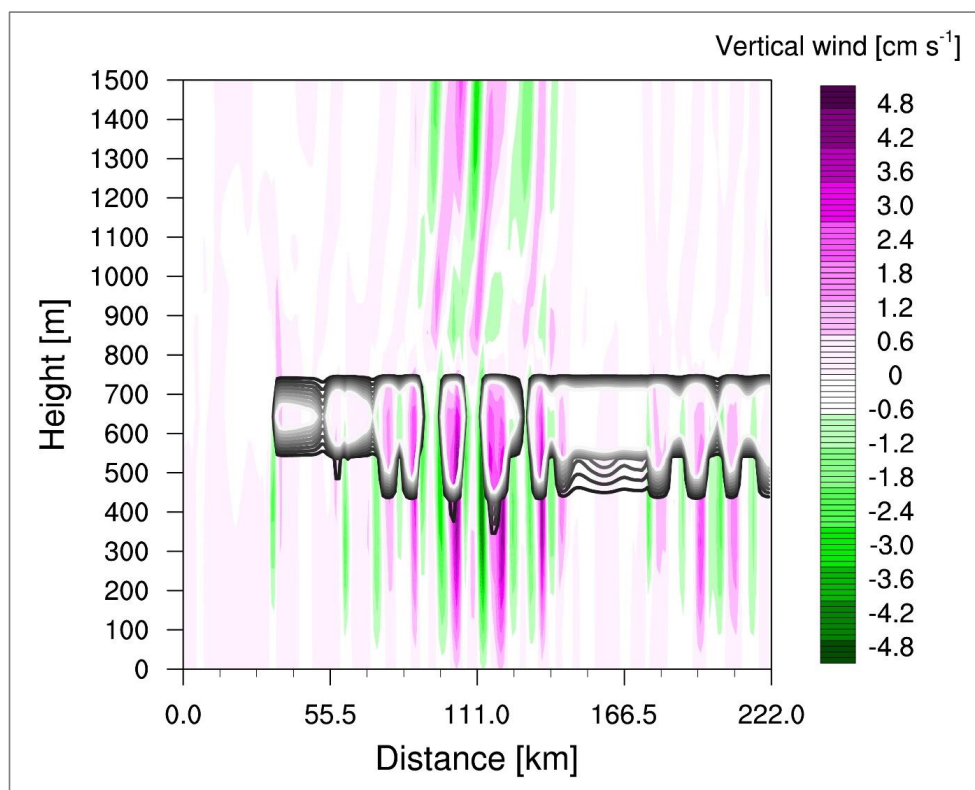


2013

2014

2015

2016



2017

2018

2019

2020

Figure 22